

# Computer Graphics (CS 4731)

## Lecture 15: Projection (Part 2): Derivation

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Prof Emmanuel Agu

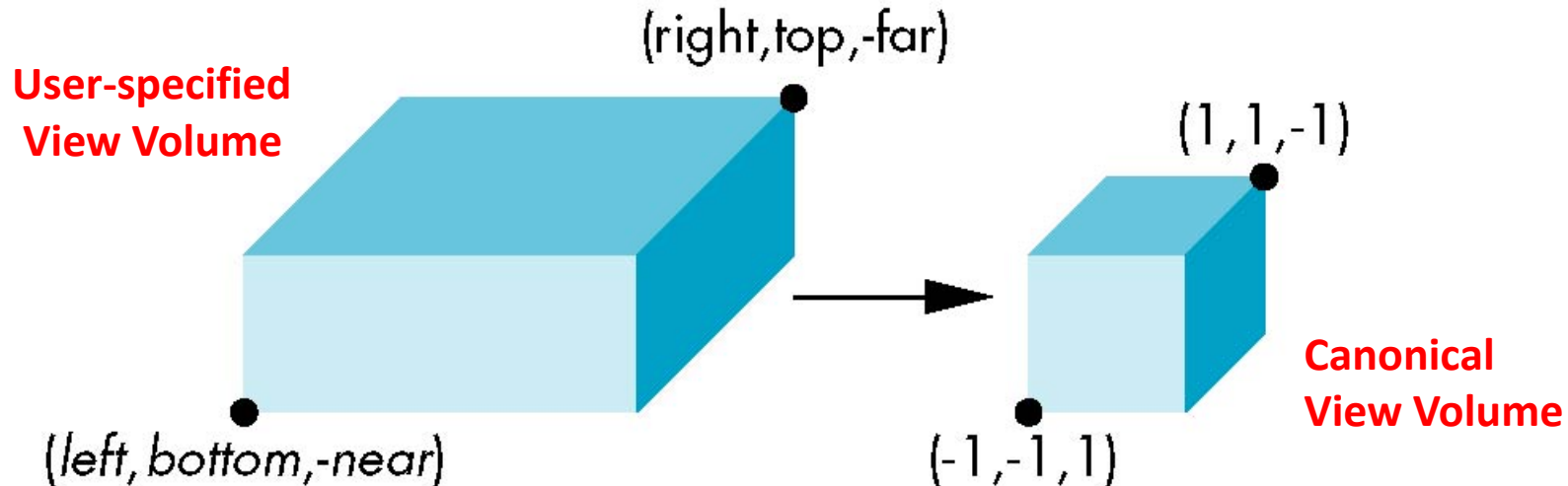
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# Parallel Projection

- **normalization**  $\Rightarrow$  find 4x4 matrix to transform **user-specified view volume** to **canonical view volume (cube)**

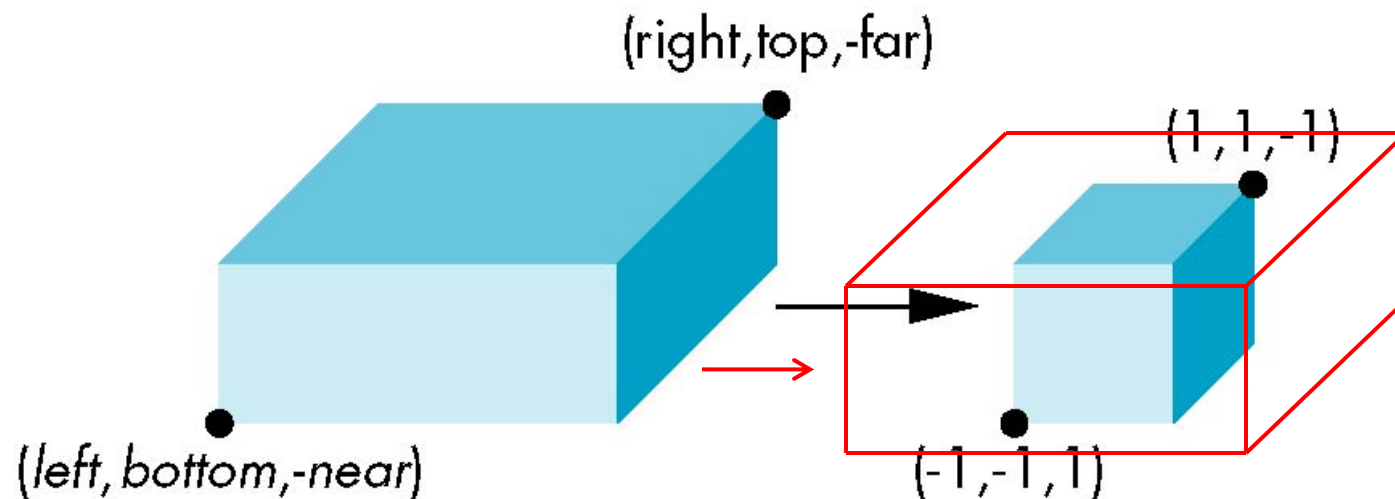


**glOrtho**(left, right, bottom, top, near, far)



# Parallel Projection: Ortho

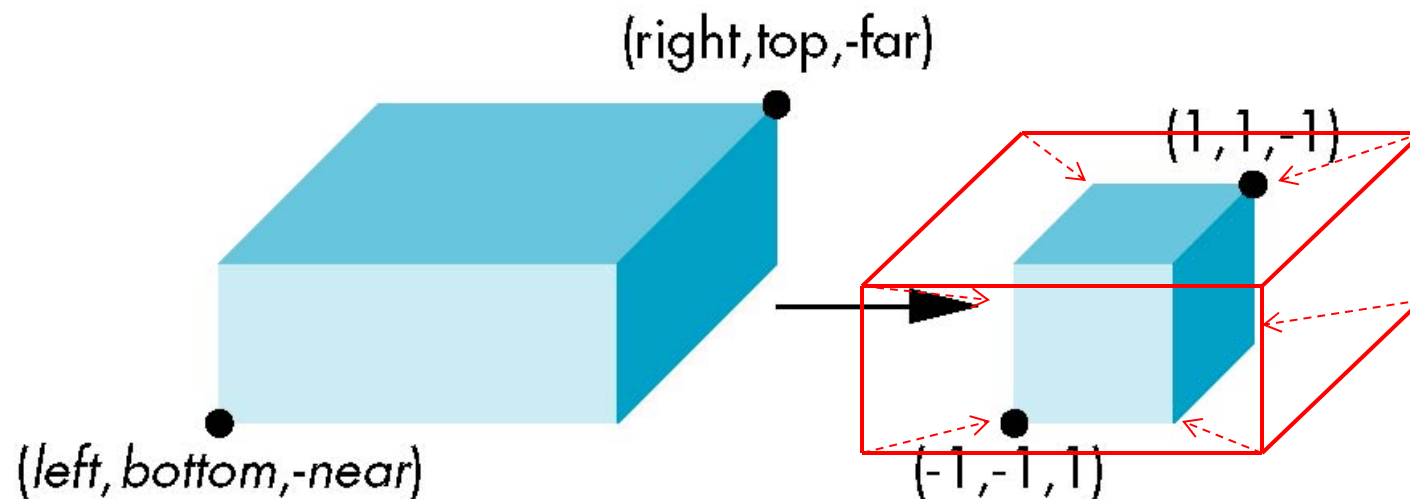
- Parallel projection: 2 parts
  1. **Translation:** centers view volume at origin





