



## Operating System

Introduction

## Topics

- What is an OS?
- OS History
- OS Concepts
- OS Structures

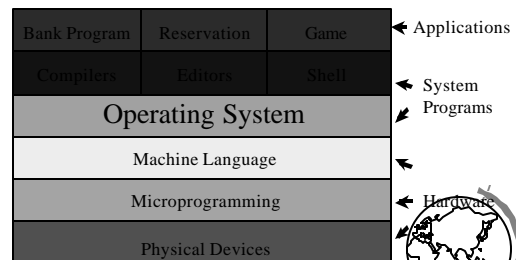


## Let's Get Started!

- What are some OSes you know?
  - Guess if you are not sure
- Pick an OS you know:
  - What are some things you like about it?
  - What are some things you don't like about it?



## What is an Operating System?



## What is an Operating System?

- An Extended Machine (Top-down)
  - Transforming - new resource
  - + ex: Win98 device manager
- A Resource Manager (Bottom-up)
  - Multiplexing - illusion of several resources
  - + ex: browse the web AND read email
  - Scheduling - deciding who gets what when
  - + ex: compile fast OR edit fast
- Why have an OS?
  - Convenient and Efficient
  - + Programming hardware difficult
  - + Idle hardware "wasteful"



## Where in the Book are we?

- Ch 1-2 by Friday
  - Reading details on course Web page
  - Ch 1, brief, alternate viewpoint
  - Ch 2, computer architecture review
- Ch 3 by Monday
  - Ch 3, system structure
- Timeline on Web page
  - Proj 0 due by Thursday
  - Get a group!



## Questions

- What are two functions of an OS?
- What “layer” is above the OS?
- What “layer” is below the OS?



## OS History

- Helps understand key requirements
  - Not one brilliant design
    - + (despite what Gates or Torvalds might say)
  - Fixed previous problems, added new ones
  - Tradeoffs
- Closely tied to:
  - Hardware history
  - User history



## Hardware History

	1981	1999	Factor
<i>Power</i>	1	250	250
<i>\$/Power</i>	\$100K	\$45	2200
<i>Memory</i>	128K	128M	1000
<i>Disk Capacity</i>	10M	10G	1000
<i>Net Bandwidth</i>	9600b/s	155Mb/s	15K
<i>Users / Mach.</i>	10s	<=1	10

- Comments? Change!



## OS History

- Supplement to book
- My version is a brief narrative



## Hardware Very Expensive Humans Cheap

- Single program execution (no OS)
- Hardwire “programming”
- Programming slow, not “offline”!
  - Punch cards



## Hardware Very Expensive Humans Cheap

- Punch cards
- Fortran or assembler
- Waste computer time walking!
  - Batch programs on tape



## Hardware Very Expensive Humans Cheap

- Programs read in from tape
- Two applications:
  - Scientific
  - Data processing
- CPU idle during I/O!
  - Multiprogramming with partitions
  - Spooling as jobs finished



## Hardware is Cheap Humans Expensive

- Turn around time 1/2 day
- Programmer time wasted!
  - “Sigh. In the good old days....”
  - Time-sharing
  - Multics (sorta)
  - New problems
    - + response time
    - + thrashing
    - + file-systems



## Hardware Very Cheap Humans Very Expensive

- Personal computers
  - Network operating systems
  - Distributed operating systems
- OSes today
  - small == 1000K (15 pages, 5 programmer years)
  - large == 10,000K (150 pages, 500 programmer years) (longer than a semester :-)
  - need to evolve quickly
    - + hardware upgrades, new user services, bug fixes
  - efficient and/or modular kernels



## Windows NT History

- 1988, v1
  - split from joint work with IBM OS/2
  - Win32 API
- 1990, v3.1
  - Server and Workstation versions
- 1997(?), v4
  - Win95 interface
  - Graphics to kernel
  - More NT licenses sold than all Unix combined



## Windows NT Today

- Microsoft has 80% to 90% of OS market
  - mostly PC's
- 800 MHz Intel Pentium
- NT aiming at robust, server market
  - network, web and database
- Platforms
  - Intel 386+                      - Alpha
  - MIPS R4000                  - PowerPC
- (Win2000 merges Win98 and WinNT)



## Linux History

- Open Source
  - Release Early, Release Often, Delegate
  - “The Cathedral or the Bazaar”
- Bday 1991, Linus Torvalds, 80386 processor
  - v.0.1, limited devices, no networking,
  - with proper Unix process support!
- 1994, v1.0
  - networking (Internet)
  - enhanced file system (over Minix)
  - many devices, dynamic kernel modules



## Linux History

- Development convention
  - Odd numbered minor versions "development"
  - Even numbered minor versions "stable"
- 1995, v1.2
  - more hardware
  - 8086 mode (DOS emulation) included
  - Sparc, Alpha, Mips support started
- 1996, v2.0
  - multiple architectures, multiple processors
  - threads, memory management ....



## Linux Today

- v2.2 (v2.2.14 in Fossil lab)
- 1,000,000 lines of code
- 7-10 million users
- Estimated growth 25%/year through 2003
  - all others, 10% combined



## Questions

- When is it appropriate for OS to "waste" resources?
- How might the growth in networks influence OS design?



