



# CHARACTERIZATION AND ANALYSIS OF MULTI-HOP WIRELESS MIMO NETWORK THROUGHPUT


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# Overview

- Introduction
  - MIMO Basics
  - Problem Statement
    - Protocol descriptions
  - Packet-level Constraints
  - Test Setup
  - Results
  - Conclusions
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# Introduction

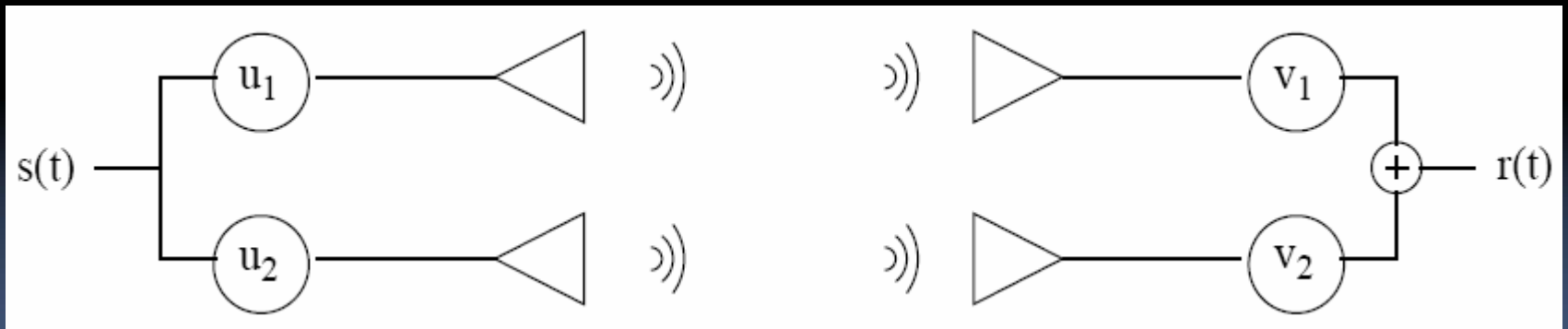
- MIMO: Multiple-input, multiple-output
  - Array of antennas at transmitter and receiver
- Two potential benefits
  - Spatial reuse: allow multiple simultaneous transmissions within a physical space
  - Spatial division multiplexing: transmit multiple data streams simultaneously to increase data rate

# Introduction

- Paper goals
  - Model the constraints under which a multi-hop MIMO network must operate
    - Compare constraints of three protocols and two avoidance models
  - Find the maximum throughput of MIMO networks using these protocols
  - Evaluate the effect of network parameters on achievable throughput

