

# Context-Free Languages

$$\{a^n b^n : n \geq 0\} \quad \{ww^R\}$$

Regular Languages

$$a^* b^* \quad (a + b)^*$$

# Context-Free Languages

$\{a^n b^n\}$

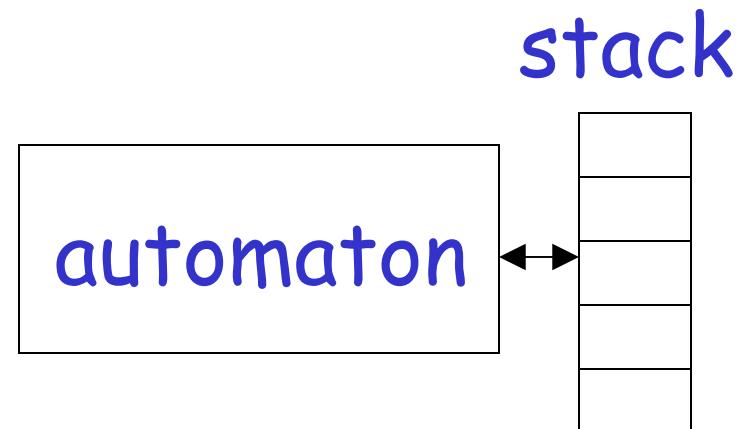
$\{ww^R\}$

Regular Languages

# Context-Free Languages

Context-Free  
Grammars

Pushdown  
Automata



# Context-Free Grammars

# Example

A context-free grammar  $G$ :

$$S \rightarrow aSb$$
$$S \rightarrow \lambda$$

A derivation:

$$S \Rightarrow aSb \Rightarrow aaSbb \Rightarrow aabb$$

A context-free grammar  $G$ :

$$S \rightarrow aSb$$
$$S \rightarrow \lambda$$

Another derivation:

$$S \Rightarrow aSb \Rightarrow aaSbb \Rightarrow aaaSbbb \Rightarrow aaabbb$$

$$S \rightarrow aSb$$

$$S \rightarrow \lambda$$

$$L(G) = \{a^n b^n : n \geq 0\}$$

Describes parentheses: ((((( )))))

# Example

A context-free grammar  $G$ :

$$S \rightarrow aSa$$
$$S \rightarrow bSb$$
$$S \rightarrow \lambda$$

A derivation:

$$S \Rightarrow aSa \Rightarrow abSba \Rightarrow abba$$

A context-free grammar  $G$ :  $S \rightarrow aSa$

$S \rightarrow bSb$

$S \rightarrow \lambda$

Another derivation:

$S \Rightarrow aSa \Rightarrow abSba \Rightarrow abaSaba \Rightarrow abaaba$

$$S \rightarrow aSa$$

$$S \rightarrow bSb$$

$$S \rightarrow \lambda$$

$$L(G) = \{ww^R : w \in \{a,b\}^*\}$$

# Example

A context-free grammar  $G$ :  $S \rightarrow aSb$

$$S \rightarrow SS$$

$$S \rightarrow \lambda$$

A derivation:

$$S \Rightarrow SS \Rightarrow aSbS \Rightarrow abS \Rightarrow ab$$

A context-free grammar  $G$ :  $S \rightarrow aSb$

$S \rightarrow SS$

$S \rightarrow \lambda$

A derivation:

$S \Rightarrow SS \Rightarrow aSbS \Rightarrow abS \Rightarrow abaSb \Rightarrow abab$

$$S \rightarrow aSb$$

$$S \rightarrow SS$$

$$S \rightarrow \lambda$$

$$\begin{aligned} L(G) = \{ w & : n_a(w) = n_b(w), \\ & \text{and } n_a(v) \geq n_b(v) \\ & \text{in any prefix } v \} \end{aligned}$$

Describes

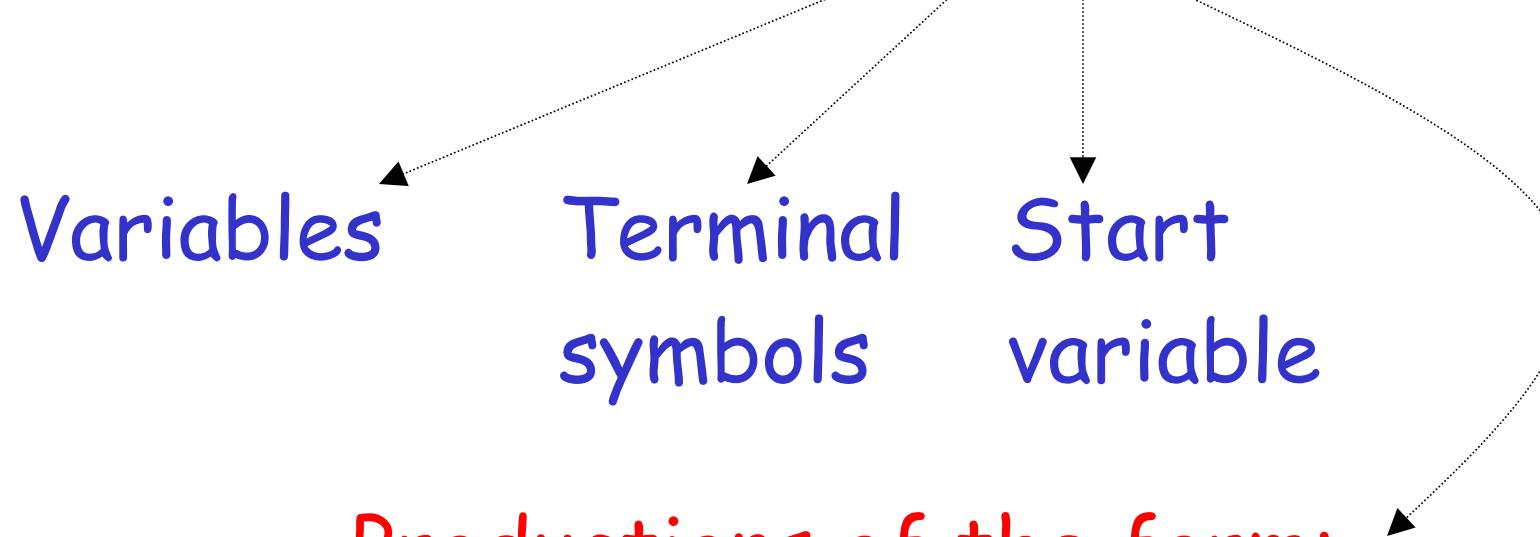
matched

parentheses:

( ) (( ( )))) (( ))

# Definition: Context-Free Grammars

Grammar  $G = (V, T, S, P)$



Productions of the form:

$A \rightarrow x$

Variable      String of variables  
                  and terminals

$$G = (V, T, S, P)$$

\*

$$L(G) = \{ w : \quad S \xrightarrow{*} w, \quad w \in T^* \}$$

# Definition: Context-Free Languages

A language  $L$  is context-free

if and only if

there is a context-free grammar  $G$   
with  $L = L(G)$

# Derivation Order

$$1. \ S \rightarrow AB$$

$$2. \ A \rightarrow aaA$$

$$4. \ B \rightarrow Bb$$

$$3. \ A \rightarrow \lambda$$

$$5. \ B \rightarrow \lambda$$

Leftmost derivation:

$$\begin{array}{ccccc} 1 & 2 & 3 & 4 & 5 \\ S \Rightarrow AB \Rightarrow aaAB \Rightarrow aaB \Rightarrow aaBb \Rightarrow aab \end{array}$$

Rightmost derivation:

$$\begin{array}{ccccc} 1 & 4 & 5 & 2 & 3 \\ S \Rightarrow AB \Rightarrow ABb \Rightarrow Ab \Rightarrow aaAb \Rightarrow aab \end{array}$$

$$S \rightarrow aAB$$

$$A \rightarrow bBb$$

$$B \rightarrow A \mid \lambda$$

Leftmost derivation:

$$\begin{aligned} S &\Rightarrow aAB \Rightarrow abBbB \Rightarrow abAbB \Rightarrow abbBbbB \\ &\Rightarrow abbbbB \Rightarrow abbbb \end{aligned}$$

Rightmost derivation:

$$\begin{aligned} S &\Rightarrow aAB \Rightarrow aA \Rightarrow abBb \Rightarrow abAb \\ &\Rightarrow abbBbb \Rightarrow abbbb \end{aligned}$$

