

**CS 4731/543: Computer Graphics**  
**Lecture 3 (Part II): 3D Affine transforms**

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# Introduction to Transformations

- Introduce 3D affine transformation:
  - Position (translation)
  - Size (scaling)
  - Orientation (rotation)
  - Shapes (shear)
- Previously developed 2D  $(x,y)$
- Now, extend to 3D or  $(x,y,z)$  case
- Extend transform matrices to 3D
- Enable transformation of points by multiplication

## Point Representation

- Previously, point in 2D as column matrix

$$\begin{pmatrix} x \\ y \end{pmatrix} \longrightarrow \begin{pmatrix} x \\ y \\ 1 \end{pmatrix}$$

- Now, extending to 3D, add z-component:

$$\begin{pmatrix} x \\ y \\ z \\ 1 \end{pmatrix} \quad \text{or} \quad P = \begin{pmatrix} P_x \\ P_y \\ P_z \\ 1 \end{pmatrix}$$

## Transforms in 3D

- 2D: 3x3 matrix multiplication
- 3D: 4x4 matrix multiplication: homogenous coordinates
- Recall: transform object = transform each vertice
- General form:

$$M = \begin{pmatrix} m_{11} & m_{12} & m_{13} & m_{14} \\ m_{21} & m_{22} & m_{23} & m_{24} \\ m_{31} & m_{32} & m_{33} & m_{34} \\ 0 & 0 & 0 & 1 \end{pmatrix} \xrightarrow{\text{Xform of } P} \begin{pmatrix} Q_x \\ Q_y \\ Q_z \\ 1 \end{pmatrix} = M \begin{pmatrix} P_x \\ P_y \\ P_z \\ 1 \end{pmatrix}$$

## Recall: 3x3 2D Translation Matrix

■ Previously, 2D :

$$\begin{pmatrix} x' \\ y' \end{pmatrix} = \begin{pmatrix} x \\ y \end{pmatrix} + \begin{pmatrix} t_x \\ t_y \end{pmatrix}$$



$$\begin{pmatrix} x' \\ y' \\ 1 \end{pmatrix} = \begin{pmatrix} 1 & 0 & t_x \\ 0 & 1 & t_y \\ 0 & 0 & 1 \end{pmatrix} * \begin{pmatrix} x \\ y \\ 1 \end{pmatrix}$$


## 4x4 3D Translation Matrix

▪ Now, 3D :

$$\begin{pmatrix} x' \\ y' \\ z' \end{pmatrix} = \begin{pmatrix} x \\ y \\ z \end{pmatrix} + \begin{pmatrix} t_x \\ t_y \\ t_z \end{pmatrix}$$

OpenGL:

glTranslated(tx,ty,tz)

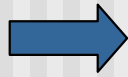

$$\begin{pmatrix} x' \\ y' \\ z' \\ 1 \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 & t_x \\ 0 & 1 & 0 & t_y \\ 0 & 0 & 1 & t_z \\ 0 & 0 & 0 & 1 \end{pmatrix} * \begin{pmatrix} x \\ y \\ z \\ 1 \end{pmatrix}$$