# MIMO Basics

- Transmitter and receiver both have multiple physical antennas

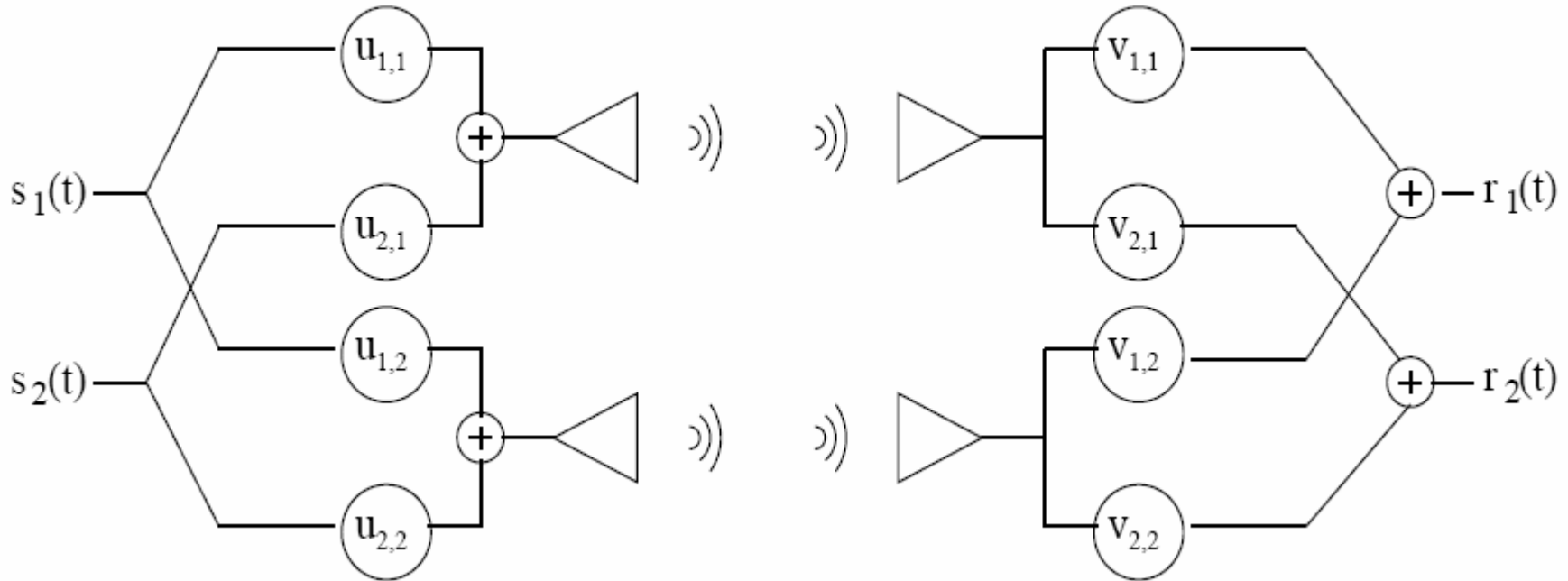


# MIMO Basics

- Transmitter uses a weighting vector  $\mathbf{u} = [u_1, u_2]$  while receiver uses a weighting vector  $\mathbf{v} = [v_1, v_2]$
- Channel coefficient matrix  $\mathbf{H}$  (??)
- $s(t)$  = transmitting signal,  $r(t)$  = received signal
- $r(t) = (\mathbf{u}\mathbf{H}\mathbf{v}) * s(t)$
- We can find values for  $\mathbf{u}$  and  $\mathbf{v}$  such that  $\mathbf{u}\mathbf{H}\mathbf{v}$  at target = 1 and at other nodes = 0

# MIMO Basics

- Multiple-stream signals



# MIMO Basics

- Two weight vectors at each end: e.g.  $u_1$ ,  $u_2$  at transmitter
- Create vectors such that:
  - $u_1 H v_1 = 1$
  - $u_2 H v_1 = 0$
  - $u_1 H v_2 = 0$
  - $u_2 H v_2 = 1$



# MIMO Basics: Spatial Reuse

- A transmitter-receiver pair with multiple antennas can transmit signals without interference from other streams
- Given a node with an incoming transmission and an interfering transmission, we can solve for  $v$  given:
  - $H$  for each link
  - Weight vector  $u$  for each transmitter

# MIMO Basics: Spatial Reuse



- Node 4 can receive transmission from 3 with spatial reuse
- Find  $v = [v_1, v_2]$  such that  $(u_2 H_{2,4})v = 1$  and  $(u_1 H_{1,4})v = 0$

# MIMO Basics:

## Multiplexing

- Node 4 could instead use its antennas to receive two streams from node 2
- Constraints outlined before: use two weight vectors such that
  - $r(t)_1$  gets  $s(t)_1$  at full strength
  - $r(t)_2$  gets  $s(t)_1$  at zero strength
  - $r(t)_1$  gets  $s(t)_2$  at zero strength
  - $r(t)_2$  gets  $s(t)_2$  at full strength

# Interference Avoidance Models

- Ways to ensure that the constraints outlined so far can be followed
- Non-cooperative Interference Avoidance (NiM)
  - Transmitters find weight vectors to null their signal at all receivers before transmitting
  - Receivers find weight vectors to null their signal from all nearby transmitters before receiving
- Cooperative Interference Avoidance (CiM)
  - Either the transmitter OR the receiver ensures that no interference takes place (solve system