# Parallel Projection: Ortho

2. **Scaling:** reduces user-selected cuboid to canonical cube (dimension 2, centered at origin)

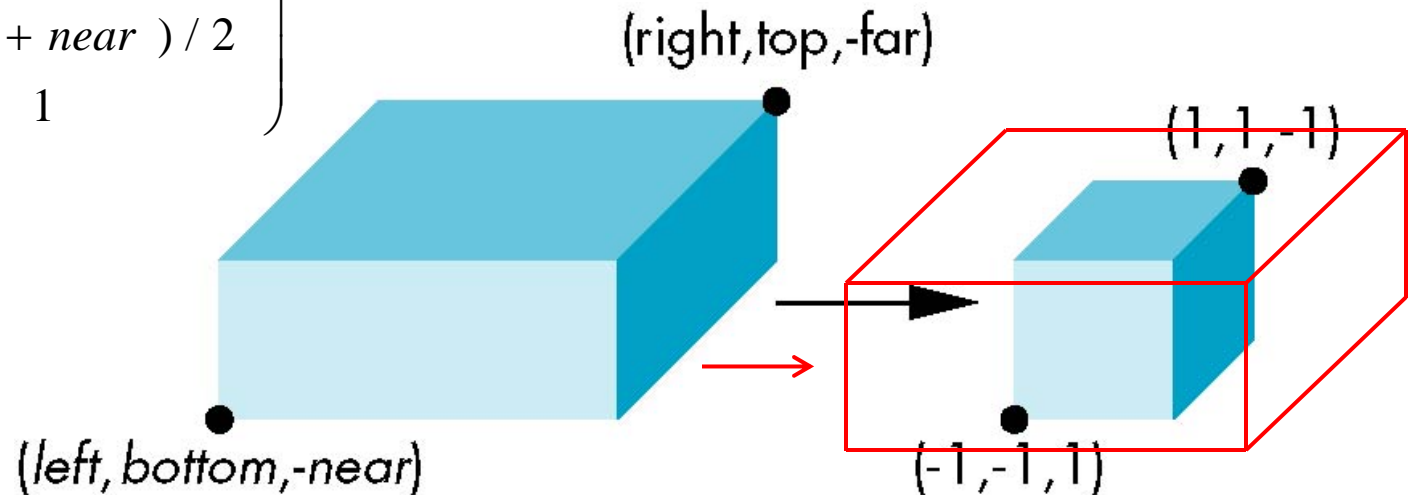




# Parallel Projection: Ortho

- Translation lines up midpoints: E.g. midpoint of  $x = (\text{right} + \text{left})/2$
- Thus translation factors:  
 $-(\text{right} + \text{left})/2, -(\text{top} + \text{bottom})/2, -(\text{far} + \text{near})/2$
- Translation matrix:

$$\begin{pmatrix} 1 & 0 & 0 & -(\text{right} + \text{left})/2 \\ 0 & 1 & 0 & -(\text{top} + \text{bottom})/2 \\ 0 & 0 & 1 & -(\text{far} + \text{near})/2 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

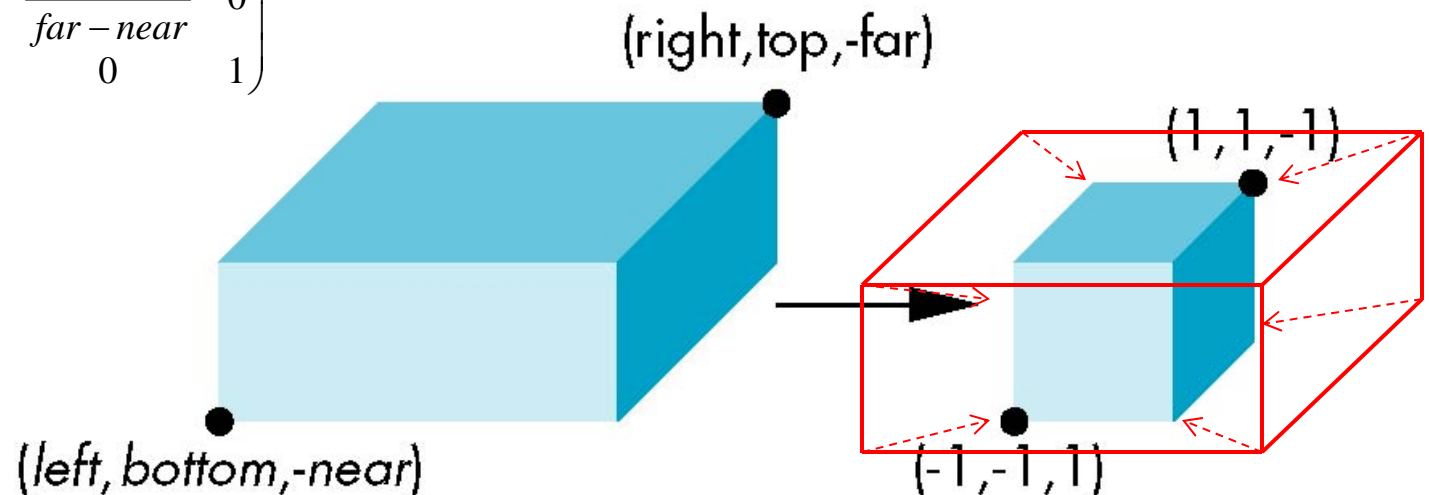




# Parallel Projection: Ortho

- Scaling factor: ratio of ortho view volume to cube dimensions
- Scaling factors:  $2/(right - left)$ ,  $2/(top - bottom)$ ,  $2/(far - near)$
- Scaling Matrix M2:

$$\begin{pmatrix} \frac{2}{right - left} & 0 & 0 & 0 \\ 0 & \frac{2}{top - bottom} & 0 & 0 \\ 0 & 0 & \frac{2}{far - near} & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$