▪ Where:  $x' = x.1 + y.0 + z.0 + tx.1 = x + tx, \dots$  etc

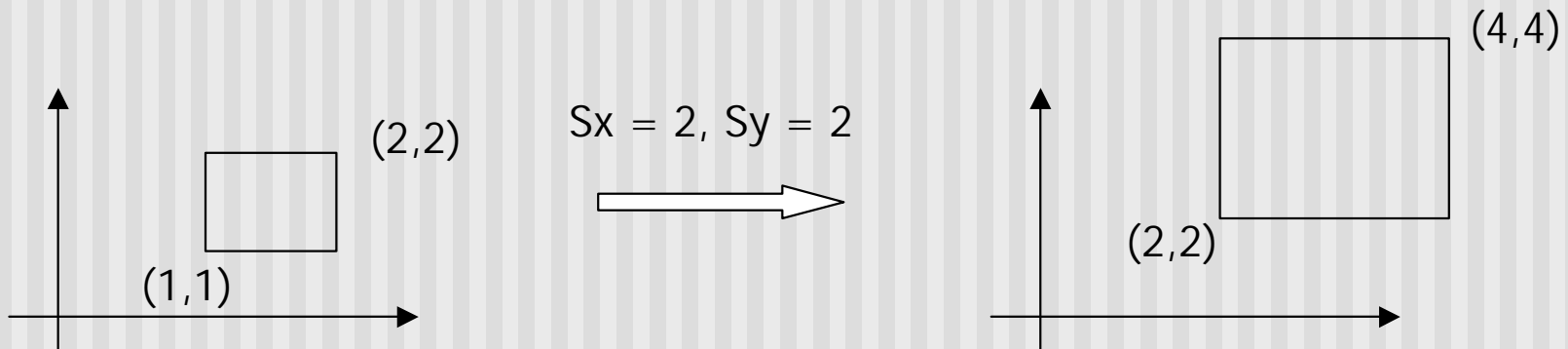
## 2D Scaling

- Scale: Alter object size by scaling factor  $(s_x, s_y)$ . i.e

$$\begin{aligned}x' &= x \cdot S_x \\y' &= y \cdot S_y\end{aligned}$$



$$\begin{pmatrix} x' \\ y' \end{pmatrix} = \begin{pmatrix} S_x & 0 \\ 0 & S_y \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix}$$



## Recall: 3x3 2D Scaling Matrix

$$\begin{pmatrix} x' \\ y' \end{pmatrix} = \begin{pmatrix} Sx & 0 \\ 0 & Sy \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix}$$



$$\begin{pmatrix} x' \\ y' \\ 1 \end{pmatrix} = \begin{pmatrix} Sx & 0 & 0 \\ 0 & Sy & 0 \\ 0 & 0 & 1 \end{pmatrix} * \begin{pmatrix} x \\ y \\ 1 \end{pmatrix}$$



## 4x4 3D Scaling Matrix

$$\begin{pmatrix} x' \\ y' \\ 1 \end{pmatrix} = \begin{pmatrix} S_x & 0 & 0 \\ 0 & S_y & 0 \\ 0 & 0 & 1 \end{pmatrix} * \begin{pmatrix} x \\ y \\ 1 \end{pmatrix}$$



$$\begin{pmatrix} x' \\ y' \\ z' \\ 1 \end{pmatrix} = \begin{pmatrix} S_x & 0 & 0 & 0 \\ 0 & S_y & 0 & 0 \\ 0 & 0 & S_z & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} * \begin{pmatrix} x \\ y \\ z \\ 1 \end{pmatrix}$$

- Example:
- If  $S_x = S_y = S_z = 0.5$
- Can scale:
  - big cube (sides = 1) to small cube ( sides = 0.5)
- 2D: square, 3D cube

**OpenGL:**

`glScaled(Sx,Sy,Sz)`

## Example: OpenGL Table Leg

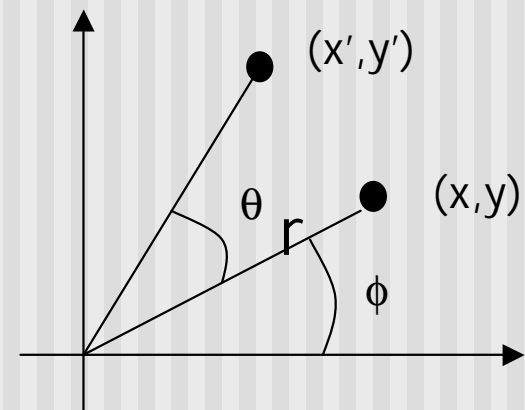
```
// define table leg
//-----
-----
void tableLeg(double thick, double len){
    glPushMatrix();
    glTranslated(0, len/2, 0);
    glScaled(thick, len, thick);
    glutSolidCube(1.0);
    glPopMatrix();
}
```

## Recall: 3x3 2D Rotation Matrix

$$\begin{pmatrix} x' \\ y' \end{pmatrix} = \begin{pmatrix} \cos(\mathbf{q}) & -\sin(\mathbf{q}) \\ \sin(\mathbf{q}) & \cos(\mathbf{q}) \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix}$$



$$\begin{pmatrix} x' \\ y' \\ 1 \end{pmatrix} = \begin{pmatrix} \cos(\mathbf{q}) & -\sin(\mathbf{q}) & 0 \\ \sin(\mathbf{q}) & \cos(\mathbf{q}) & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} x \\ y \\ 1 \end{pmatrix}$$