# Degrees of Freedom

- Each additional antenna at a node offers it another degree of freedom
- Each available degree of freedom can be used to either:
  - Prevent interference from a stream, or
  - Transmit/receive an additional stream
- Three possible situations: all DoFs used for spatial reuse, all DoFs used for multiplexing, or a mix of both

# Degrees of Freedom

- $m$  is transmitting to  $n$
- $\alpha_m$  = transmit degrees of freedom
  - Number of streams  $m$  is transmitting + number of streams being received within  $m$ 's neighborhood
- $\beta_n$  = receive degrees of freedom
  - Number of streams  $n$  is receiving + number of streams being transmitted within  $n$ 's neighborhood



# Problem Statement

- Network Model
- $L$  = set of all node pairs  $(m,n)$  such that  $m$  can transmit to  $n$  (individual links referred to as  $l$ )
- $L_m^+$  : set of all links whose transmitter is  $m$
- $L_m^-$  : set of all links whose receiver is  $m$
- $L_m : L_m^+ \cup L_m^-$

# Problem Statement

- $C$  = set of all link pairs  $(i,j)$  such that a transmission on  $i$  will interfere with a transmission on  $j$
- $C_i^+$  : set of all links whose receivers interfere with  $i$ 's transmission
- $C_i^-$  : set of all links whose transmitters interfere with  $i$ 's reception





# Protocols

- Spatial Reuse Only MIMO Protocol (SRP)
  - All of a node's degrees of freedom are dedicated to preventing interference and increasing spatial reuse
- Spatial Multiplexing Only MIMO Protocol (SMP)
  - All of a node's degrees of freedom are dedicated to transmitting and receiving additional simultaneous streams
  - No spatial reuse, so for every  $(i,j)$  pair in  $C$ , only<sup>17</sup>

# Protocols

- Spatial Reuse & Multiplexing MIMO Protocol (SRMP)
  - A node's degrees of freedom may be assigned to spatial reuse or spatial multiplexing, whichever results in higher throughput
- Use TDMA for collision avoidance
- Set of flows  $Q$  where each  $q$  has a source, destination, and flow rate

# Constraints

- Examine the constraints of the system under the different MIMO protocols and interference avoidance models
- During simulation, maximize  $\sum f_q$  for the system while maintaining the integrity of the constraints

# Constraints: SRP

- Only one link per node may be active at a time

$$\sum_{i \in L_m} y_i^t \leq 1, \quad \forall m \in N, \forall t \in T$$

- Under NiM, every sender or receiver must ensure it has enough degrees of freedom

$$(\omega - \beta_{r(i)} + 1)y_i^t + \sum_{j \in C_i^-} y_j^t \leq \omega$$

$$(\omega - \alpha_{t(i)} + 1)y_i^t + \sum_{j \in C_i^+} y_j^t \leq \omega$$

- When attempting to transmit, the above simplifies to  $\sum y_j + 1 \leq \beta$  (or  $\alpha$ )

# Constraints: SRP

- Under CiM, only one of the transmitter or receiver needs to null the signal

$$\begin{aligned}1 + \sum_{l \in C_i^+} \lambda_{il}^t &\leq \alpha_{t(i)} \\1 + \sum_{l \in C_j^-} \mu_{lj}^t &\leq \beta_{r(j)} \\y_i^t + y_j^t &\leq \lambda_{ij}^t + \mu_{ij}^t + 1\end{aligned}$$

- Constraint defined by sum of nulled signals rather than individual degrees of freedom