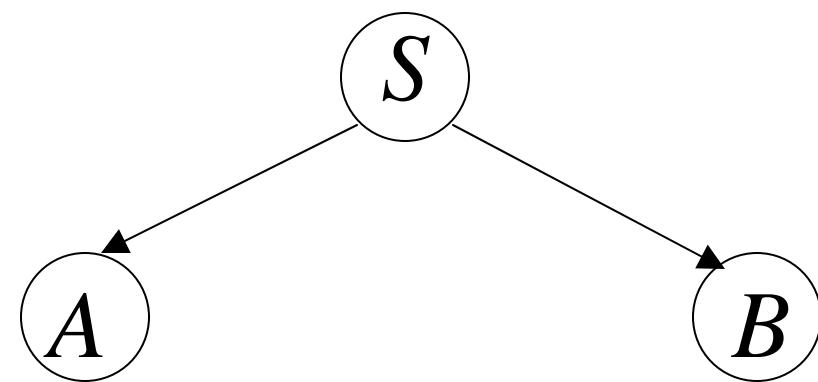
# Derivation Trees

$$S \rightarrow AB$$

$$A \rightarrow aaA \mid \lambda$$

$$B \rightarrow Bb \mid \lambda$$

$$S \Rightarrow AB$$

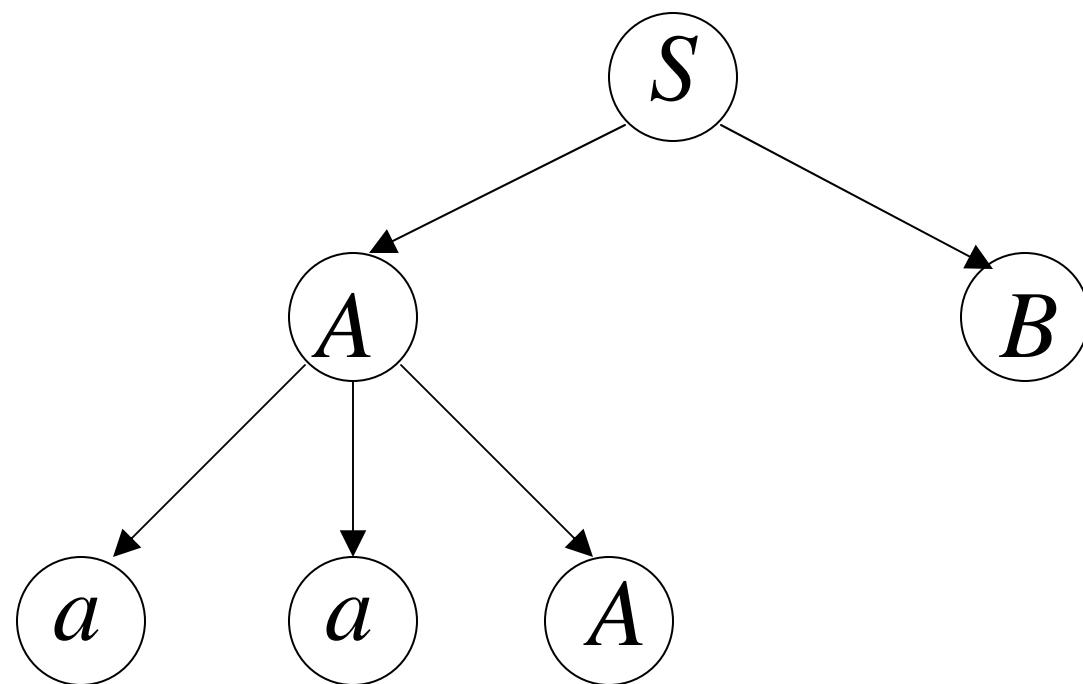


$$S \rightarrow AB$$

$$A \rightarrow aaA \mid \lambda$$

$$B \rightarrow Bb \mid \lambda$$

$$S \Rightarrow AB \Rightarrow aaAB$$

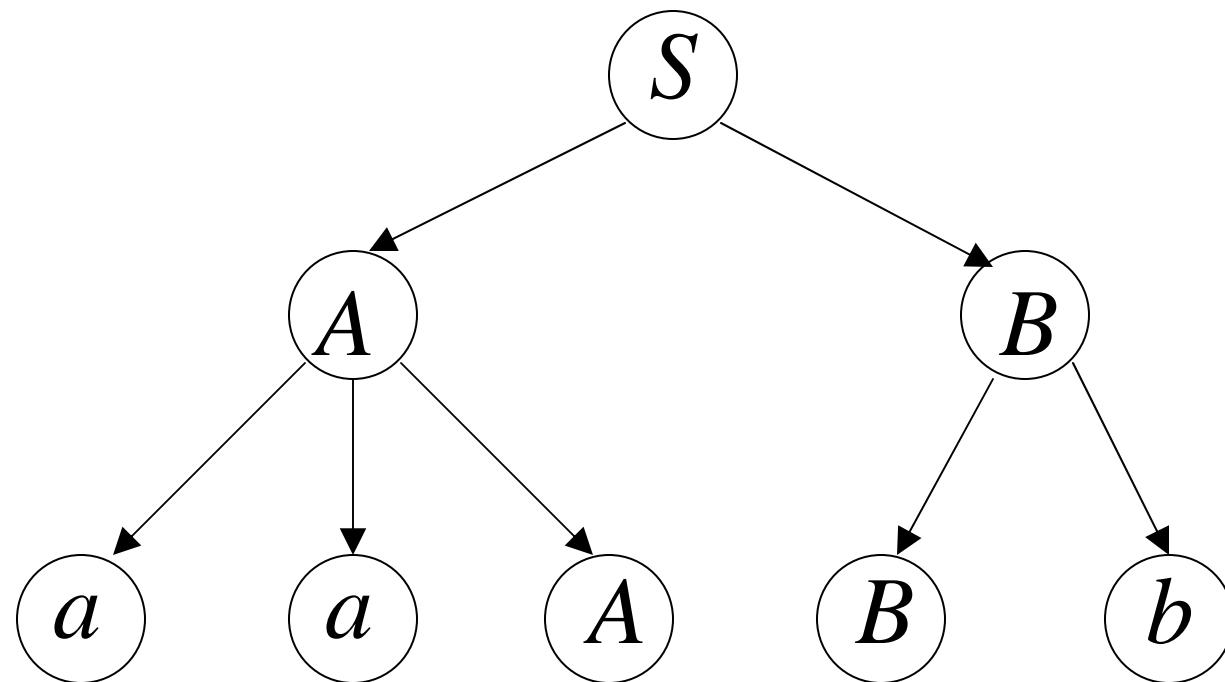


$$S \rightarrow AB$$

$$A \rightarrow aaA \mid \lambda$$

$$B \rightarrow Bb \mid \lambda$$

$$S \Rightarrow AB \Rightarrow aaAB \Rightarrow aaABb$$

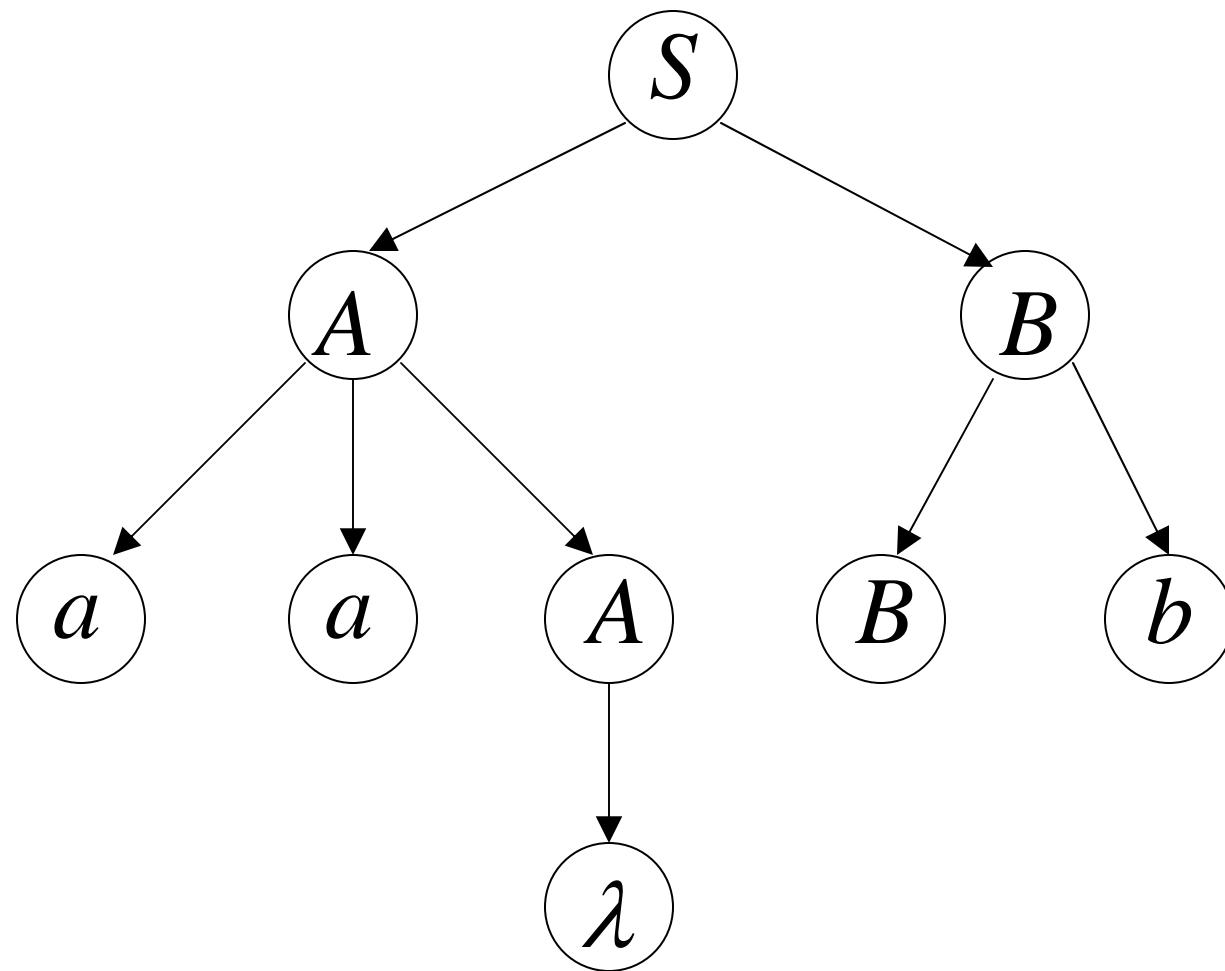


$$S \rightarrow AB$$

$$A \rightarrow aaA \mid \lambda$$

$$B \rightarrow Bb \mid \lambda$$

$$S \Rightarrow AB \Rightarrow aaAB \Rightarrow aaABb \Rightarrow aaBb$$



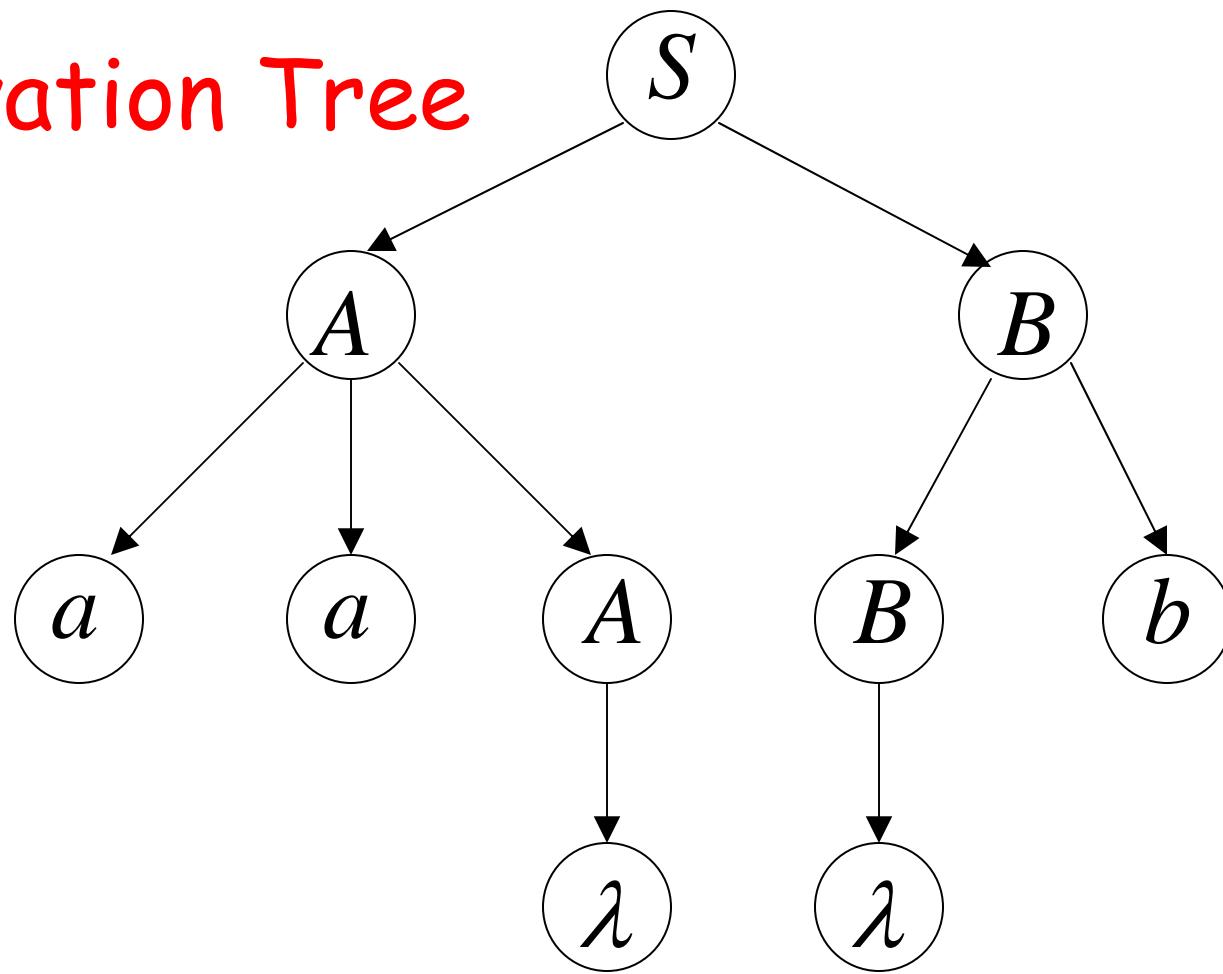
$$S \rightarrow AB$$

$$A \rightarrow aaA \mid \lambda$$

$$B \rightarrow Bb \mid \lambda$$

$$S \Rightarrow AB \Rightarrow aaAB \Rightarrow aaABb \Rightarrow aaBb \Rightarrow aab$$

## Derivation Tree



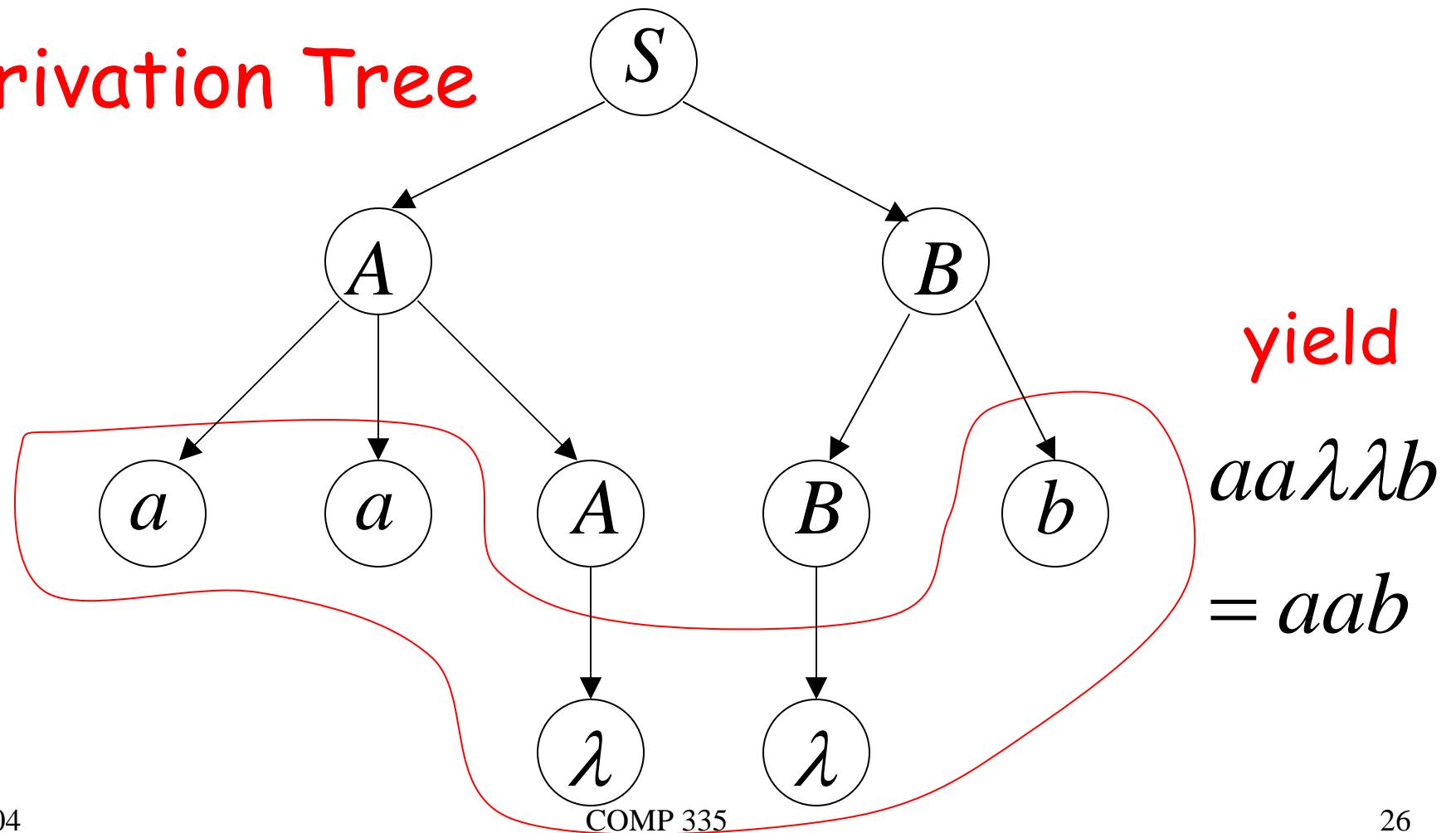
$$S \rightarrow AB$$

$$A \rightarrow aaA \mid \lambda$$

$$B \rightarrow Bb \mid \lambda$$

$$S \Rightarrow AB \Rightarrow aaAB \Rightarrow aaABb \Rightarrow aaBb \Rightarrow aab$$