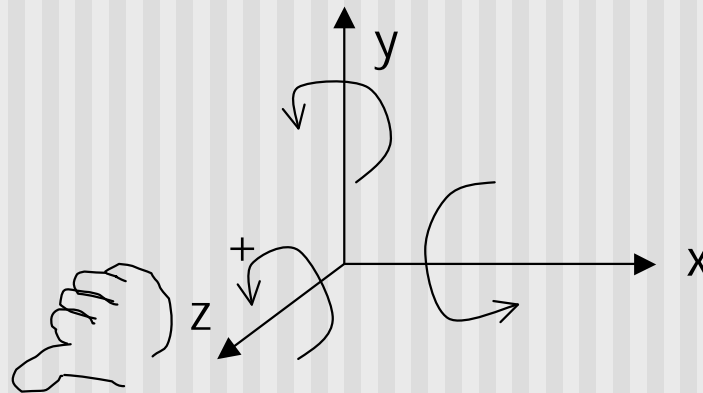


## Rotating in 3D

- Cannot do mindless conversion like before
- Why?
  - Rotate about what axis?
  - 3D rotation: about a defined axis
  - Different Xform matrix for:
    - Rotation about x-axis
    - Rotation about y-axis
    - Rotation about z-axis
- New terminology
  - X-roll: rotation about x-axis
  - Y-roll: rotation about y-axis
  - Z-roll: rotation about z-axis

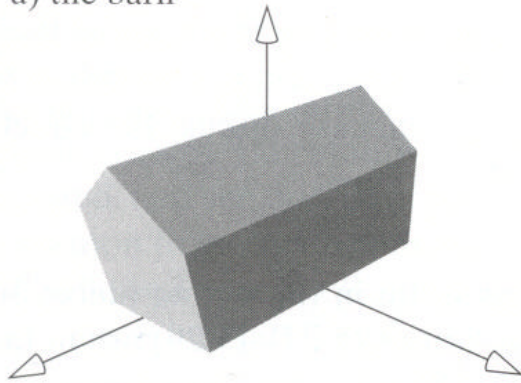
## Rotating in 3D

- New terminology
  - X-roll: rotation about x-axis
  - Y-roll: rotation about y-axis
  - Z-roll: rotation about z-axis
- Which way is +ve rotation
  - Look in -ve direction (into +ve arrow)
  - CCW is +ve rotation

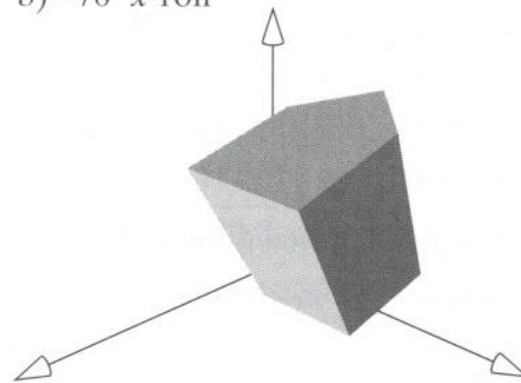


# Rotating in 3D

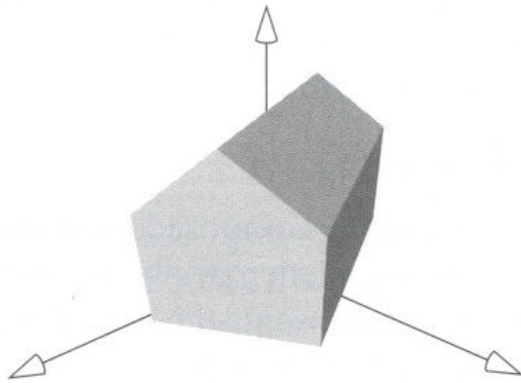
a) the barn



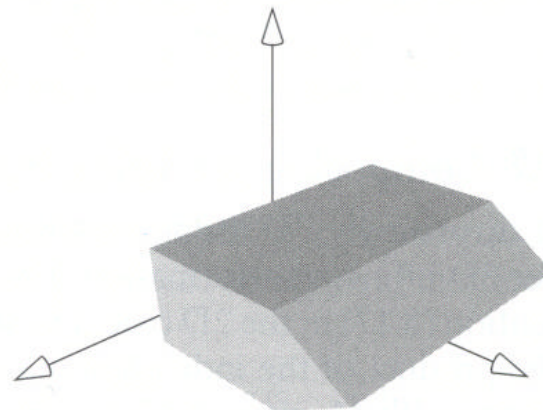
b)  $-70^\circ$  x-roll



c)  $30^\circ$  y-roll



d)  $-90^\circ$  z-roll



## Rotating in 3D

- For a rotation angle,  $\mathbf{b}$  about an axis
- Define:

$$c = \cos(\mathbf{b}) \qquad s = \sin(\mathbf{b})$$

A x-roll:

$$R_x(\mathbf{b}) = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & c & -s & 0 \\ 0 & s & c & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