# Constraints: SMP

- $z_i$  : number of active streams over link  $i$

$$z_i^t \leq \alpha_{t(i)} y_i^t \text{ and } z_i^t \leq \beta_{r(i)} y_i^t$$

- Still only one active link at a time

$$\sum_{i \in L_m} y_i^t \leq 1, \quad \forall m \in N, \forall t \in T$$

- No spatial reuse, so only one active link for every contending pair

$$y_i^t + y_j^t \leq 1, \quad \forall (i, j) \in C, \forall t \in T$$

# Constraints: SRMP

- Similar interference constraints to SRP, but we must now be aware of the number of streams at each link. Under NiM:

$$(\Omega - \beta_{r(i)})y_i^t + \sum_{j \in C_i^- \cup L_{r(i)}^-} z_j^t \leq \Omega$$

$$(\Omega - \alpha_{t(i)})y_i^t + \sum_{j \in C_i^+ \cup L_{t(i)}^+} z_j^t \leq \Omega$$

- When simplified, shows that in order for a node to be active, its degrees of freedom must exceed the number of active streams on nearby nodes plus its own active streams

# Constraints: SRMP

- Under CiM:

$$\begin{aligned}\sum_{l \in L_{t(i)}^+} z_l^t + \sum_{l \in C_i^+} \theta_{il}^t &\leq \alpha_{t(i)}, \\ \sum_{l \in L_{r(j)}^-} z_l^t + \sum_{l \in C_j^-} \vartheta_{lj}^t &\leq \beta_{r(j)}, \\ z_i^t &\leq \vartheta_{ij}^t + \alpha_{t(i)}(1 - y_i^t), \\ z_j^t &\leq \theta_{ij}^t + \beta_{r(j)}(1 - y_j^t).\end{aligned}$$

- $\alpha$  used to either send streams or null streams for receivers,  $\beta$  used to receive streams or suppress interference, and active streams on a link are constrained by nulling/suppression



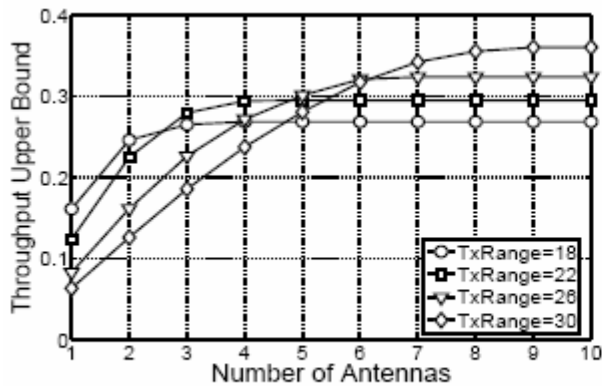
# LP Relaxation

- Relax constraints from instantaneous to average
- $y_i = \frac{1}{\tau} \sum_{t \in S} y_i^t$
- Same for  $\lambda, \mu, z, \theta, u$ .
- Relaxed constraints same format as instantaneous, but use the average over a time slot set S

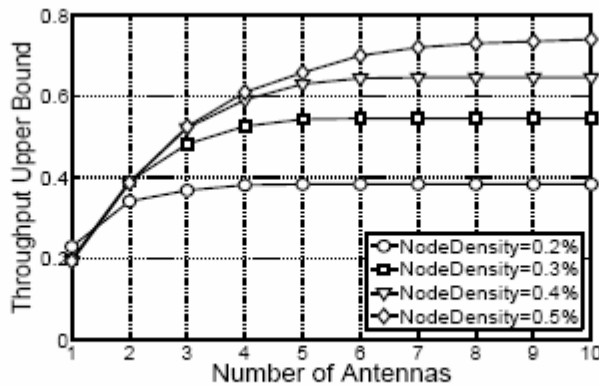
# Test Setup

- Network Parameters
  - Link capacity set to 1 unit/second
  - Degrees of freedom strictly equal to antennas
  - 100mx100m space with random distribution
  - Q total source-destination pairs (active flows)
- Transmission Range
  - Controls degree of nodes and interference
- Node Density
  - Increases node degree, but not interference
- Hop Length
  - Increases chances for interference