Derivation Tree



# Partial Derivation Trees

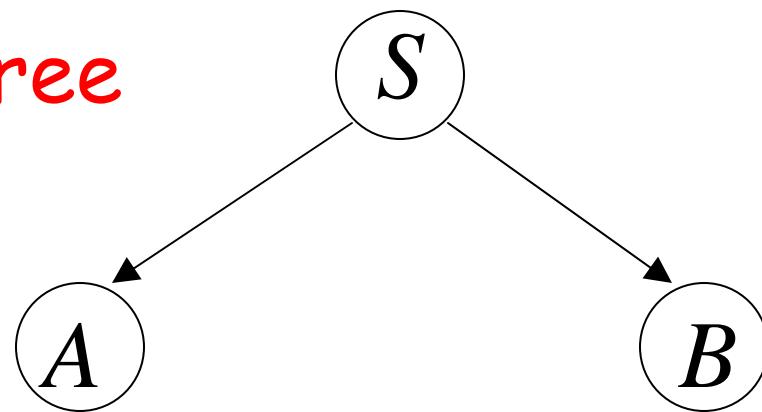
$$S \rightarrow AB$$

$$A \rightarrow aaA \mid \lambda$$

$$B \rightarrow Bb \mid \lambda$$

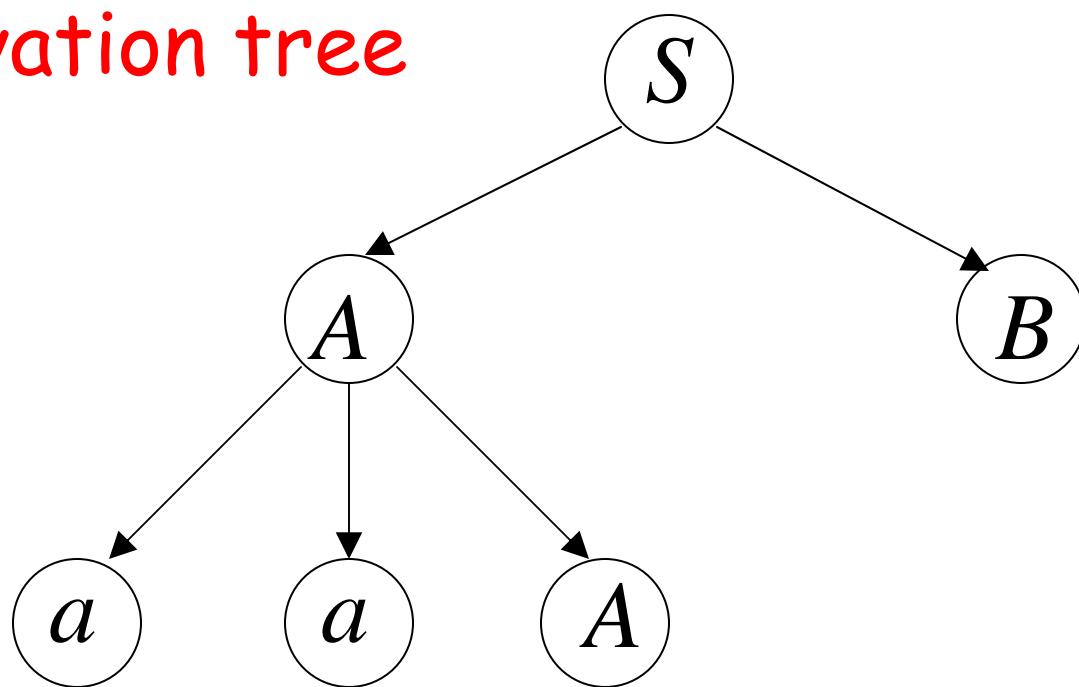
$$S \Rightarrow AB$$

Partial derivation tree



$$S \Rightarrow AB \Rightarrow aaAB$$

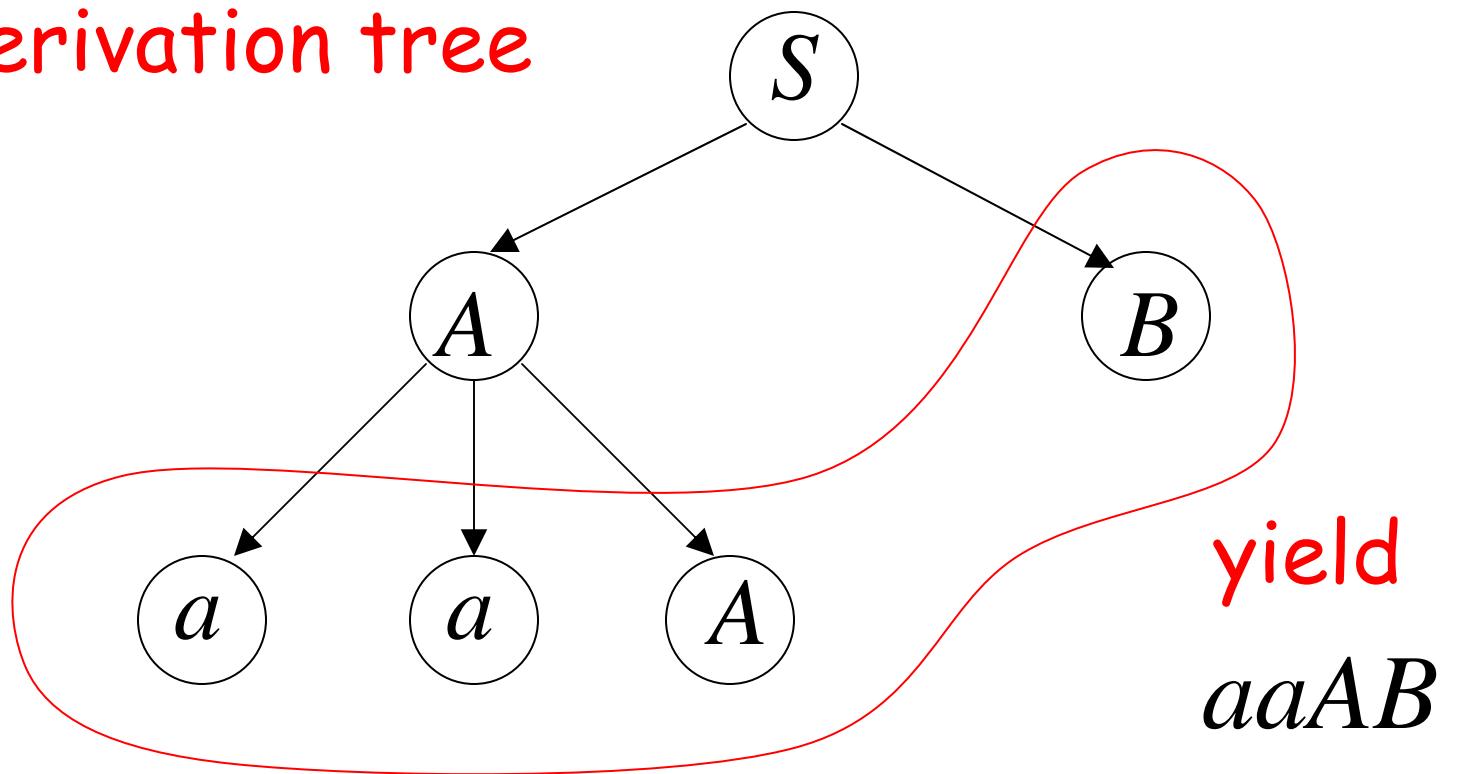
Partial derivation tree



$$S \Rightarrow AB \Rightarrow aaAB$$

sentential  
form

Partial derivation tree



Sometimes, derivation order doesn't matter

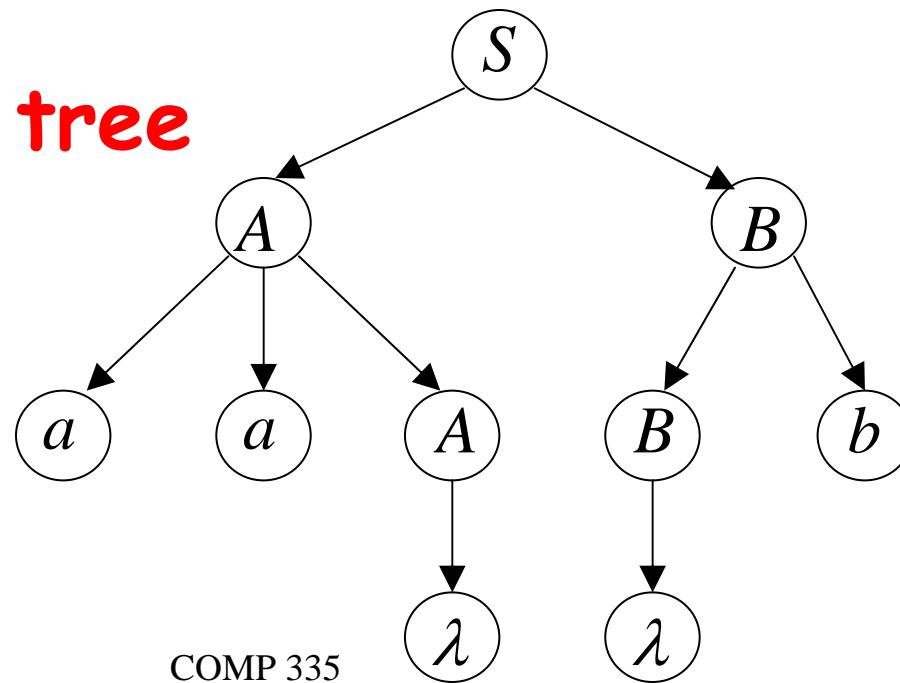
Leftmost:

$$S \Rightarrow AB \Rightarrow aaAB \Rightarrow aaB \Rightarrow aaBb \Rightarrow aab$$

Rightmost:

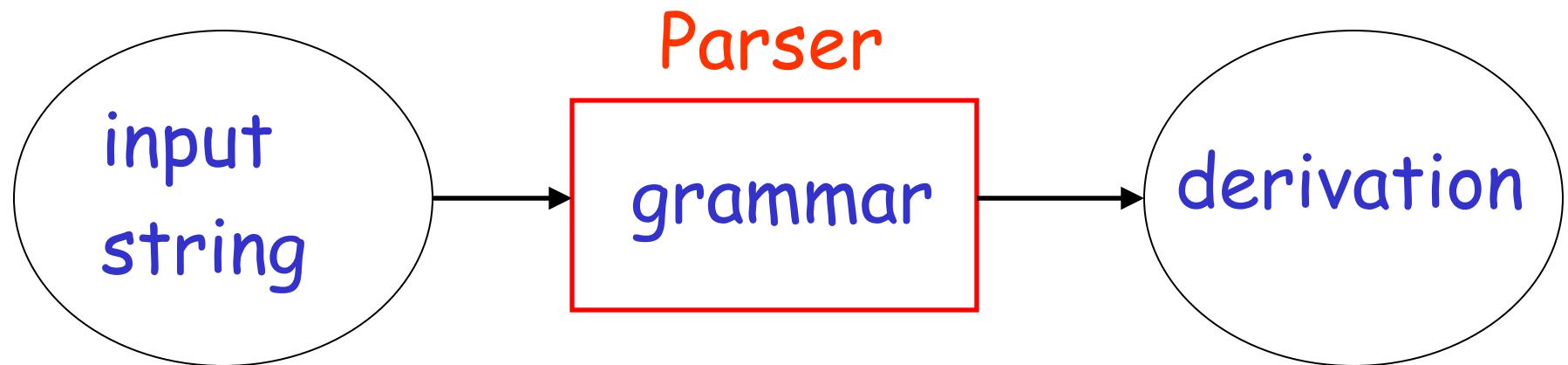
$$S \Rightarrow AB \Rightarrow ABb \Rightarrow Ab \Rightarrow aaAb \Rightarrow aab$$

Same derivation tree

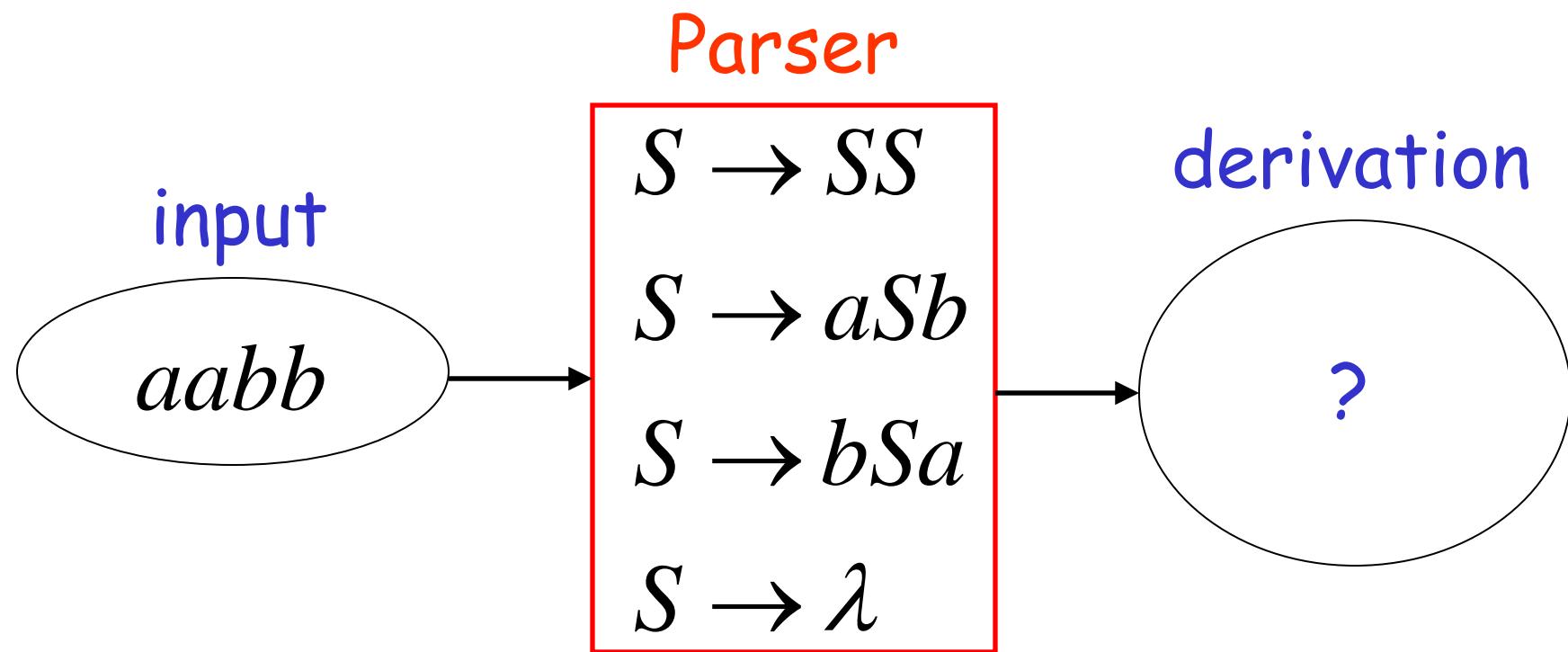


So far, we concentrated on  
**generative aspect** of grammars.

How about **analytical aspect?**  
Parsing.....