**OpenGL:**

`glrotated( $\mathbf{q}$ , 1,0,0)`

## Rotating in 3D

A y-roll:

**OpenGL:**

`glrotated( $\mathbf{q}$ , 0, 1, 0)`

$$R_y(\mathbf{b}) = \begin{pmatrix} c & 0 & s & 0 \\ 0 & 1 & 0 & 0 \\ -s & 0 & c & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

Rules:

- Rotate row, column int. is 1
- Rest of row/col is 0
- c,s in rect pattern

A z-roll:

**OpenGL:**

`glrotated( $\mathbf{q}$ , 0, 0, 1)`

$$R_z(\mathbf{b}) = \begin{pmatrix} c & -s & 0 & 0 \\ s & c & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$



## Example: Rotating in 3D

Q: Using y-roll equation, rotate  $P = (3,1,4)$  by 30 degrees:

A:  $c = \cos(30) = 0.866$ ,  $s = \sin(30) = 0.5$ , and

$$Q = \begin{pmatrix} c & 0 & s & 0 \\ 0 & 1 & 0 & 0 \\ -s & 0 & c & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 3 \\ 1 \\ 4 \\ 1 \end{pmatrix} = \begin{pmatrix} 4.6 \\ 1 \\ 1.964 \\ 1 \end{pmatrix}$$

E.g. first line:  $3.c + 1.0 + 4.s + 1.0 = 4.6$

## Matrix Multiplication Code

Q: Write C code to Multiply point  $P = (P_x, P_y, P_z, 1)$  by a 4x4 matrix shown below to give new point  $Q = (Q_x, Q_y, Q_z, 1)$ . i.e.

where

$$\begin{pmatrix} Q_x \\ Q_y \\ Q_z \\ 1 \end{pmatrix} = M \begin{pmatrix} P_x \\ P_y \\ P_z \\ 1 \end{pmatrix}$$

$$M = \begin{pmatrix} m_{11} & m_{12} & m_{13} & m_{14} \\ m_{21} & m_{22} & m_{23} & m_{24} \\ m_{31} & m_{32} & m_{33} & m_{34} \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

# Matrix Multiplication Code

- Outline of solution:
  - Declare  $P, Q$  as array:
    - Double  $P[4], Q[4]$ ;
  - Declare transform matrix as 2-dimensional array
    - Double  $M[4][4]$ ;
  - Remember: C indexes from 0, not 1
  - Long way:
    - Write out equations line by line expression for  $Q[i]$
    - E.g.  $Q[0] = P[0]*M[0][0] + P[1]*M[0][1] + P[2]*M[0][2] + P[3]*M[0][3]$
  - Cute way:
    - Use indexing, say  $i$  for outer loop,  $j$  for inner loop

## Matrix Multiplication Code

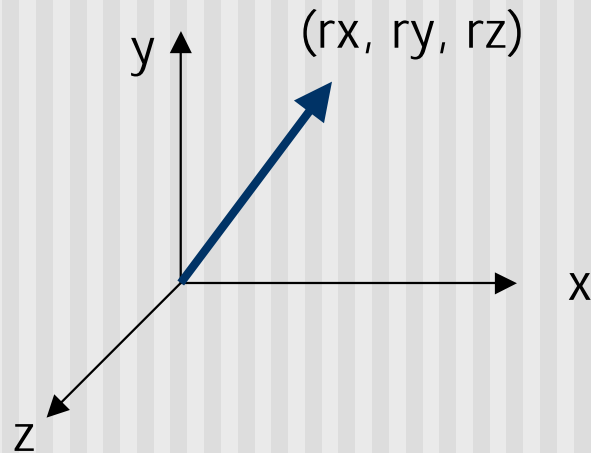
- Using loops looks like:

- ```
for(i=0;i<4;i++)
{
    temp = 0;
    for(j=0;j<4;j++)
    {
        temp += P[j]*M[i][j];
    }
    Q[i] = temp;
}
```

- Test matrix code rigorously
- Use known results (or by hand) and plug into your code