# Parallel Projection: Ortho



Concatenating **Translation** x **Scaling**, we get Ortho Projection matrix

$$\begin{pmatrix} \frac{2}{right - left} & 0 & 0 & 0 \\ 0 & \frac{2}{top - bottom} & 0 & 0 \\ 0 & 0 & \frac{2}{far - near} & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \times \begin{pmatrix} 1 & 0 & 0 & -(right + left) / 2 \\ 0 & 1 & 0 & -(top + bottom) / 2 \\ 0 & 0 & 1 & -(far + near) / 2 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

$$\mathbf{P} = \mathbf{ST} = \begin{bmatrix} \frac{2}{right - left} & 0 & 0 & -\frac{right - left}{right - left} \\ 0 & \frac{2}{top - bottom} & 0 & -\frac{top + bottom}{top - bottom} \\ 0 & 0 & \frac{2}{near - far} & \frac{far + near}{far - near} \\ 0 & 0 & 0 & 1 \end{bmatrix}$$



# Final Ortho Projection

- Set  $z = 0$
- Equivalent to the homogeneous coordinate transformation

$$\mathbf{M}_{\text{orth}} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

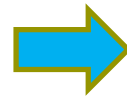
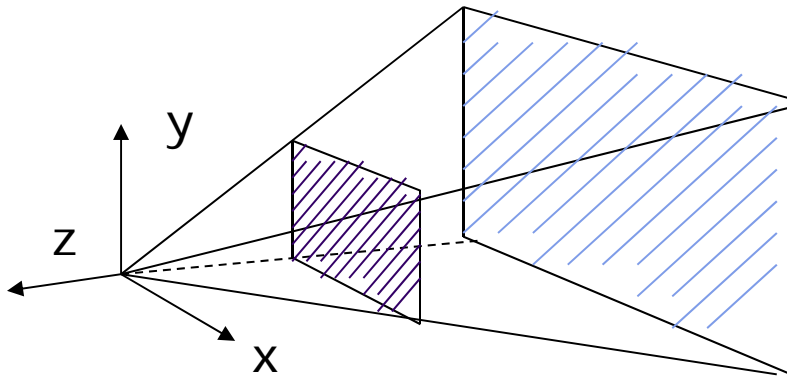
- Hence, general orthogonal projection in 4D is  
 $\mathbf{P} = \mathbf{M}_{\text{orth}} \mathbf{S} \mathbf{T}$





# Perspective Projection

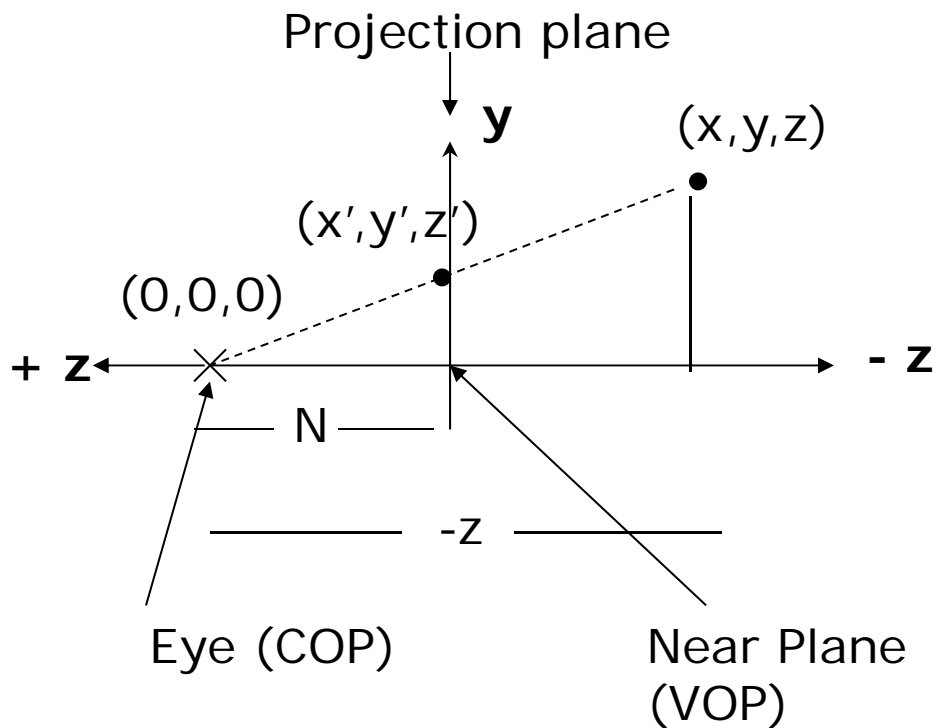
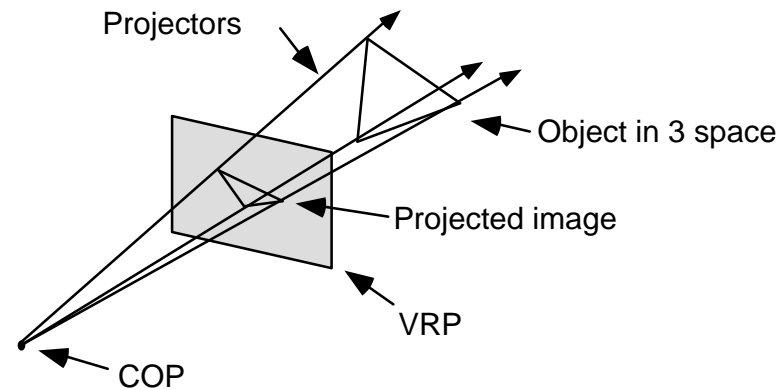
- Projection – map the object from 3D space to 2D screen



Perspective()  
Frustum( )



# Perspective Projection: Classical



Based on similar triangles:

$$\frac{y'}{y} = \frac{N}{-z}$$

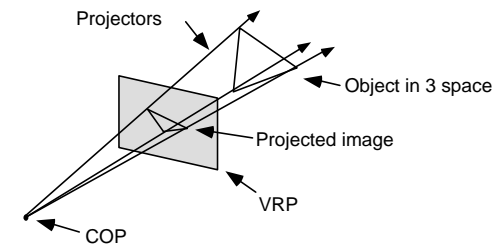
$$\Rightarrow y' = y \times \frac{N}{-z}$$



# Perspective Projection: Classical

- So  $(x^*, y^*)$  projection of point,  $(x, y, z)$  unto near plane  $N$  is given as:

$$(x^*, y^*) = \left( x \frac{N}{-z}, y \frac{N}{-z} \right)$$



- Numerical example:

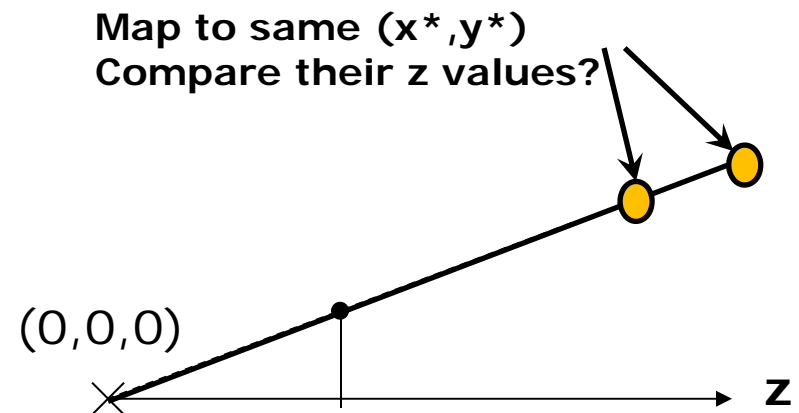
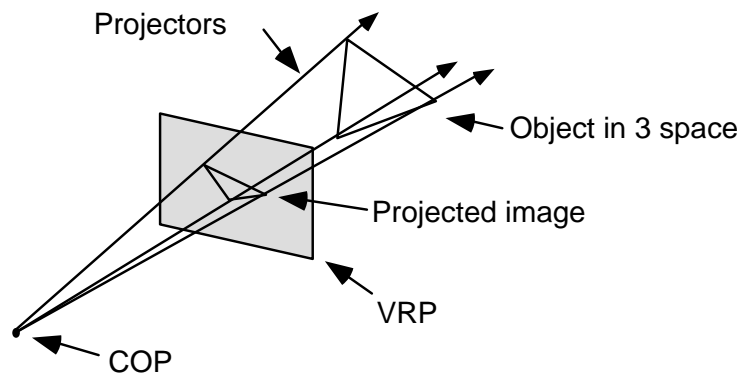
Q. Where on the viewplane does  $P = (1, 0.5, -1.5)$  lie for a near plane at  $N = 1$ ?

$$(x^*, y^*) = \left( x \frac{N}{-z}, y \frac{N}{-z} \right) = \left( 1 \times \frac{1}{1.5}, 0.5 \times \frac{1}{1.5} \right) = (0.666, 0.333)$$



# Pseudodepth

- Classical perspective projection projects  $(x,y)$  coordinates to  $(x^*, y^*)$ , drops  $z$  coordinates

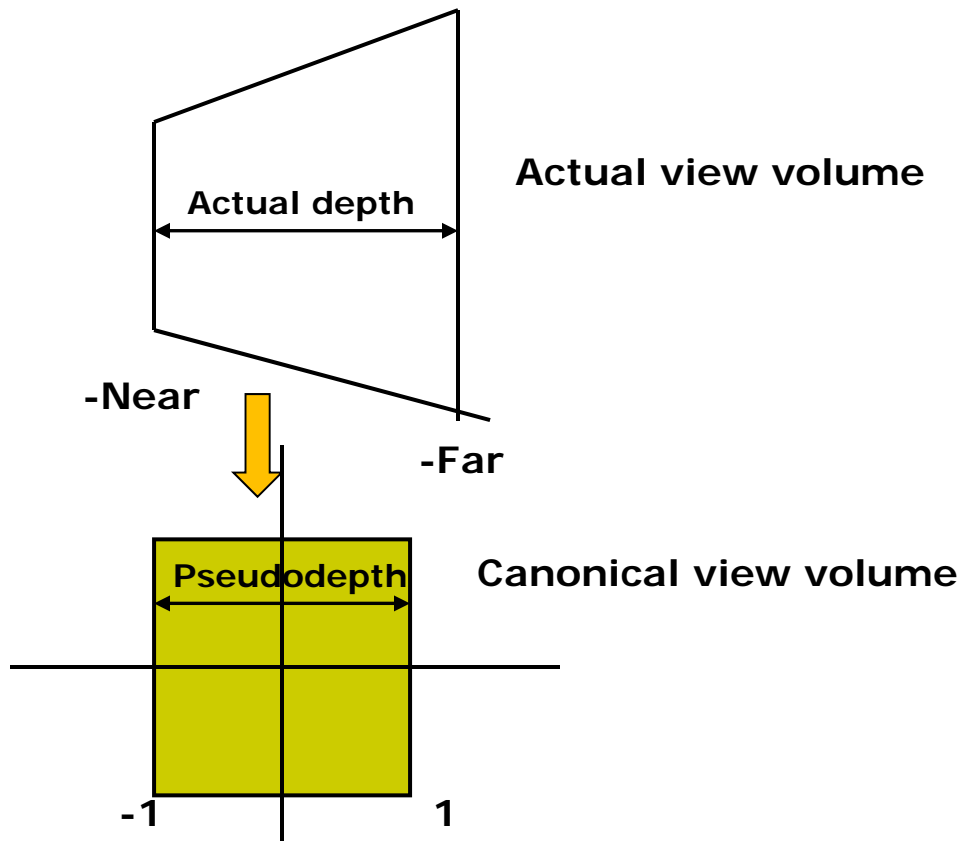


- But we need  $z$  to find closest object (depth testing)!!!



# Perspective Transformation

- **Perspective transformation** maps actual z distance of perspective view volume to range  $[-1 \text{ to } 1]$  (**Pseudodepth**) for canonical view volume



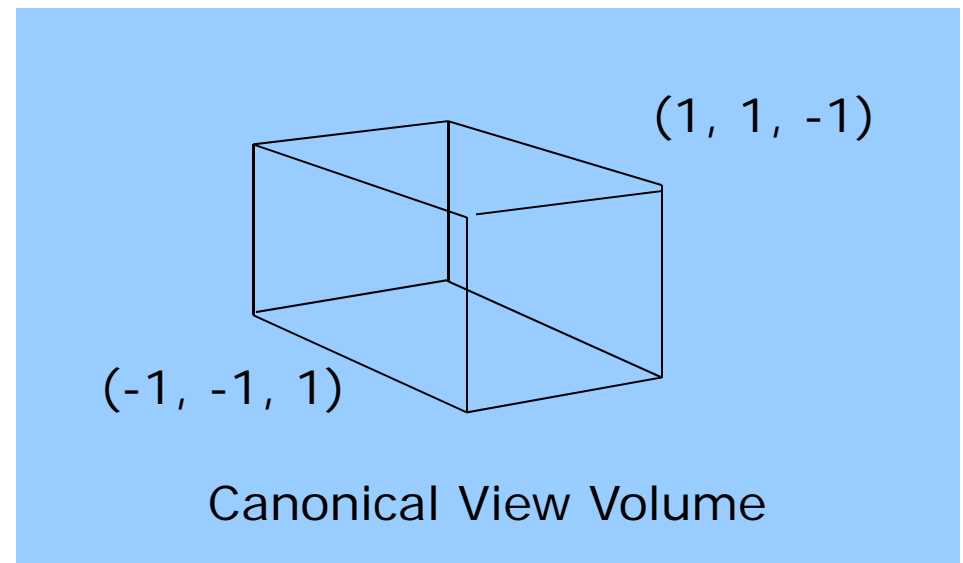
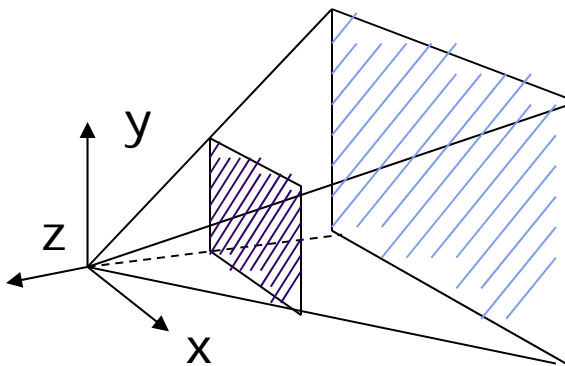
We want perspective Transformation and NOT classical projection!!

