



# Operating Systems

Process Scheduling  
(Ch 3.2, 5.1-5.3)

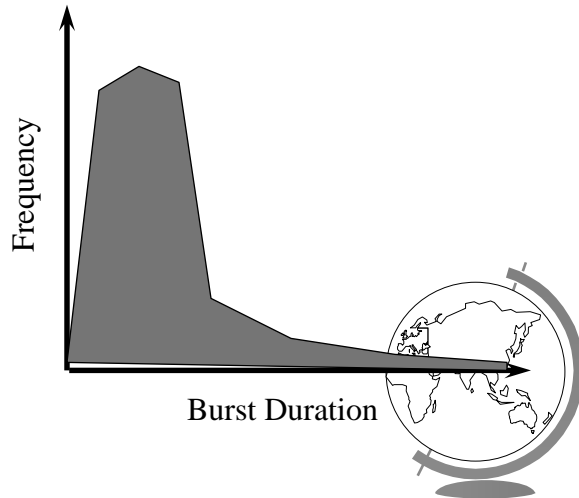
## Schedulers

- Short-Term
  - “Which process gets the CPU?”
  - Fast, since once per 100 ms
- Long-Term (batch)
  - “Which process gets the Ready Queue?”
- Medium-Term
  - “Which Ready Queue process to memory?”
  - Swapping



## CPU-IO Burst Cycle

add  
read  
(I/O Wait)  
store  
increment  
write  
(I/O Wait)



## Preemptive Scheduling

- Four times to re-schedule
  - 1 Running to Waiting (I/O wait)
  - 2 Running to Ready (time slice)
  - 3 Waiting to Ready (I/O completion)
  - 4 Termination
- #2 optional ==> “Preemptive”
- Timing may cause unexpected results
  - updating shared variable
  - kernel saving state



## Question

- What Criteria Should the Scheduler Use?
  - Ex: favor processes that are small
  - Others?



## Scheduling Criteria

- Internal
  - open files
  - memory requirements
  - CPU time used      - time slice expired (RR)
  - process age      - I/O wait completed
- External
  - \$
  - department sponsoring work
  - process importance
  - super-user (root)      - nice



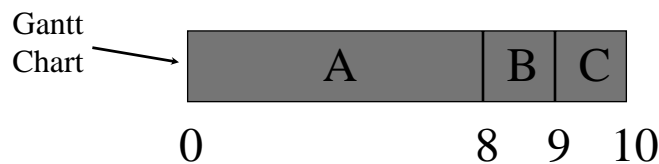
## Scheduling Measures of Performance

- 1 CPU utilization (40 to 90)
  - 2 Throughput (processes / hour)
  - 3 Turn-around time
  - 4 Waiting time (in queue)
- Maximize #1, #2    Minimize #3, #4
  - Response time
    - Self-regulated by users (go home)
    - Bounded ==> Variance!



## First-Come, First-Served

<u>Process</u>	<u>Burst Time</u>
A	8
B	1
C	1



- Avg Wait Time  $(0 + 8 + 9) / 3 = 5.7$



## Shortest Job First

<u>Process</u>	<u>Burst Time</u>
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A	8
---	---

B	1
---	---

C	1
---	---



0    1    2                      10

- Avg Wait Time  $(0 + 1 + 2) / 3 = 1$
- Optimal Avg Wait
- Prediction tough ... Ideas?



## Priority Scheduling

- SJF is a special case

<u>Process</u>	<u>Burst Time</u>	<u>Priority</u>
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A	8	2
---	---	---

B	1	1
---	---	---

C	1	3
---	---	---



0    1                      9    10

- Avg Wait Time  $(0 + 1 + 9) / 3 = 3.3$



## Round Robin

- Fixed time-slice and Preemption

<u>Process</u>	<u>Burst Time</u>
A	5
B	3
C	3



8 9



- Avg Turnaround =  $(8 + 9 + 11) / 3 = 9.3$
- FCFS? SJF?

## SOS: Dispatcher

- What kind of scheduling algorithm is it?
- There is no “return” from the Dispatcher()  
... why?
  - OS system stack
- Why is there a `while(1);`?
  - Is this infinite loop ok? Why?



## Round Robin Fun

<u>Process</u>	<u>Burst Time</u>
A	10
B	10
C	10

- Turn-around time?

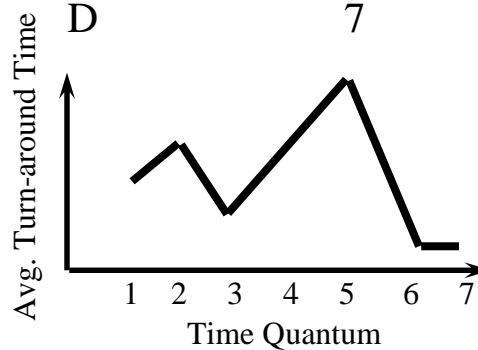
- $q = 10$
- $q = 1$
- $q \rightarrow 0$



## More Round Robin Fun

<u>Process</u>	<u>Burst Time</u>
A	6
B	3
C	1
D	7

Rule:  
80% within  
one quantum



## Fun with Scheduling

<u>Process</u>	<u>Burst Time</u>	<u>Priority</u>
A	10	2
B	1	1
C	2	3

- Gantt Charts:

- FCFS
- SJF
- Priority
- RR ( $q=1$ )

- Performance:

- Throughput
- Waiting time
- Turnaround time



## More Fun with Scheduling

<u>Process</u>	<u>Arrival Time</u>	<u>Burst Time</u>
A	0.0	8
B	0.4	4
C	1.0	1

- Turn around time:

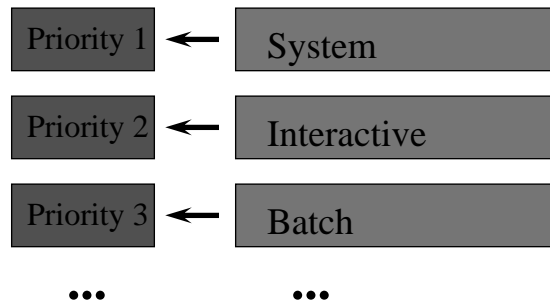
- FCFS
- SJF
- $q=1$  CPU idle
- $q=0.5$  CPU idle





## Multi-Level Queues

- Categories of processes

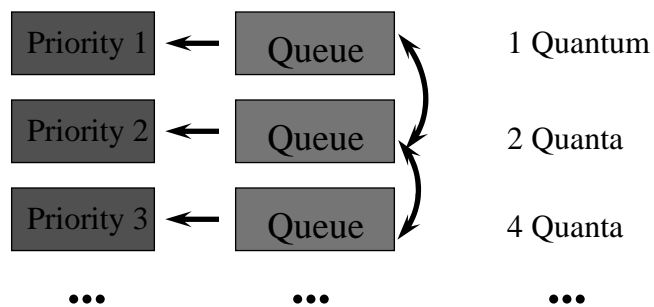


- Run all in 1 first, then 2 ...
- Starvation!
- Divide between queues: 70% 1, 20% 2 ...



## Multi-Level Feedback Queues

- Time slice expensive but want interactive



- Consider process needing 100 quanta
  - 1, 4, 8, 16, 32, 64 = 7 swaps!
- Favor interactive users



## Outline

- Processes **X**
  - PCB **X**
  - Interrupt Handlers **X**
- Scheduling **X**
  - Algorithms **X**
  - Linux ←
  - WinNT/2000



## Linux Process Scheduling

- Two classes of processes:
  - Real-Time
  - Normal
- Real-Time:
  - Always run Real-Time above Normal
  - Round-Robin or FIFO
  - “Soft” not “Hard”



## Linux Process Scheduling

- Normal: *Credit-Based* (counter variable)
  - process with most credits is selected
    - + `goodness ( )` function
  - Timer goes off (jiffy, 1 per 10 ms)
    - + then lose a credit (0, then suspend)
  - no runnable process (all suspended), add to *every* process:
  - recalculate:  
$$\text{credits} = \text{credits}/2 + \text{priority}$$
- Automatically favors I/O bound processes



## Windows Scheduling

- Basic scheduling unit is a thread
  - (Can think of threads as processes for now)
- Priority based scheduling per thread
- Preemptive operating system
- No shortest job first, no quotas



## Priority Assignment

- Windows kernel uses 31 priority levels
  - 31 is the highest; 0 is system idle thread
  - Realtime priorities: 16 - 31
  - Dynamic priorities: 1 - 15
- Users specify a *priority class*:
  - + realtime (24) , high (13), normal (8) and idle (4)
  - and a relative priority:
    - + highest (+2), above normal (+1), normal (0), below normal (-1), and lowest (-2)
  - to establish the *starting priority*
- Threads also have a *current priority*

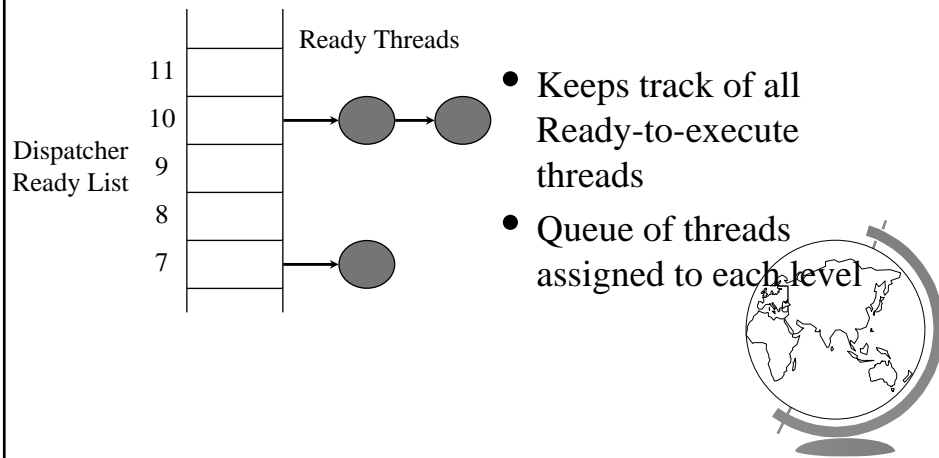


## Quantum

- Determines how long a Thread runs once selected
- Varies based on:
  - Workstation or Server
  - Intel or Alpha hardware
  - Foreground/Background application threads (3x)
- *How do you think it varies with each?*



## Dispatcher Ready List



## FindReadyThread

- Locates the highest priority thread that is ready to execute
- Scans dispatcher ready list
- Picks front thread in highest priority nonempty queue
- *When is this like round robin?*



## Boosting and Decay

- Boost priority
  - Event that “wakes” blocked thread
    - + Amount of boost depends upon what blocked for
      - Ex: keyboard larger boost than disk
  - Boosts never exceed priority 15 for *dynamic*
  - *Realtime* priorities are not boosted
- Decay priority
  - by one for each quantum
  - decays only to starting priority (no lower)



## Starvation Prevention

- Low priority threads may never execute
- “Anti-CPU starvation policy”
  - thread that has not executed for 3 seconds
  - boost priority to 15
  - double quantum
- Decay is swift not gradual after this boost