## 3D Rotation About Arbitrary Axis

- Arbitrary rotation axis  $(rx, ry, rz)$
- OpenGL: `rotate( $\theta$ , rx, ry, rz)`
- Without OpenGL: a little hairy!!
- Important: read Hill and Kelley, pg 220 - 223



## 3D Rotation About Arbitrary Axis

- Can compose arbitrary rotation as combination of:
  - X-roll
  - Y-roll
  - Z-roll

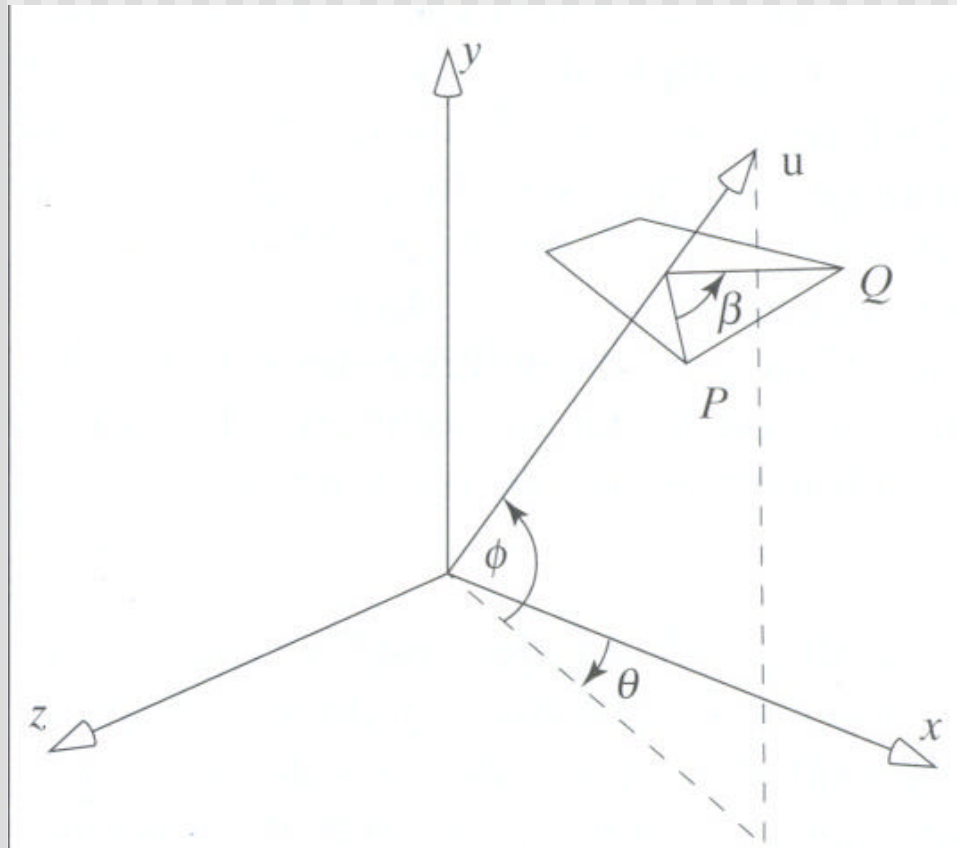
$$M = R_z(\mathbf{b}_3)R_y(\mathbf{b}_2)R_x(\mathbf{b}_1)$$

## 3D Rotation About Arbitrary Axis

- Classic: use Euler's theorem
- Euler's theorem: any sequence of rotations = one rotation about some axis
- Our approach:
  - Want to rotate  $\beta$  about the axis  $\mathbf{u}$  through origin and arbitrary point
  - Use two rotations to align  $\mathbf{u}$  and x-axis
  - Do x-roll through angle  $\beta$
  - Negate two previous rotations to de-align  $\mathbf{u}$  and x-axis

## 3D Rotation About Arbitrary Axis

$$R_u(\mathbf{b}) = R_y(-\mathbf{q})R_z(\mathbf{f})R_x(\mathbf{b})R_z(-\mathbf{f})R_y(\mathbf{q})$$





## Composing Transformation

- Composing transformation – applying several transforms in succession to form one overall transformation
- Example:

$$\mathbf{M1 \times M2 \times M3 \times P}$$

where M1, M2, M3 are transform matrices applied to P

- Be careful with the order
- Matrix multiplication is not commutative

## References

- Hill, chapter 5.3