Set scaling z  
 $\text{Pseudodepth} = az + b$   
Next solve for a and b



# Perspective Transformation

- We want to transform viewing frustum volume into canonical view volume

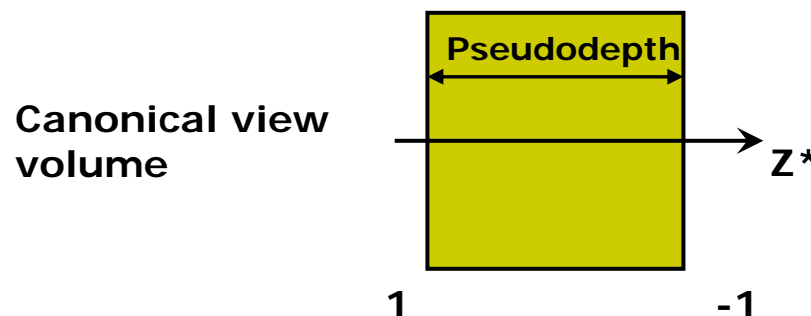
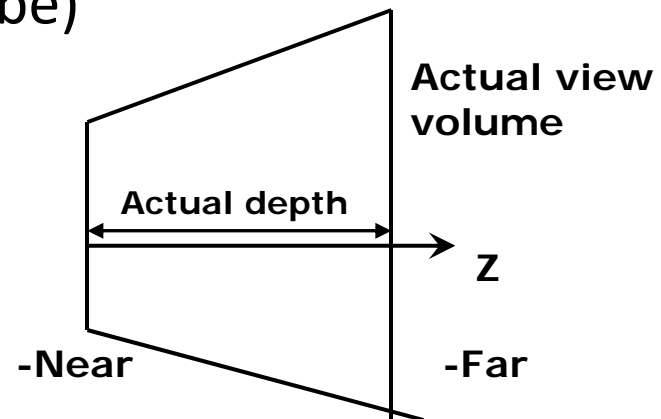


# Perspective Transformation using Pseudodepth



$$(x^*, y^*, z^*) = \left( x \frac{N}{-z}, y \frac{N}{-z}, \frac{az + b}{-z} \right)$$

- Choose  $a, b$  so as  $z$  varies from **Near** to **Far**, pseudodepth varies from **-1** to **1** (canonical cube)
- Boundary conditions
  - $z^* = -1$  when  $z = -N$
  - $z^* = 1$  when  $z = -F$





# Transformation of $z$ : Solve for $a$ and $b$

- Solving:

$$z^* = \frac{az + b}{-z}$$

- Use boundary conditions
  - $z^* = -1$  when  $z = -N$ .....(1)
  - $z^* = 1$  when  $z = -F$ .....(2)
- Set up simultaneous equations

$$-1 = \frac{-aN + b}{N} \Rightarrow -N = -aN + b \dots \dots (1)$$

$$1 = \frac{-aF + b}{F} \Rightarrow F = -aF + b \dots \dots (2)$$





# Transformation of $z$ : Solve for $a$ and $b$

$$-N = -aN + b \dots \dots (1)$$

$$F = -aF + b \dots \dots (2)$$

- Multiply both sides of (1) by -1

$$N = aN - b \dots \dots (3)$$

- Add eqns (2) and (3)

$$F + N = aN - aF$$

$$\Rightarrow a = \frac{F + N}{N - F} = \frac{-(F + N)}{F - N} \dots \dots (4)$$

- Now put (4) back into (3)



## Transformation of $z$ : Solve for $a$ and $b$

- Put solution for  $a$  back into eqn (3)

$$N = aN - b \dots \dots (3)$$

$$\Rightarrow N = \frac{-N(F + N)}{F - N} - b$$

$$\Rightarrow b = -N - \frac{-N(F + N)}{F - N}$$

$$\Rightarrow b = \frac{-N(F - N) - N(F + N)}{F - N} = \frac{-NF - N^2 - NF + N^2}{F - N} = \frac{-2NF}{F - N}$$

- So

$$a = \frac{-(F + N)}{F - N} \qquad b = \frac{-2FN}{F - N}$$



# What does this mean?

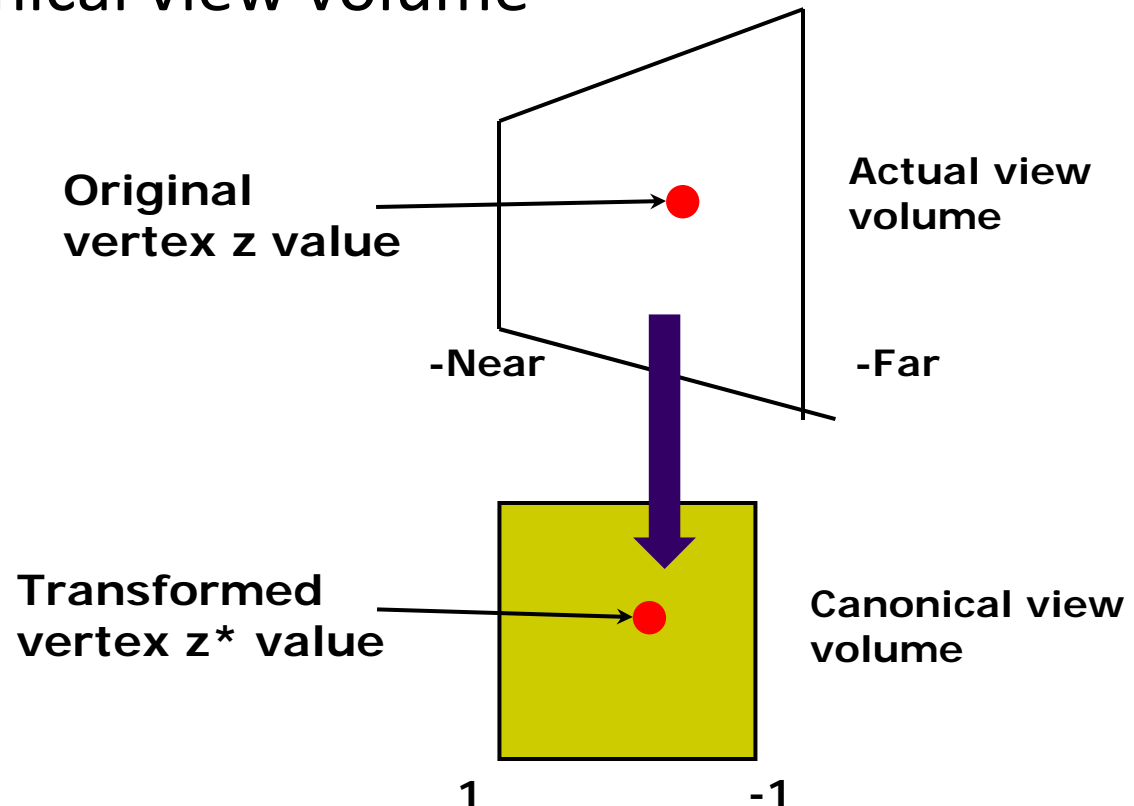
- Original point  $z$  in original view volume, transformed into  $z^*$  in canonical view volume