Example:



# Exhaustive Search

$$S \rightarrow SS \mid aSb \mid bSa \mid \lambda$$

Phase 1:  $S \Rightarrow SS$  Find derivation of

$S \Rightarrow aSb$   $aabb$

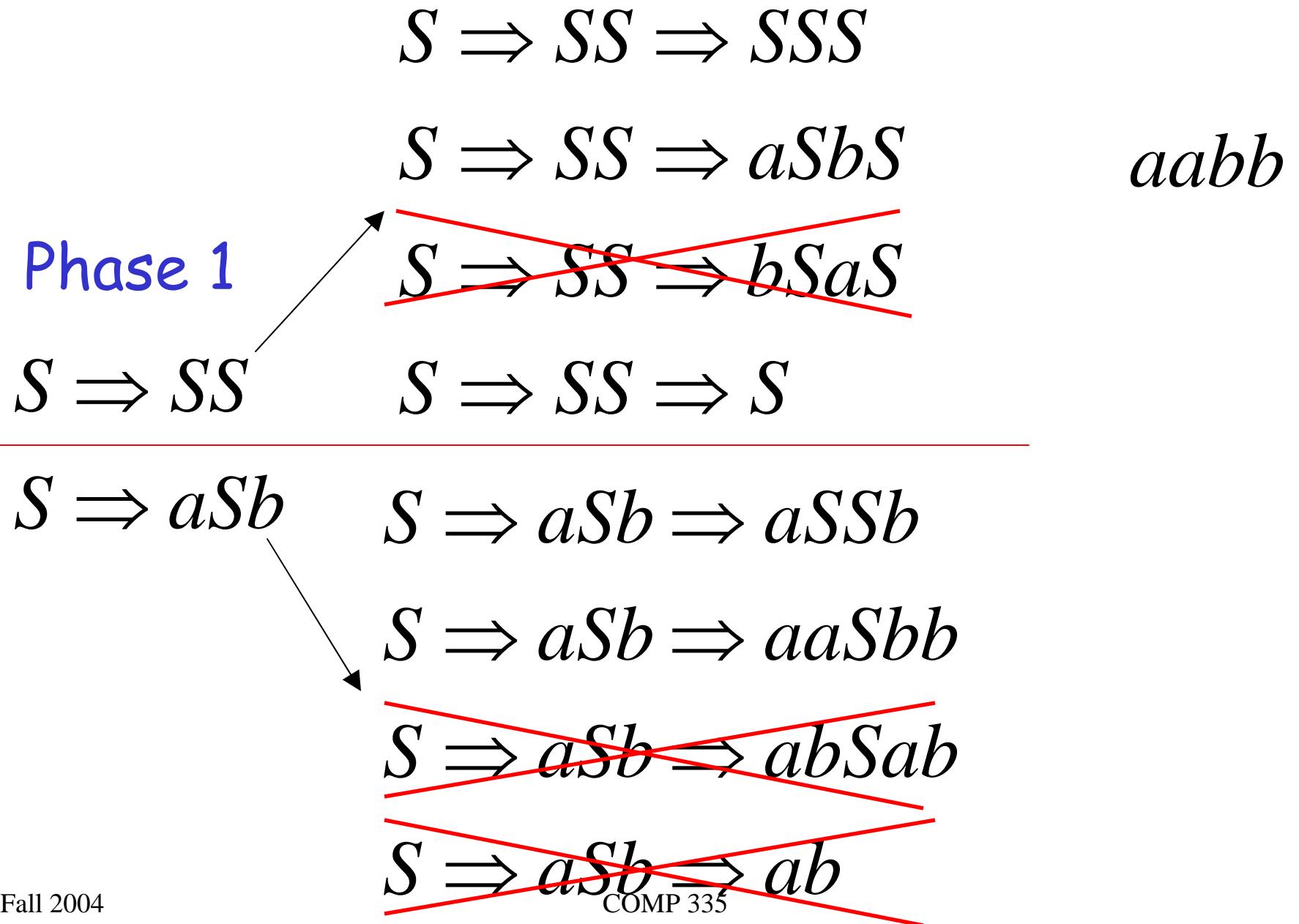
$S \Rightarrow bSa$

$S \Rightarrow \lambda$

All possible derivations of length 1

$S \Rightarrow SS$  $aabb$  $S \Rightarrow aSb$  ~~$S \Rightarrow bSa$~~  ~~$S \Rightarrow \lambda$~~

Phase 2  $S \rightarrow SS \mid aSb \mid bSa \mid \lambda$



## Phase 2

$$S \rightarrow SS \mid aSb \mid bSa \mid \lambda$$

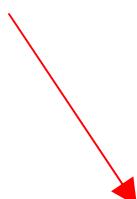
$$S \Rightarrow SS \Rightarrow SSS$$

$$S \Rightarrow SS \Rightarrow aSbS \qquad \qquad \qquad aabb$$

$$S \Rightarrow SS \Rightarrow S$$

$$S \Rightarrow aSb \Rightarrow aSSb$$

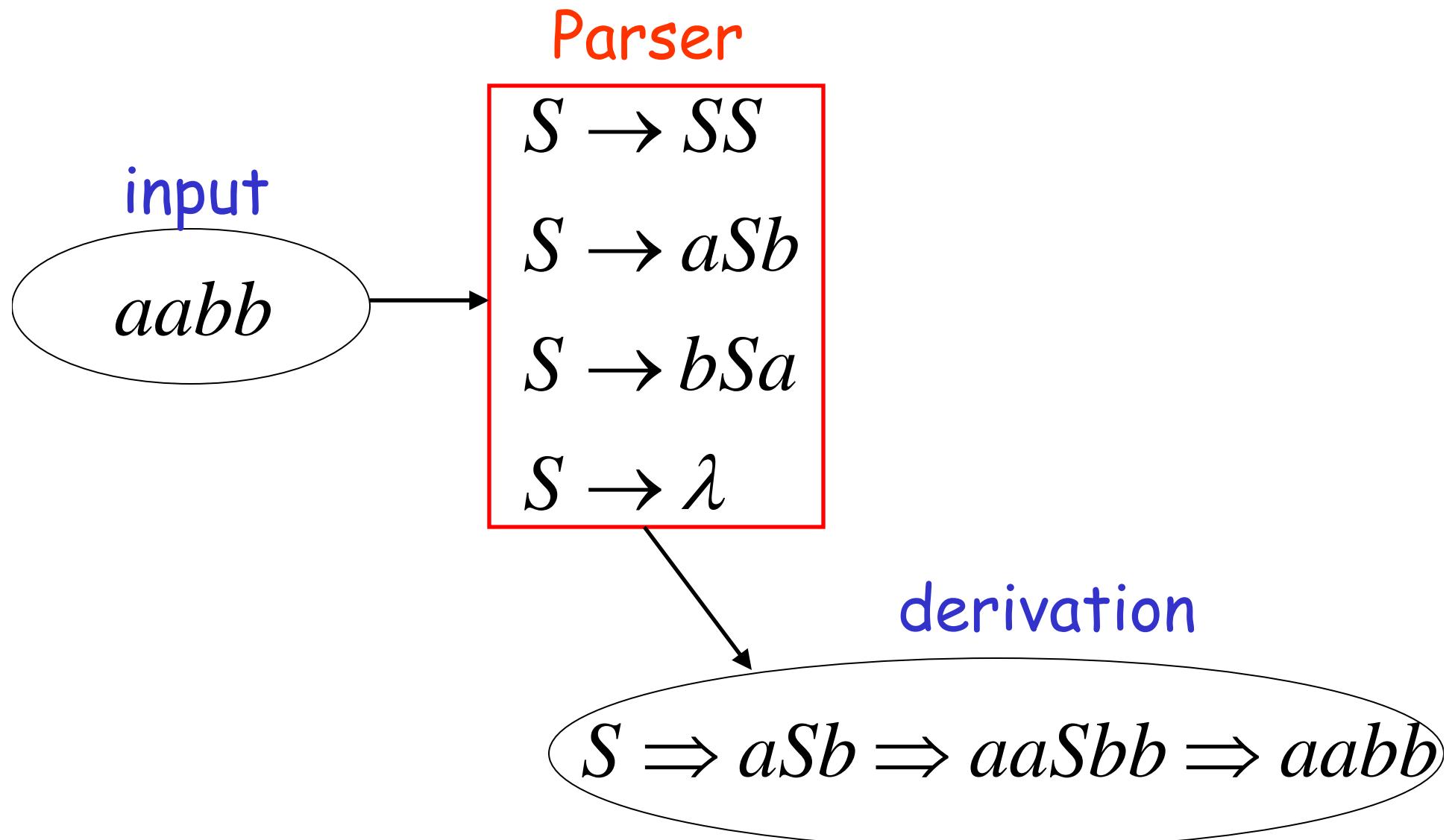
$$S \Rightarrow aSb \Rightarrow aaSbb$$



## Phase 3

$$S \Rightarrow aSb \Rightarrow aaSbb \Rightarrow aabb$$

# Final result of exhaustive search (top-down parsing)



# Time complexity of exhaustive search

Suppose there are no productions of the form

$$A \rightarrow \lambda$$

$$A \rightarrow B$$

Number of phases for string  $w$  is  $2|w|$

Why?

For grammar with  $k$  rules

Time for phase 1:  $k$

$k$  possible derivations

Time for phase 2:  $k^2$

$k^2$  possible derivations

Time for phase 2  $|w|$ :  $k^{2|w|}$

possible derivations:  $k^{2|w|}$   
which is exponential in the length of w

Total time needed for parsing  $w$ :

$$k + k^2 + \dots + k^{2|w|}$$

phase 1

phase 2

phase  $2|w|$

Extremely bad!!!

There exist faster algorithms for specialized grammars

## S-grammar:

The diagram illustrates the decomposition of a symbol into a string of variables. A red arrow points from the symbol  $A$  to the string  $ax$ . The word "symbol" is written in blue below  $A$ , and the phrase "string of variables" is written in blue below  $ax$ .

Pair  $(A, a)$  appears once

## *S*-grammar example:

$$S \rightarrow aS$$

$$S \rightarrow bSS$$

$$S \rightarrow c$$

*Each string has a unique derivation*

$$S \Rightarrow aS \Rightarrow abSS \Rightarrow abcS \Rightarrow abcc$$

For S-grammars:

In the exhaustive search parsing  
there is only one choice in each phase

Time for a phase: 1

Total time for parsing string  $w$ :  $|w|$

For general context-free grammars:

There exists a parsing algorithm  
that parses a string  $|w|$   
in time  $|w|^3$

(we will show it in the next class)

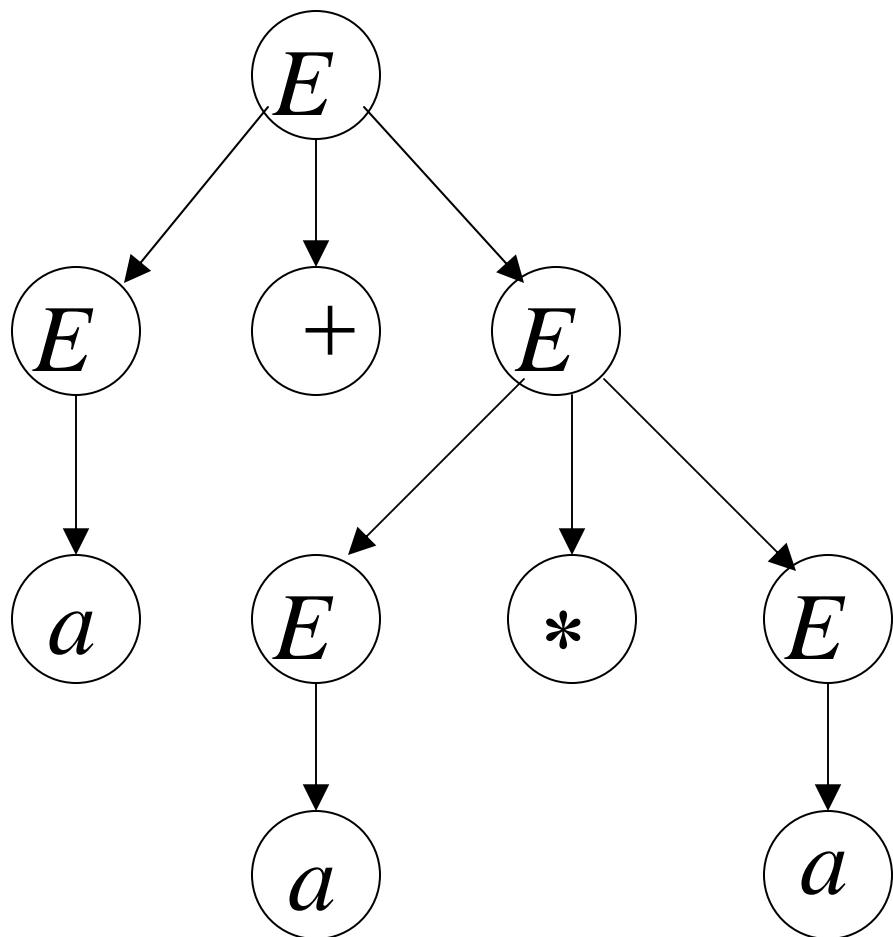
# Ambiguity

$$E \rightarrow E + E \mid E * E \mid (E) \mid a$$

$$w = a + a * a$$

$$E \Rightarrow^1 E + E \Rightarrow^4 a + E \Rightarrow^2 a + E * E$$

$$\Rightarrow^4 a + a * E \Rightarrow^4 a + a * a$$



leftmost derivation

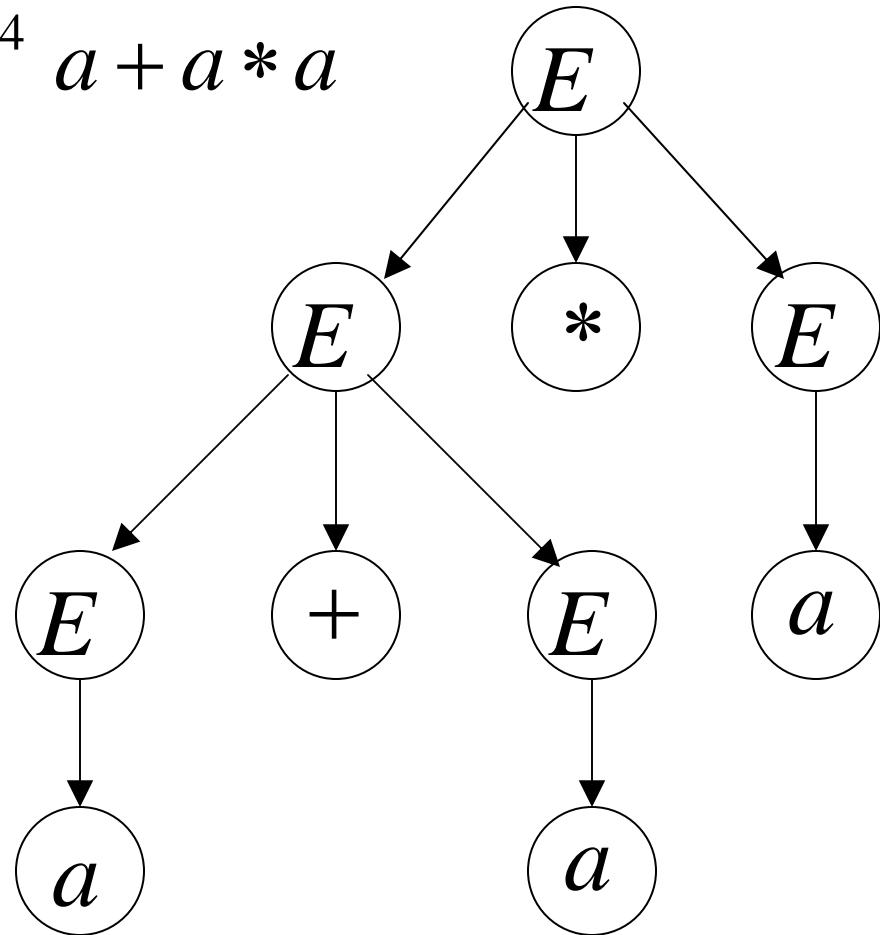
$$E \rightarrow E + E \mid E * E \mid (E) \mid a$$