## Review

- OS History X
  - user change and hardware change
- OS Concepts X
  - processes, files, system call, shell
- OS Structure



## Questions

- What causes OS to change?
  - Or, why aren't we still running MS-DOS?
- What is a *process*?
- What is a *file*?



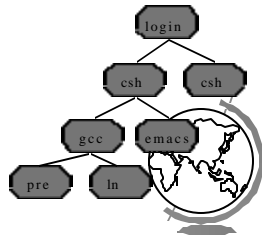
## Outline

- Operating System Concepts 
  - Processes
  - Files
  - System Calls
  - Shells
- Operating System Structure
  - Simple Systems
  - Virtual Machines
  - Micro Kernels



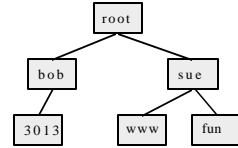
## The Process

- Program in execution
- Running -> Suspended -> Running
- Example: the Shell
- Process "Tree"
- Signals
- UID (GID)
- (Two weeks)



## Files

- Store data on disk
- Directory "Tree"
- Working directory
- Protection bits
  - 9 in Unix: **rwX** bits, ex: `rwXr-x--x`
- Abstraction of I/O device
  - terminal, printer, network, modem
- Pipe
- (1-2 Days)



## Outline

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## System Calls

- Way processes communicate with OS
- example:

```
write(file, string, size)
```
- OS specific!
- POSIX (1980s)
  - Portable Operating System (unIX-ish)
- (Some of the projects)



## Shells

- (Project 0 uses a shell to execute system programs, that then execute system calls)
- User's interface to OS
- Simple commands
  - "cd", "cat", "top"
- Modifiers
  - '&', '|', '>'
- (Hey, do some process and shell example)



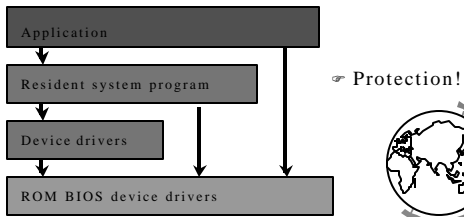
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  - Simple Systems
  - Virtual Machines
  - Micro Kernels



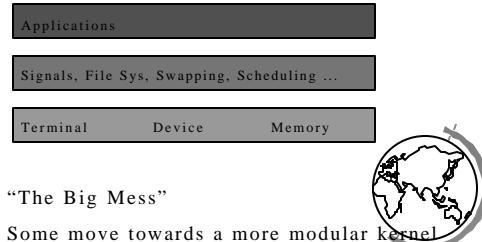
## Simple Systems

- Started small and grew, no hardware support
- MS-DOS



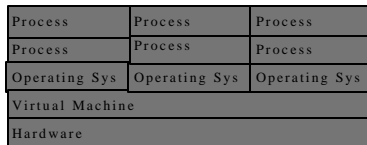
## Simple Systems

- Unix (see /vmunix)



## Virtual Machines

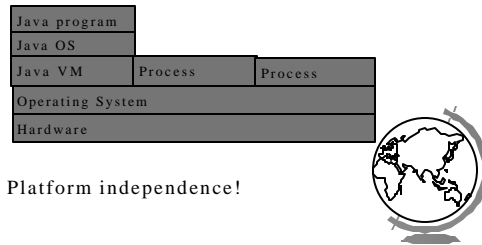
- IBM VM/370



- Complete protection
- OS development, emulation
- Performance!

## Virtual Machines

- Java Virtual Machine



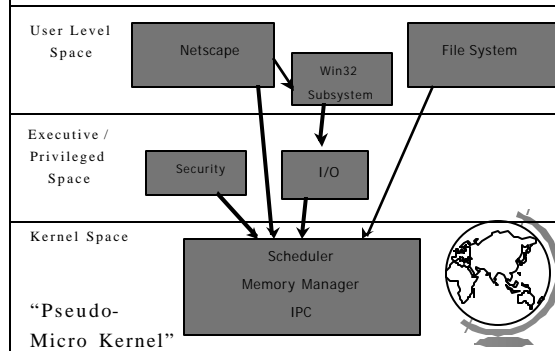
## Micro Kernel

- Mach



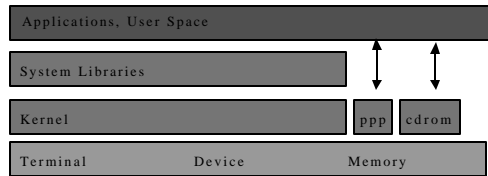
- Client-Server
- Good performance
- Adaptable to distributed OS
- Robust
- Careful about mechanism!

## WinNT Structure



## Linux Structure

- “Simple” system



- Loadable Modules
  - done after “boot”
  - allow 3rd party vendors
  - easier for development



## Questions

- Name 3 operating system structures
- Give one advantage of each
- Give one disadvantage of each



## True or False

- Unix is a “simple structure” OS
- Micro Kernels are faster than other OSes
- Virtual Machines are faster than other OSes