$$z^* = \frac{az + b}{-z}$$

- where

$$a = \frac{-(F + N)}{F - N}$$

$$b = \frac{-2FN}{F - N}$$





# Homogenous Coordinates

- Want to express projection transform as 4x4 matrix
- Previously, homogeneous coordinates of  
 $P = (P_x, P_y, P_z) \Rightarrow (P_x, P_y, P_z, 1)$
- Introduce arbitrary scaling factor,  $w$ , so that  
 $P = (wP_x, wP_y, wP_z, w)$  (**Note:**  $w$  is non-zero)
- For example, the point  $P = (2, 4, 6)$  can be expressed as
  - $(2, 4, 6, 1)$
  - or  $(4, 8, 12, 2)$  where  $w=2$
  - or  $(6, 12, 18, 3)$  where  $w = 3$ , or....
- To convert from homogeneous back to ordinary coordinates, first divide all four terms by  $w$  and discard 4<sup>th</sup> term



# Perspective Projection Matrix

- Recall Perspective Transform

$$(x^*, y^*, z^*) = \left( x \frac{N}{-z}, y \frac{N}{-z}, \frac{az + b}{-z} \right)$$

- We have:  $x^* = x \frac{N}{-z}$        $y^* = y \frac{N}{-z}$        $z^* = \frac{az + b}{-z}$

- In matrix form:

$$\begin{pmatrix} N & 0 & 0 & 0 \\ 0 & N & 0 & 0 \\ 0 & 0 & a & b \\ 0 & 0 & -1 & 0 \end{pmatrix} \begin{pmatrix} wx \\ wy \\ wz \\ w \end{pmatrix} = \begin{pmatrix} wNx \\ wNy \\ w(az + b) \\ -wz \end{pmatrix} \Rightarrow \begin{pmatrix} x \frac{N}{-z} \\ y \frac{N}{-z} \\ \frac{az + b}{-z} \\ 1 \end{pmatrix}$$

**Perspective Transform Matrix**      **Original vertex**      **Transformed Vertex**      **Transformed Vertex after dividing by 4<sup>th</sup> term**



# Perspective Projection Matrix

$$\begin{pmatrix} N & 0 & 0 & 0 \\ 0 & N & 0 & 0 \\ 0 & 0 & a & b \\ 0 & 0 & -1 & 0 \end{pmatrix} \begin{pmatrix} wP_x \\ wP_y \\ wP_z \\ w \end{pmatrix} = \begin{pmatrix} wNP_x \\ wNP_y \\ w(aP_z + b) \\ -wP_z \end{pmatrix} \Rightarrow \begin{pmatrix} x \frac{N}{-z} \\ y \frac{N}{-z} \\ \frac{az + b}{-z} \\ 1 \end{pmatrix}$$

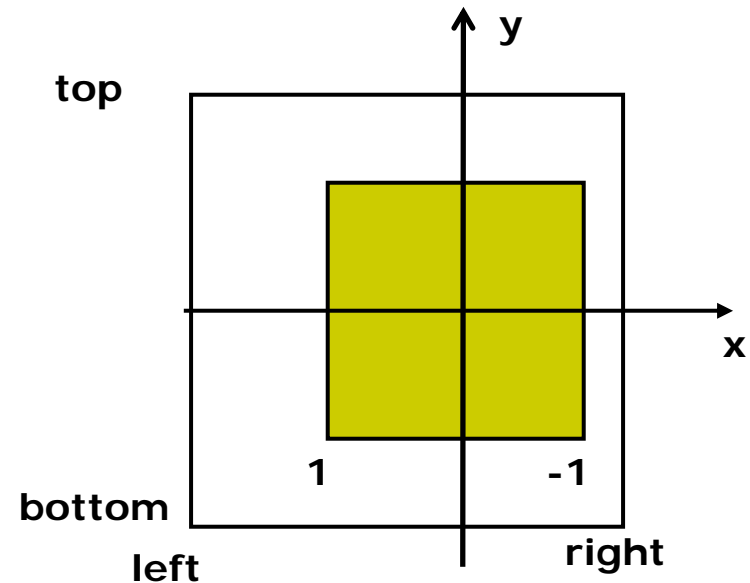
$$a = \frac{-(F+N)}{F-N} \quad b = \frac{-2FN}{F-N}$$

- In perspective transform matrix, already solved for  $a$  and  $b$ :
- So, we have transform matrix to transform  $\mathbf{z}$  values



# Perspective Projection

- Not done yet!! Can now transform z!
- Also need to transform the  $\mathbf{x} = (\text{left}, \text{right})$  and  $\mathbf{y} = (\text{bottom}, \text{top})$  ranges of viewing frustum to  $[-1, 1]$
- Similar to glOrtho, we need to translate and scale previous matrix along x and y to get final projection transform matrix
- we translate by
  - $-(\text{right} + \text{left})/2$  in x
  - $-(\text{top} + \text{bottom})/2$  in y
- Scale by:
  - $2/(\text{right} - \text{left})$  in x
  - $2/(\text{top} - \text{bottom})$  in y



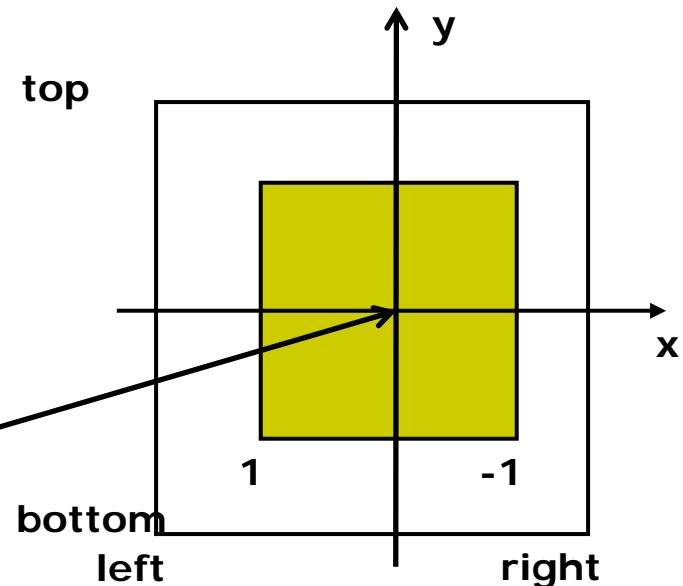
# Perspective Projection



- Translate along x and y to line up center with origin of CVV
  - $-(right + left)/2$  in x
  - $-(top + bottom)/2$  in y
- Multiply by translation matrix:

$$\begin{pmatrix} 1 & 0 & 0 & -(right + left) / 2 \\ 0 & 1 & 0 & -(top + bottom) / 2 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