$$w = a + a * a$$

$$E \xrightarrow{2} E * E \xrightarrow{1} E + E * E \xrightarrow{4} a + E * E$$

$$\xrightarrow{4} a + a * E \xrightarrow{4} a + a * a$$

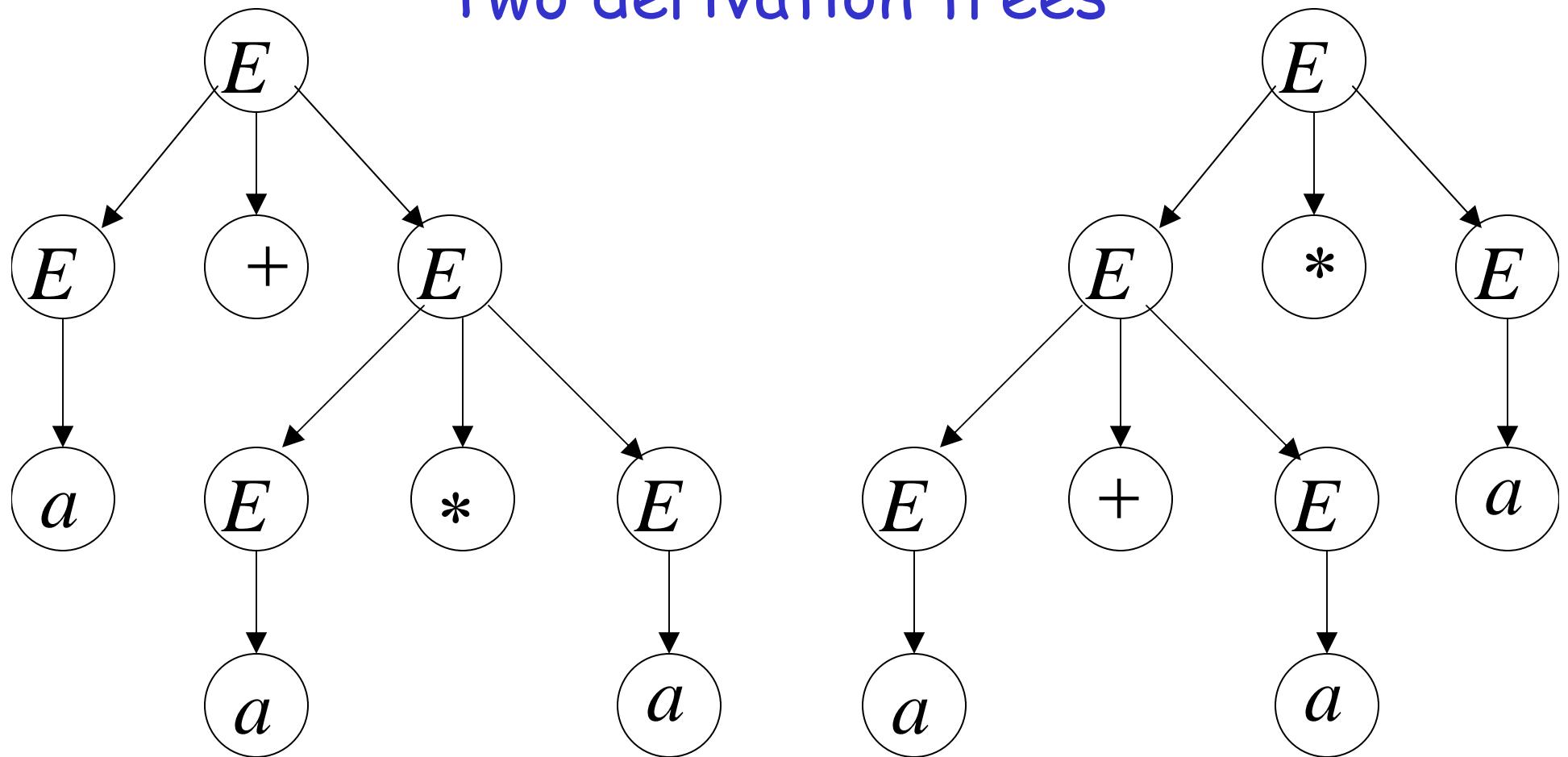
leftmost derivation



$$E \rightarrow E + E \mid E * E \mid (E) \mid a$$

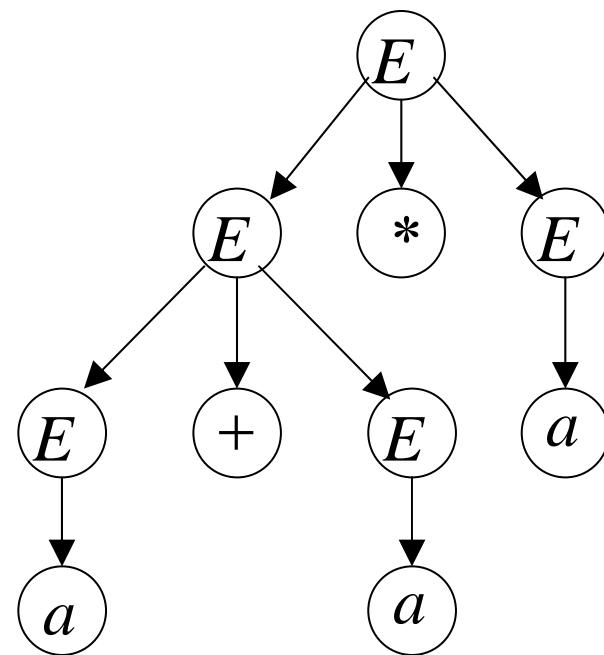
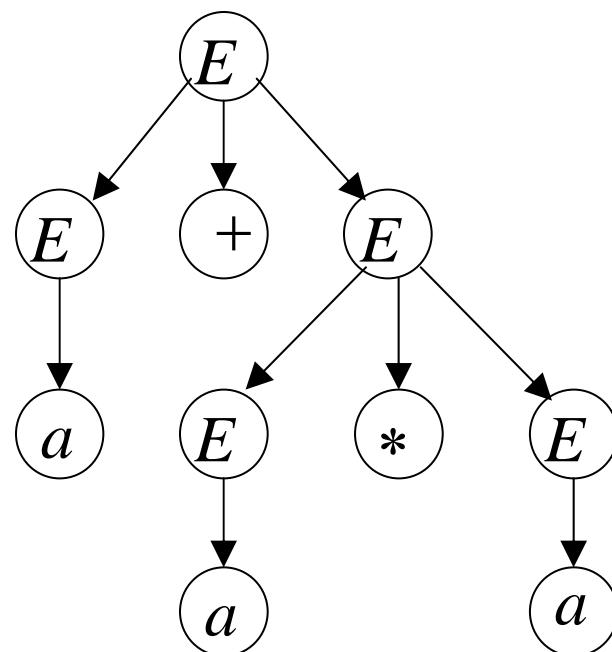
$$w = a + a * a$$

Two derivation trees



The grammar  $E \rightarrow E + E \mid E * E \mid (E) \mid a$   
is **ambiguous**: since there is a string, namely

$w = a + a * a$ , which has two derivation trees



The grammar  $E \rightarrow E + E \mid E * E \mid (E) \mid a$   
is ambiguous: since string  $a + a * a$   
has two leftmost derivations

$$\begin{aligned} E &\Rightarrow^1 E + E \Rightarrow^4 a + E \Rightarrow^2 a + E * E \\ &\quad \Rightarrow^4 a + a * E \Rightarrow^4 a + a * a \end{aligned}$$

$$\begin{aligned} E &\Rightarrow^2 E * E \Rightarrow^1 E + E * E \Rightarrow^4 a + E * E \\ &\quad \Rightarrow^4 a + a * E \Rightarrow^4 a + a * a \end{aligned}$$

## Definition:

A context-free grammar  $G$  is **ambiguous**

if some string  $w \in L(G)$  has

two or more *distinct* derivation trees

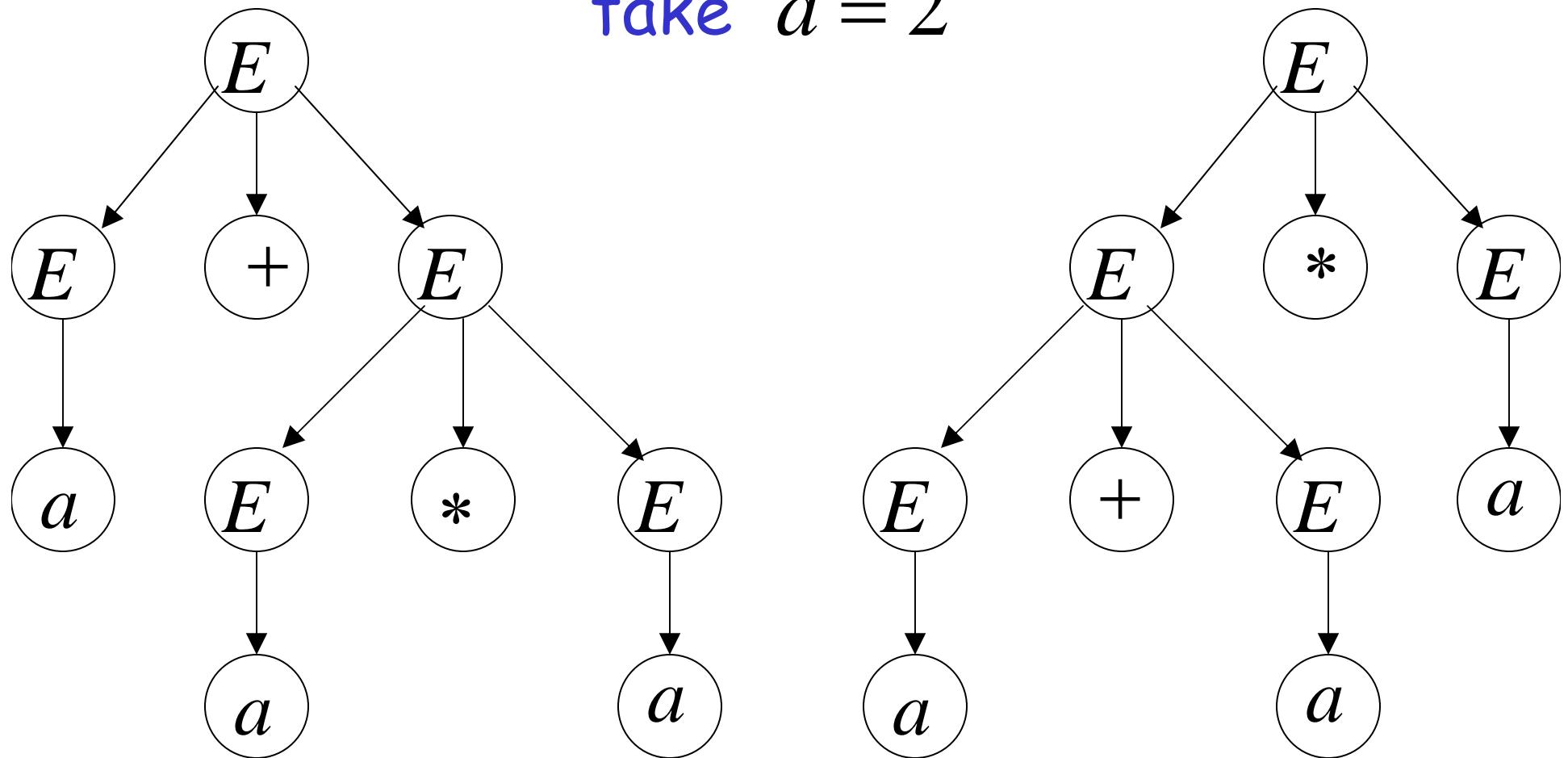
Alternatively we may say:

Ambiguity of a grammar  $G$  implies  
the existence of *two or more* leftmost  
(or rightmost) derivations

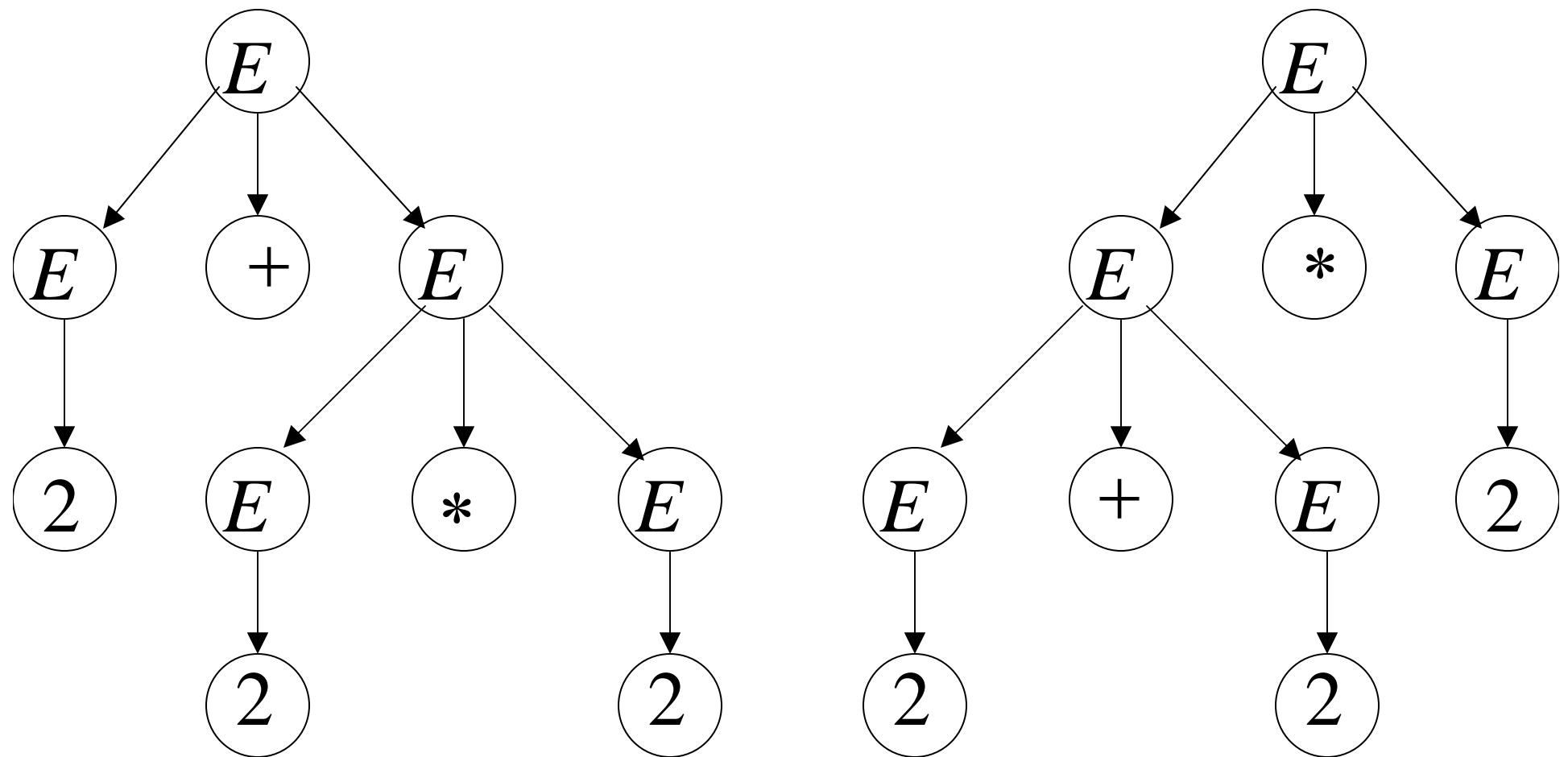
# Why do we care about ambiguity?

$$a + a * a$$

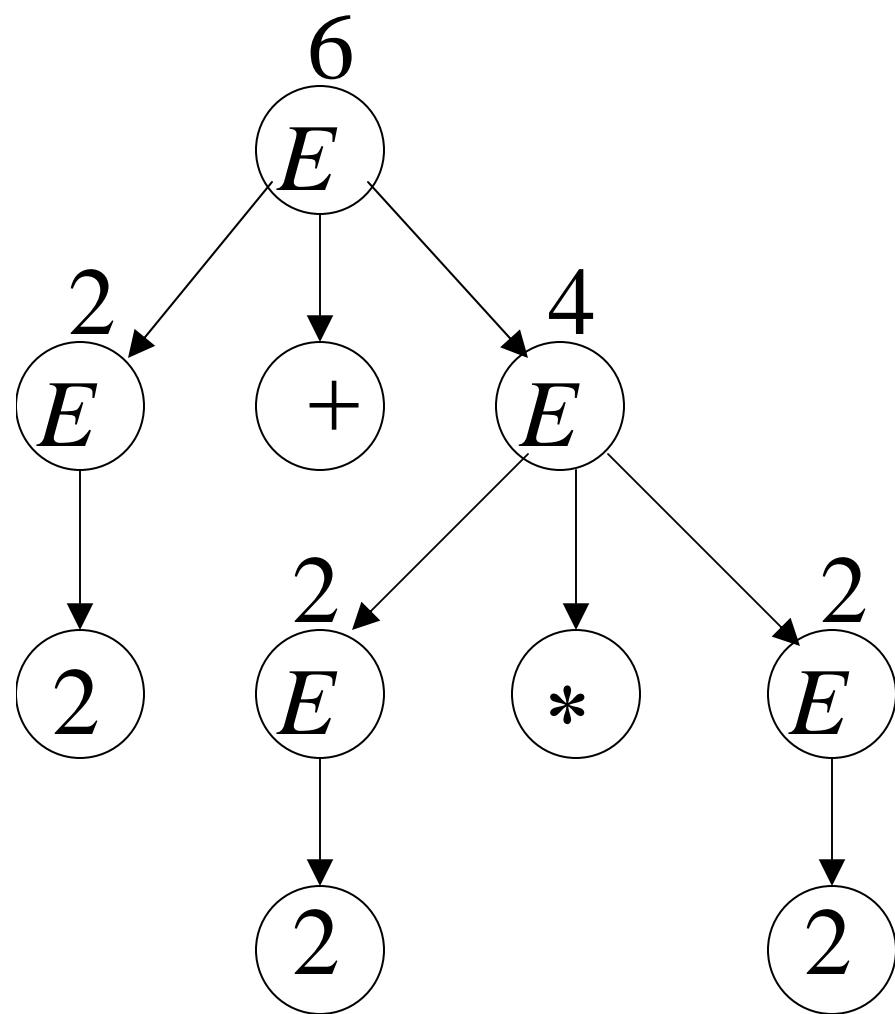
take  $a = 2$



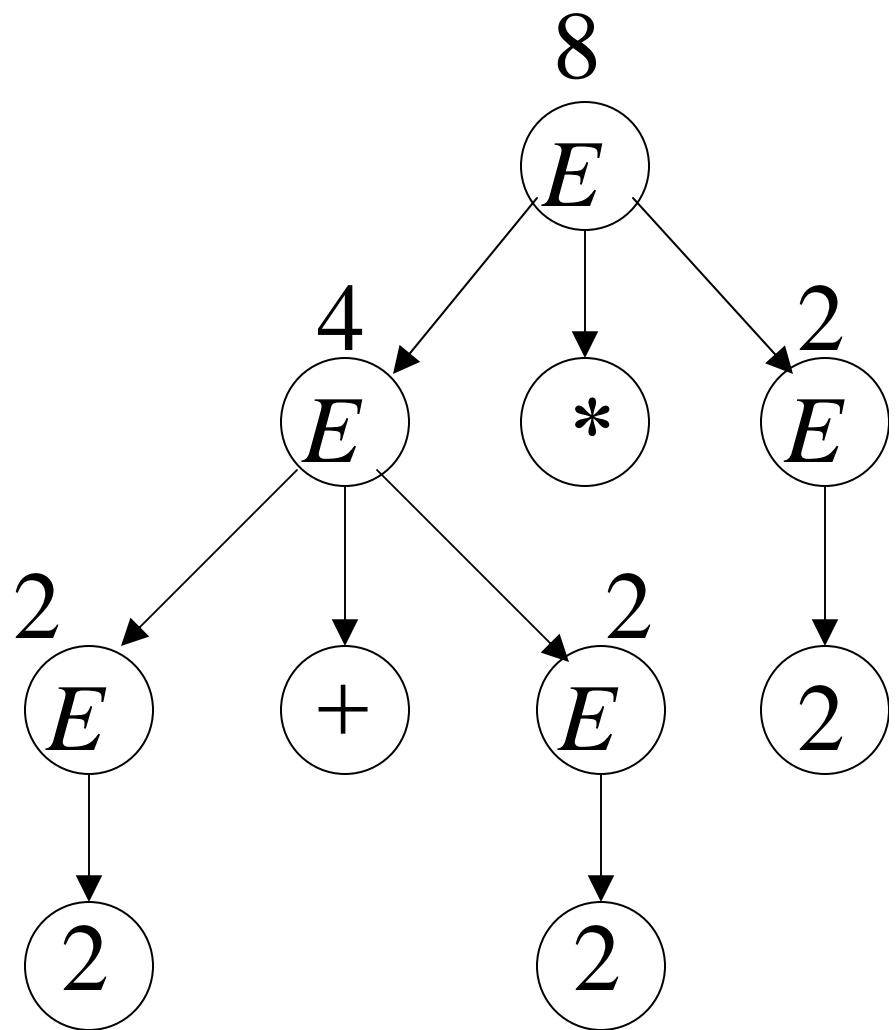
$$2 + 2 * 2$$



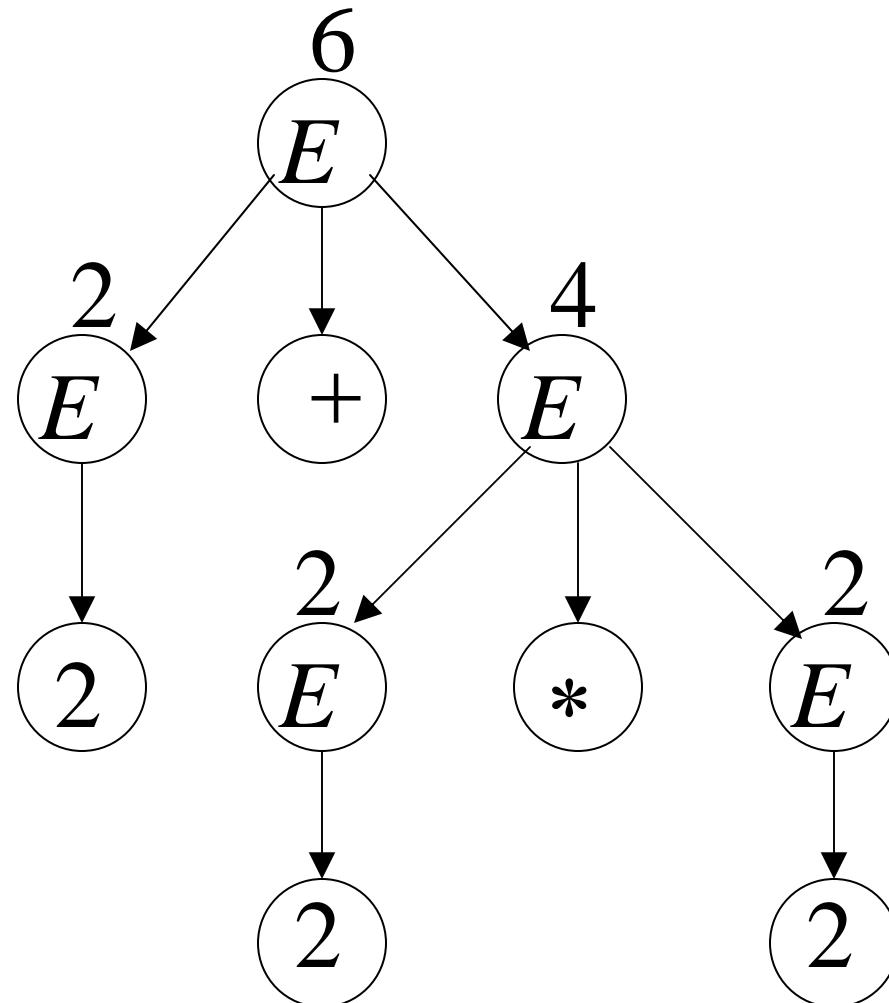
$$2 + 2 * 2 = 6$$



$$2 + 2 * 2 = 8$$



**Correct result:**  $2 + 2 * 2 = 6$



- Ambiguity is **bad** for programming languages
- We want to remove ambiguity

We may be able to fix the ambiguity:

$$E \rightarrow E + E \quad | \quad E * E \quad | \quad (E) \quad | \quad a$$

New non-ambiguous grammar:  $E \rightarrow E + T$

$$E \rightarrow T$$

$$T \rightarrow T * F$$

$$T \rightarrow F$$

$$F \rightarrow (E)$$

$$F \rightarrow a$$

$$E \Rightarrow^1 E + T \Rightarrow^2 T + T \Rightarrow^4 F + T \Rightarrow^6 a + T \Rightarrow^3 a + T * F$$