Line up centers  
Along x and y





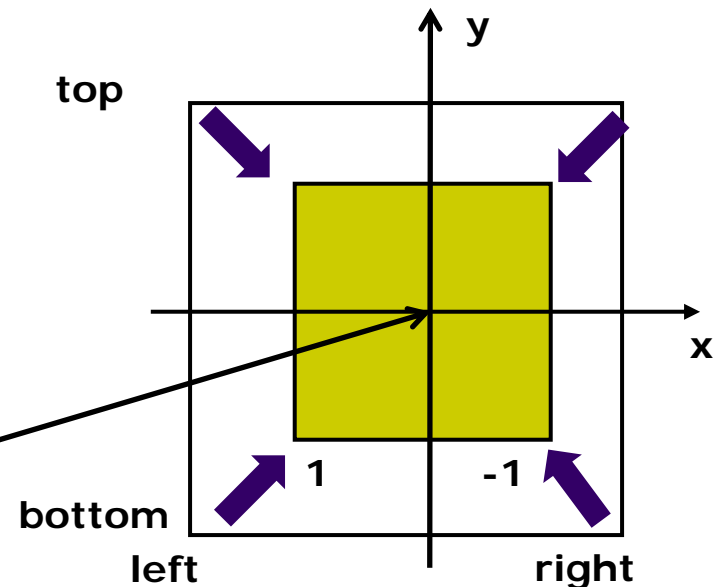
# Perspective Projection



- To bring view volume size down to size of of CVV, scale by
  - $2/(\text{right} - \text{left})$  in x
  - $2/(\text{top} - \text{bottom})$  in y
- Multiply by scale matrix:

$$\begin{pmatrix} \frac{2}{\text{right} - \text{left}} & 0 & 0 & 0 \\ 0 & \frac{2}{\text{top} - \text{bottom}} & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

Scale size down  
along x and y



# Perspective Projection Matrix



$$\begin{matrix}
 \text{Scale} & & \text{Translate} & & \\
 \left( \begin{array}{cccc}
 \frac{2}{right - left} & 0 & 0 & 0 \\
 0 & \frac{2}{top - bottom} & 0 & 0 \\
 0 & 0 & 1 & 0 \\
 0 & 0 & 0 & 1
 \end{array} \right) & \times & \left( \begin{array}{cccc}
 1 & 0 & 0 & -(right + left) / 2 \\
 0 & 1 & 0 & -(top + bottom) / 2 \\
 0 & 0 & 1 & 0 \\
 0 & 0 & 0 & 1
 \end{array} \right) & \times & \left( \begin{array}{cccc}
 N & 0 & 0 & 0 \\
 0 & N & 0 & 0 \\
 0 & 0 & a & b \\
 0 & 0 & -1 & 0
 \end{array} \right)
 \end{matrix}$$

→

$$\left( \begin{array}{cccc}
 \frac{2N}{x_{max} - x_{min}} & 0 & \frac{right + left}{right - left} & 0 \\
 0 & \frac{2N}{top - bottom} & \frac{top + bottom}{top - bottom} & 0 \\
 0 & 0 & \frac{-(F + N)}{F - N} & \frac{-2FN}{F - N} \\
 0 & 0 & -1 & 0
 \end{array} \right)$$

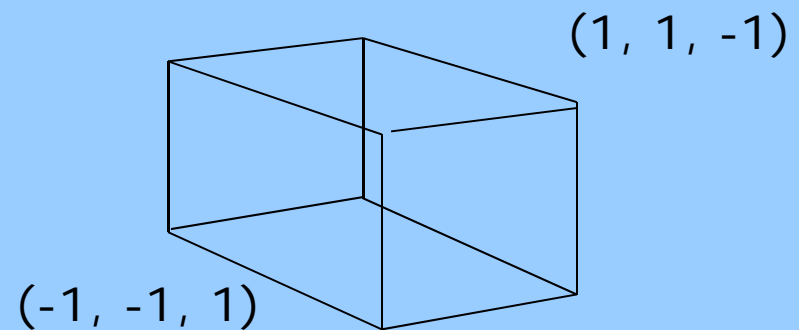
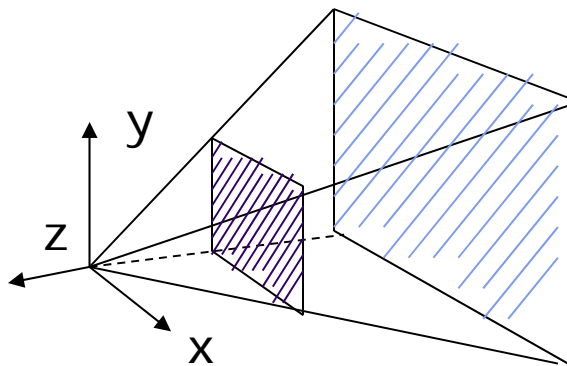
**Final Perspective Transform Matrix**

**glFrustum(left, right, bottom, top, N, F)**    N = near plane, F = far plane



# Perspective Transformation

- After perspective transformation, viewing frustum volume is transformed into canonical view volume

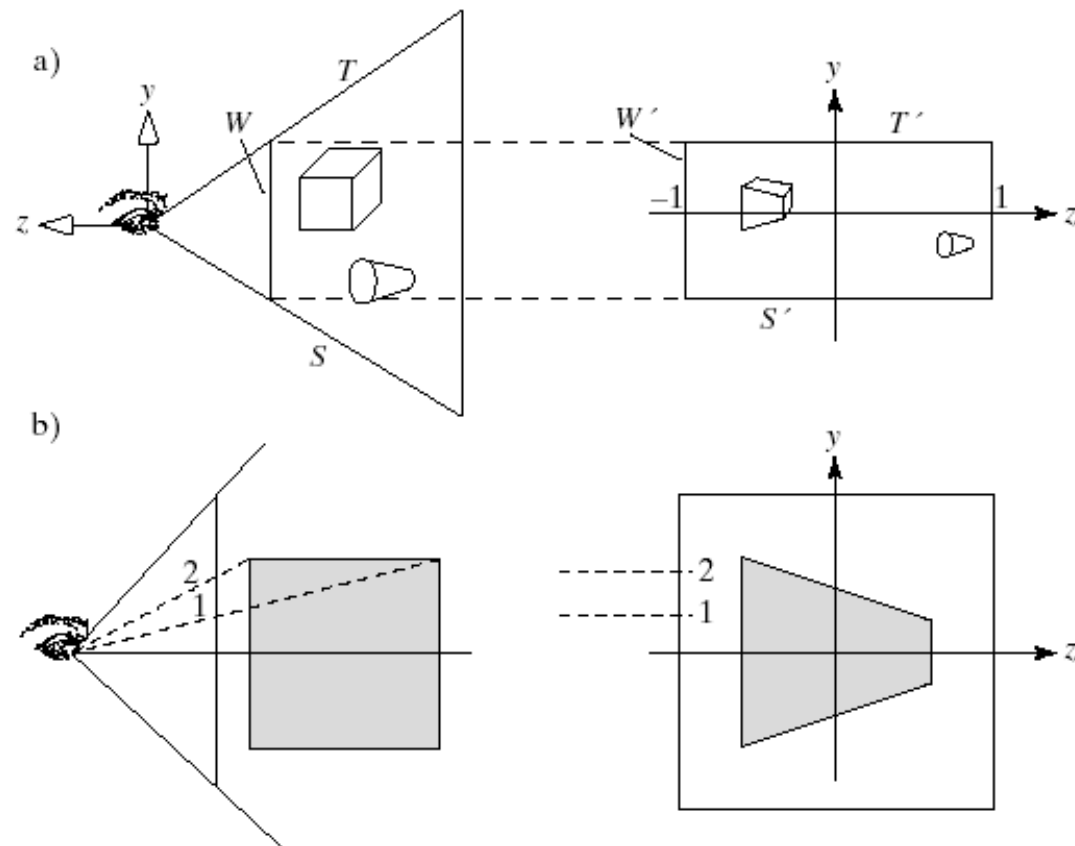


Canonical View Volume

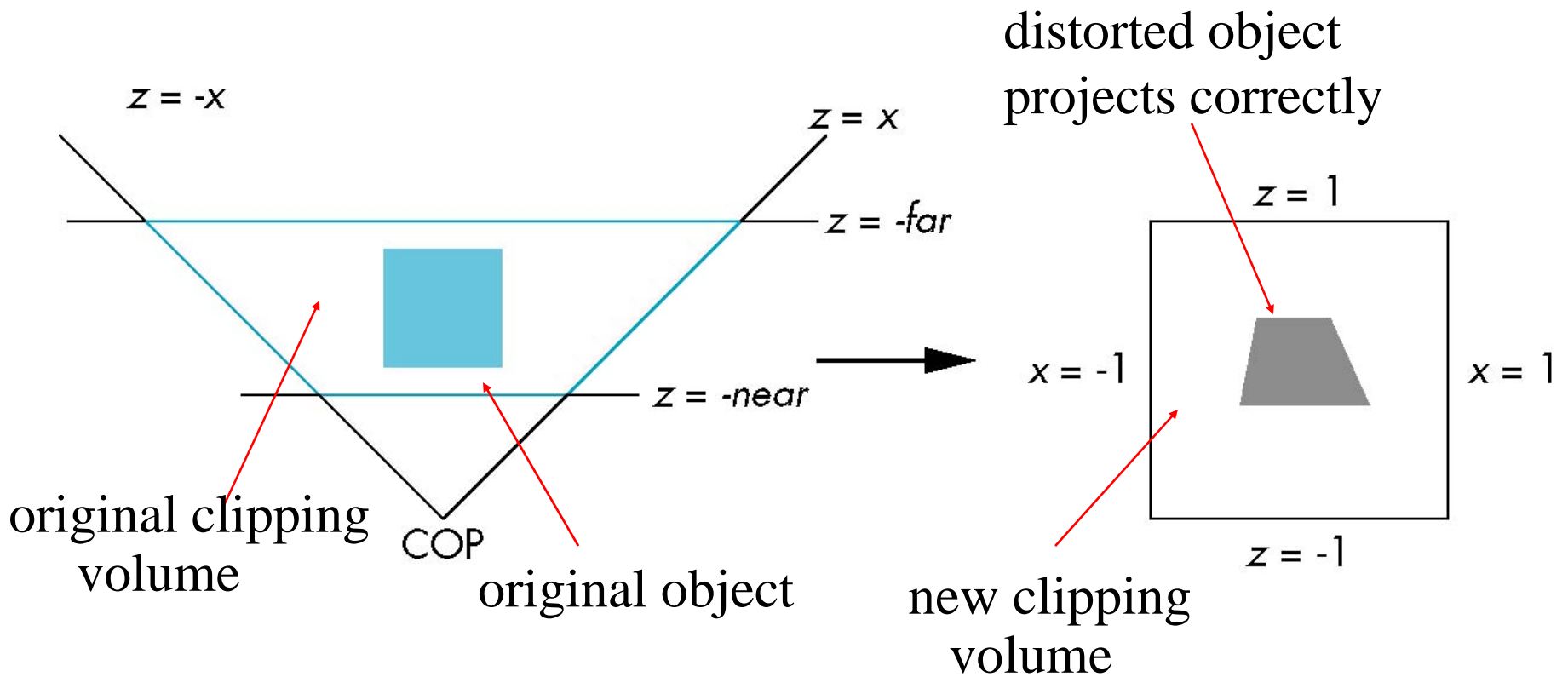
# Geometric Nature of Perspective Transform



- a) Lines through eye map into lines parallel to  $z$  axis after transform
- b) Lines perpendicular to  $z$  axis map to lines perp to  $z$  axis after transform



# Normalization Transformation





# Implementation

- Set modelview and projection matrices in application program
- Pass matrices to shader

```
void display( ) {  
    .....  
    model_view = LookAt(eye, at, up);  
    projection = Ortho(left, right, bottom, top, near, far);  
  
    // pass model_view and projection matrices to shader  
    glUniformMatrix4fv(matrix_loc, 1, GL_TRUE, model_view);  
    glUniformMatrix4fv(projection_loc, 1, GL_TRUE, projection);  
    .....  
}
```

Build 4x4 projection matrix

A red arrow points from the text "Build 4x4 projection matrix" to the 'projection' variable in the code snippet.



# Implementation

- And the corresponding shader

```
in vec4 vPosition;
in vec4 vColor;
Out vec4 color;
uniform mat4 model_view;
Uniform mat4 projection;

void main( )
{
    gl_Position = projection*model_view*vPosition;
    color = vColor;
}
```



## References

- Interactive Computer Graphics (6<sup>th</sup> edition), Angel and Shreiner
- Computer Graphics using OpenGL (3<sup>rd</sup> edition), Hill and Kelley