$$\Rightarrow^4 a + F * F \Rightarrow^6 a + a * F \Rightarrow^6 a + a * a$$

$$E \rightarrow^1 E + T$$

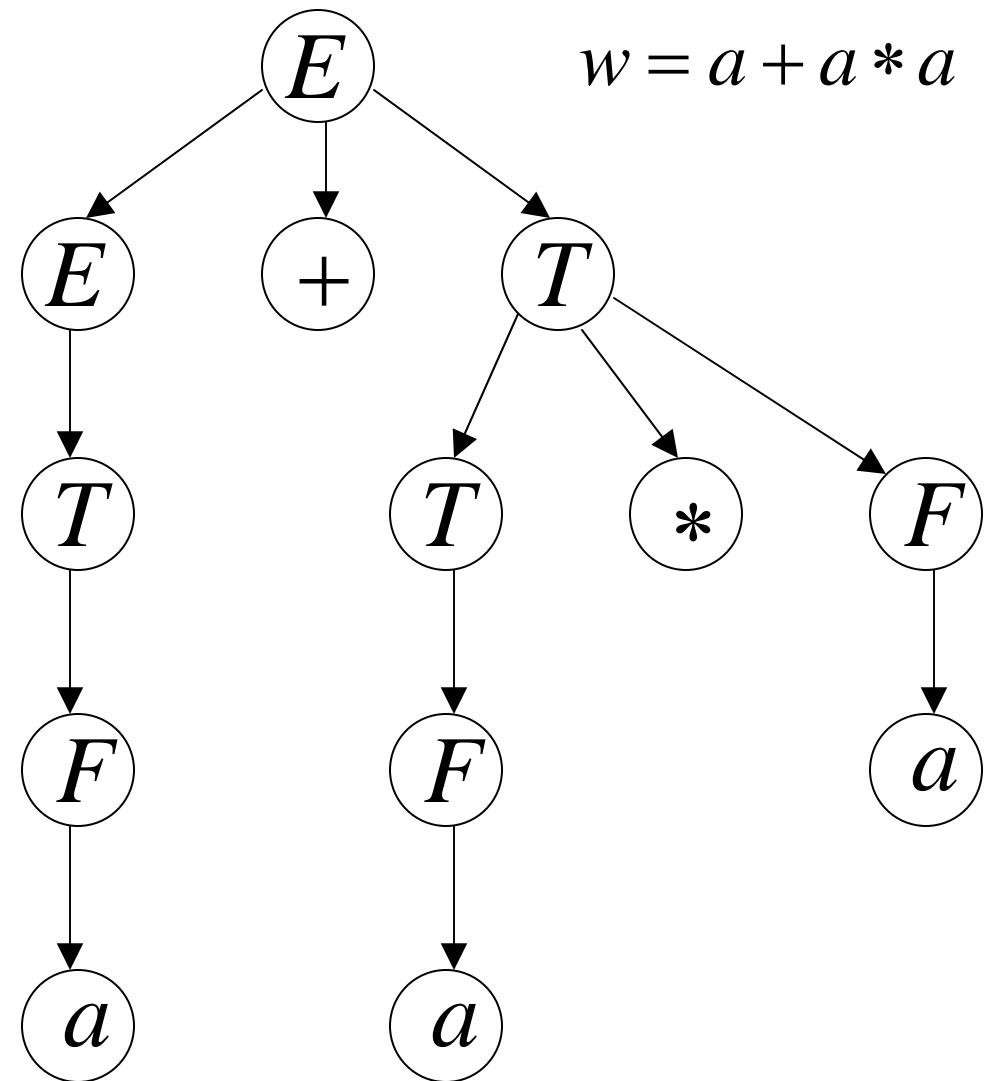
$$E \rightarrow^2 T$$

$$T \rightarrow^3 T * F$$

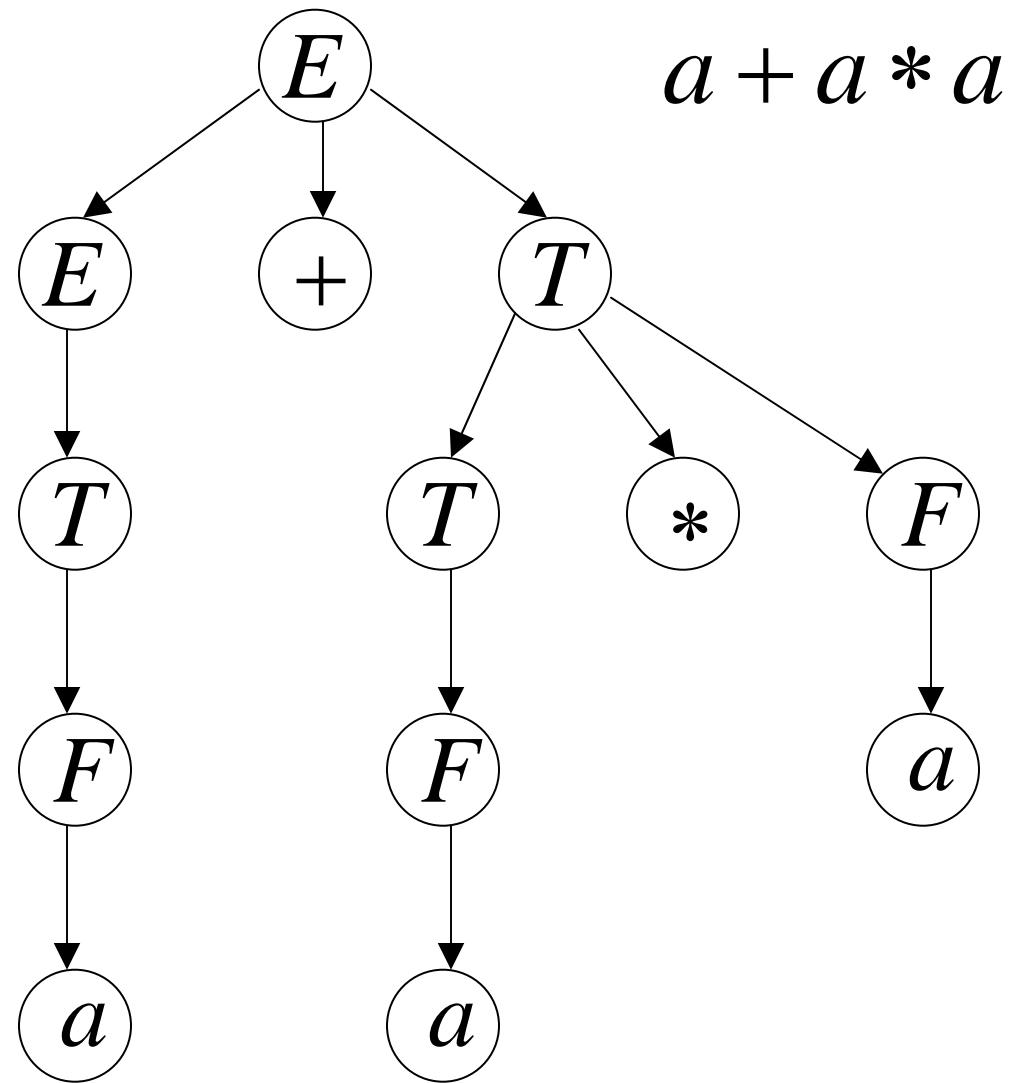
$$T \rightarrow^4 F$$

$$F \rightarrow^5 (E)$$

$$F \rightarrow^6 a$$



# Unique derivation tree



The grammar  $G$ :  $E \rightarrow^1 E + T$

$E \rightarrow^2 T$

$T \rightarrow^3 T * F$

$T \rightarrow^4 F$

$F \rightarrow^5 (E)$

$F \rightarrow^6 a$

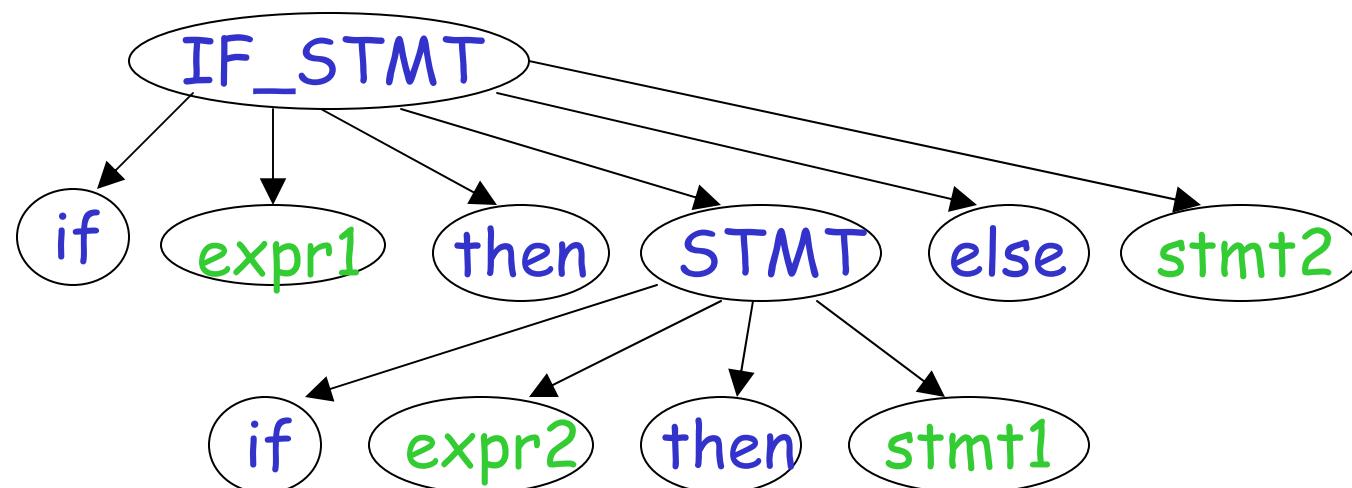
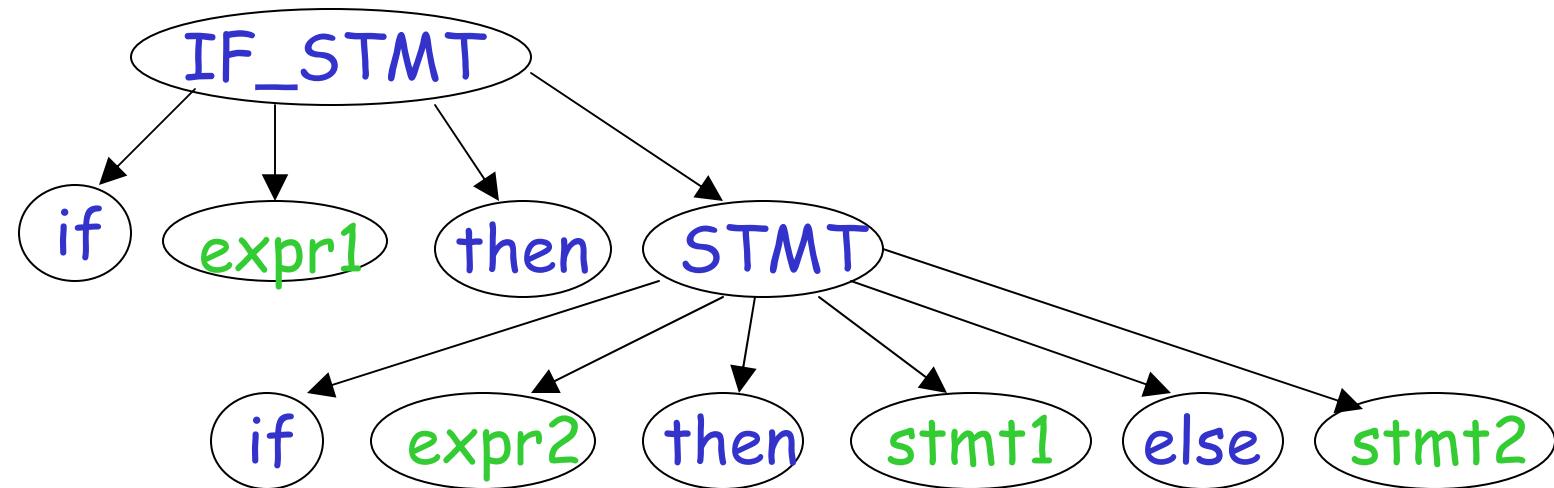
is non-ambiguous:

Every string  $w \in L(G)$  has  
a unique derivation tree

# Another Ambiguous Grammar

IF\_STMT → if EXPR then STMT |  
if EXPR then STMT else STMT

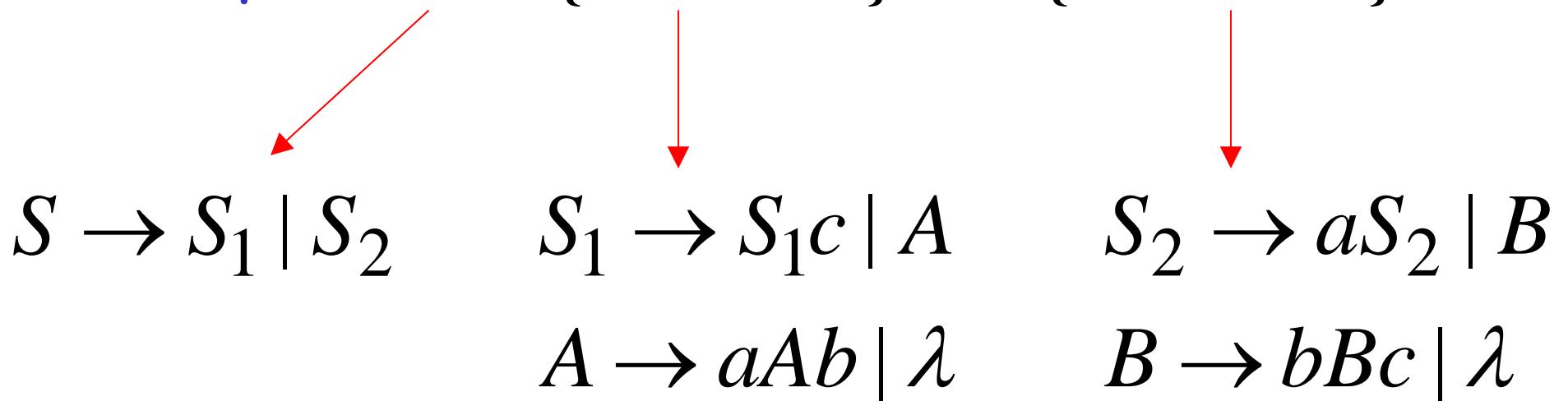
if expr1 then if expr2 then stmt1 else stmt2



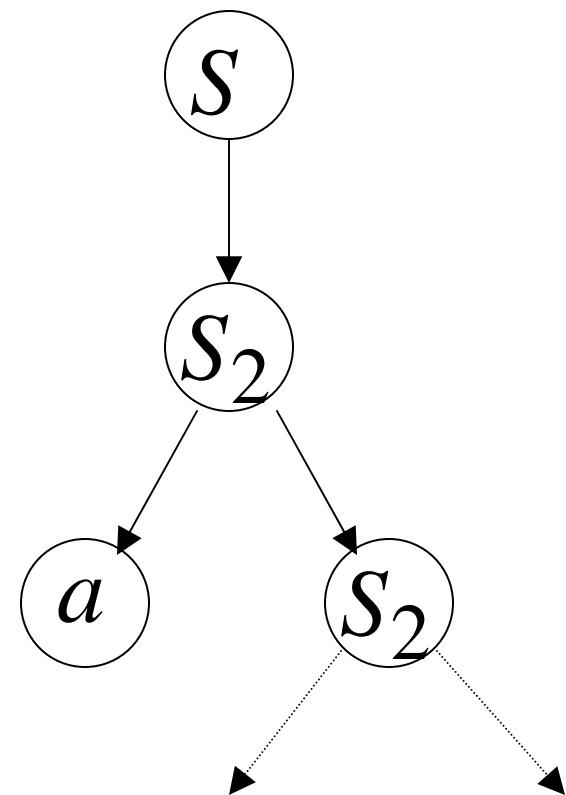
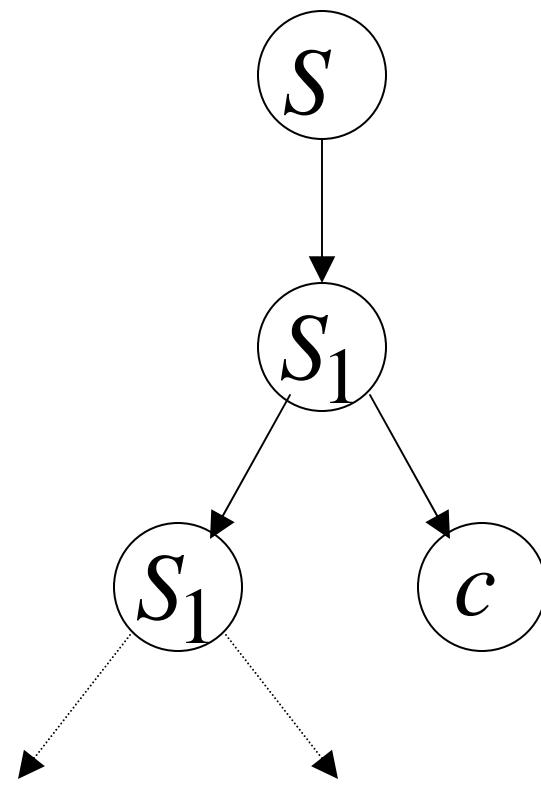
# Inherent Ambiguity

Some context-free languages  
have only ambiguous grammars

Example:  $L = \{a^n b^n c^m\} \cup \{a^n b^m c^n\}$



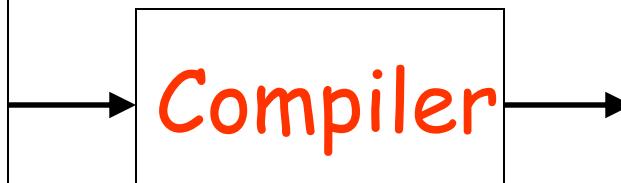
The string  $a^n b^n c^n$  has two derivation trees



# Compilers

## Program

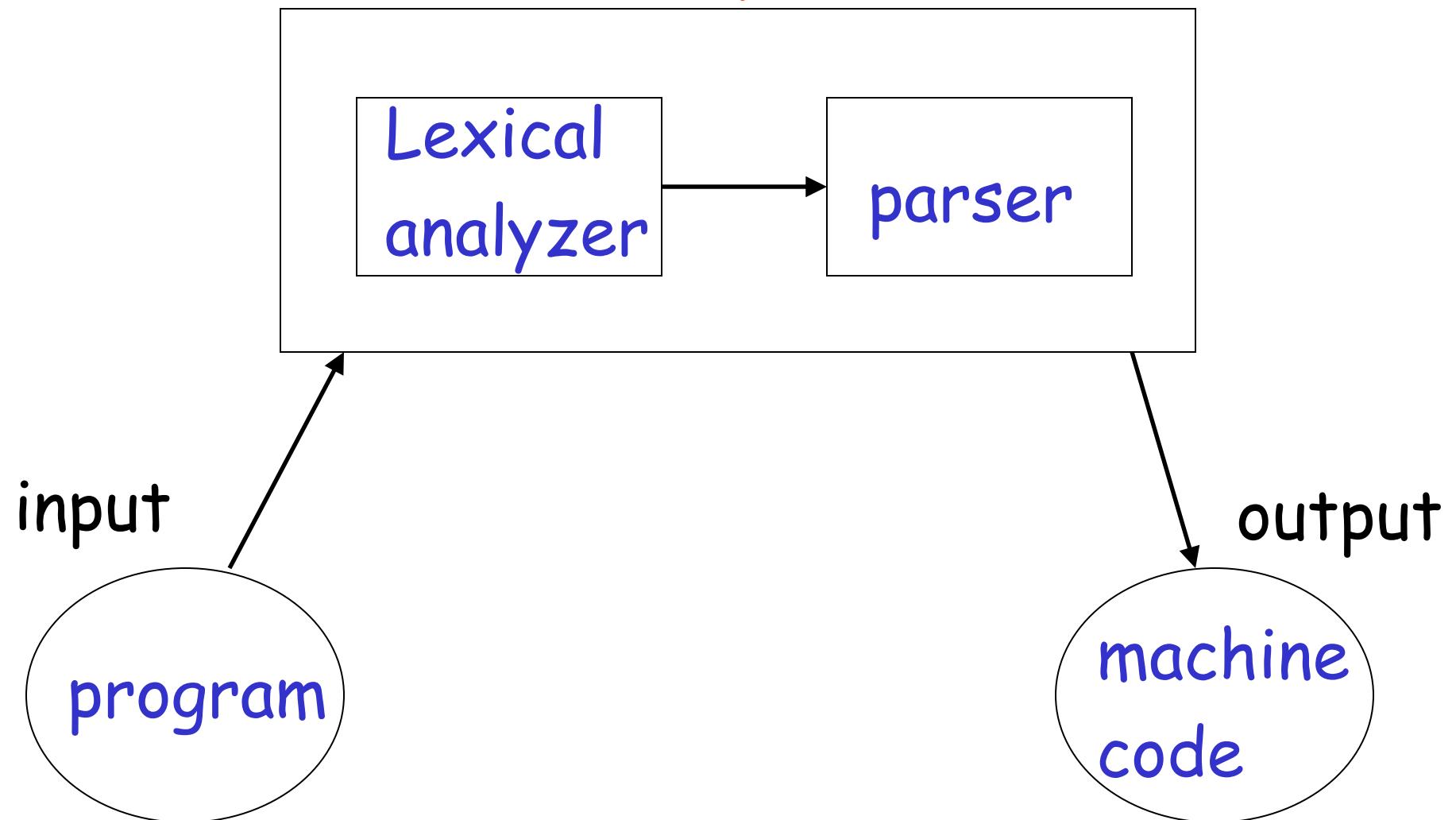
```
v = 5;  
if (v>5)  
    x = 12 + v;  
while (x != 3) {  
    x = x - 3;  
    v = 10;  
}  
.....
```



## Machine Code

```
Add v,v,0  
cmp v,5  
jmplt ELSE  
THEN:  
add x, 12,v  
ELSE:  
WHILE:  
cmp x,3  
...
```

# Compiler



A parser knows the grammar  
of the programming language

# Parser

$\text{PROGRAM} \rightarrow \text{STMT\_LIST}$

$\text{STMT\_LIST} \rightarrow \text{STMT}; \text{STMT\_LIST} \mid \text{STMT};$

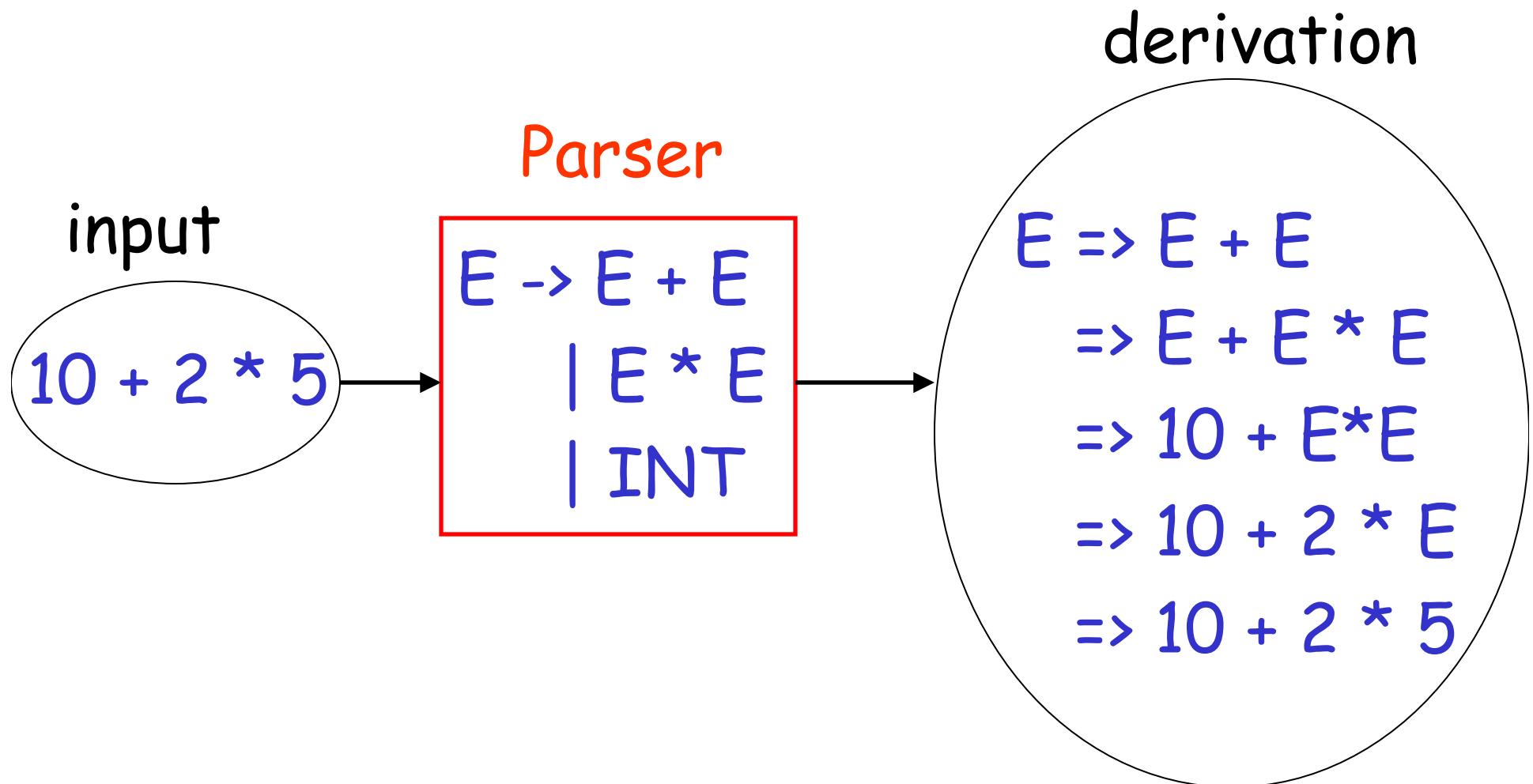
$\text{STMT} \rightarrow \text{EXPR} \mid \text{IF\_STMT} \mid \text{WHILE\_STMT}$   
 $\mid \{ \text{STMT\_LIST} \}$

$\text{EXPR} \rightarrow \text{EXPR} + \text{EXPR} \mid \text{EXPR} - \text{EXPR} \mid \text{ID}$

$\text{IF\_STMT} \rightarrow \text{if } (\text{EXPR}) \text{ then STMT}$   
 $\mid \text{if } (\text{EXPR}) \text{ then STMT else STMT}$

$\text{WHILE\_STMT} \rightarrow \text{while } (\text{EXPR}) \text{ do STMT}$

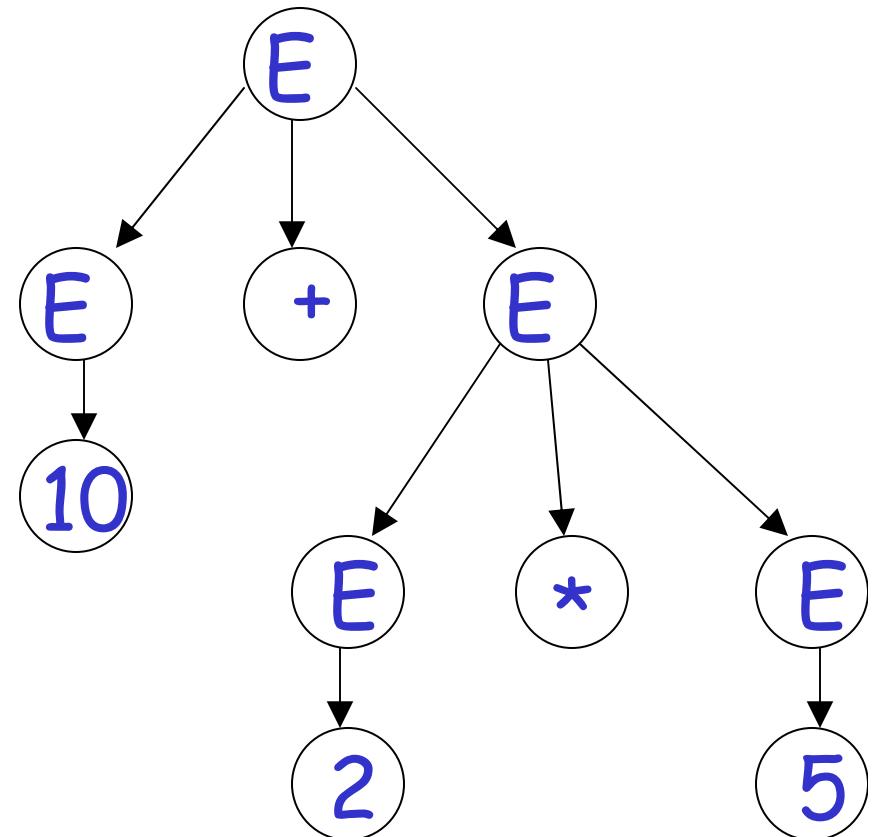
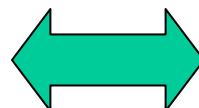
The parser finds the derivation  
of a particular input



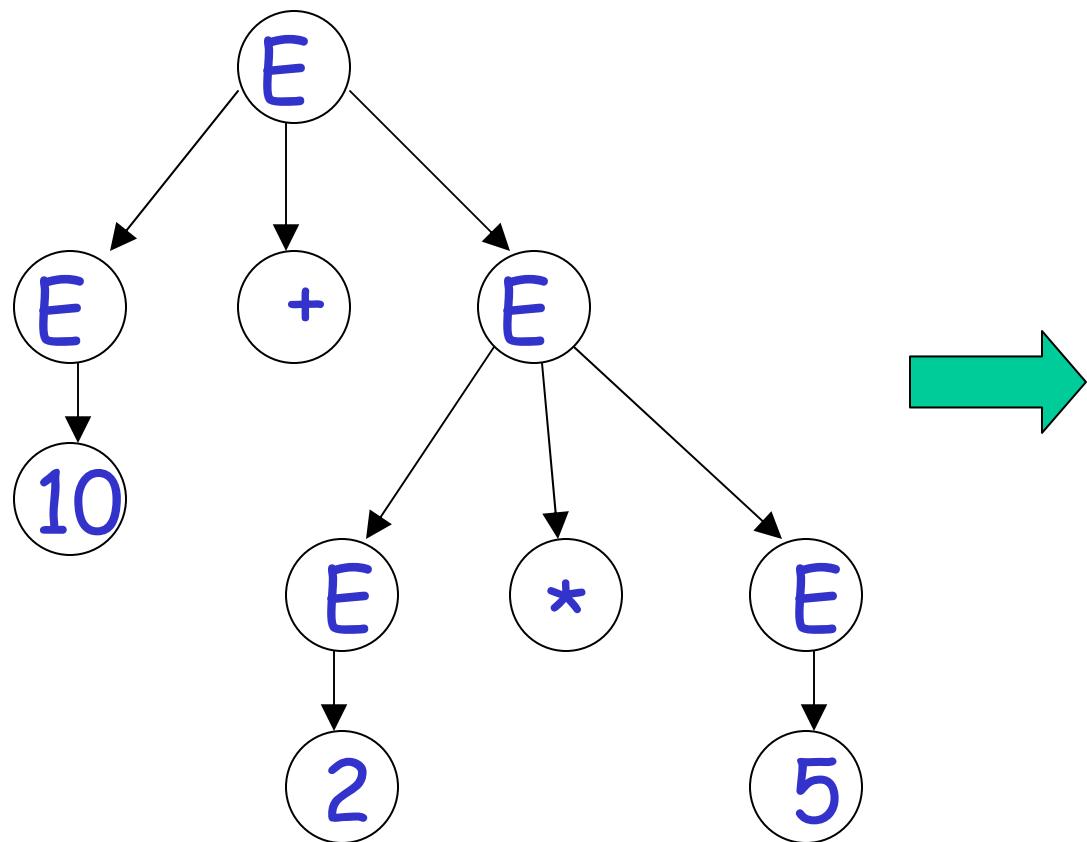
## derivation tree

### derivation

$E \Rightarrow E + E$   
 $\Rightarrow E + E * E$   
 $\Rightarrow 10 + E * E$   
 $\Rightarrow 10 + 2 * E$   
 $\Rightarrow 10 + 2 * 5$



# derivation tree



machine code

mult a, 2, 5  
add b, 10, a