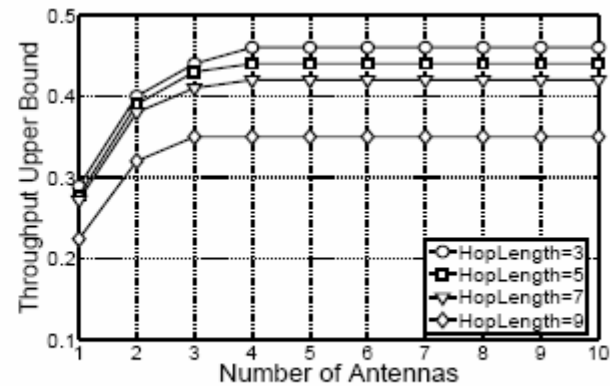
# Results: SRP



(a) Effect of transmission range



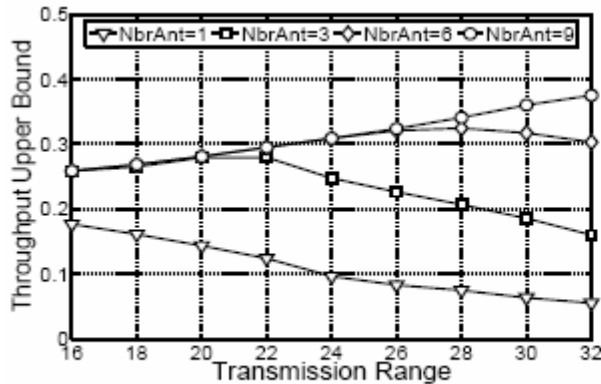
(b) Effect of node density



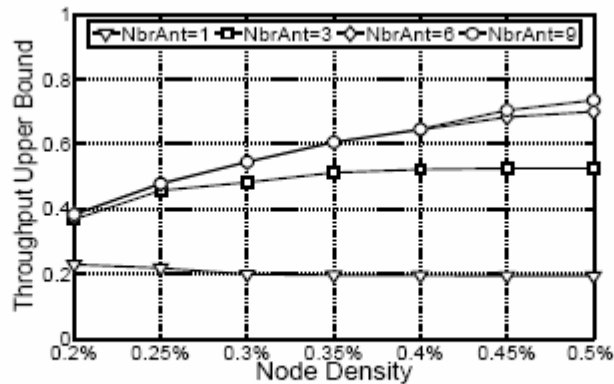
(c) Effect of hop length

- Asymptotic bound
  - Once all medium contention has been resolved, no further improvement can be made
- High transmission ranges suffer too much interference at low antenna numbers, but when more antennas are added, the benefits of increased node degree help it

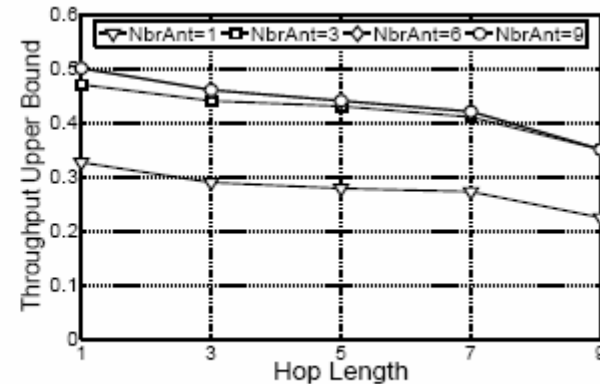
# Results: SRP



(d) Effect of transmission range



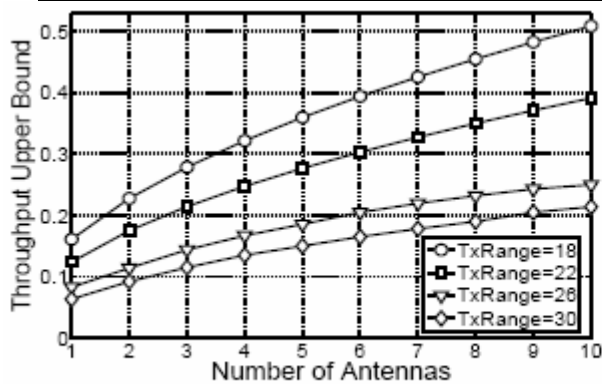
(e) Effect of node density



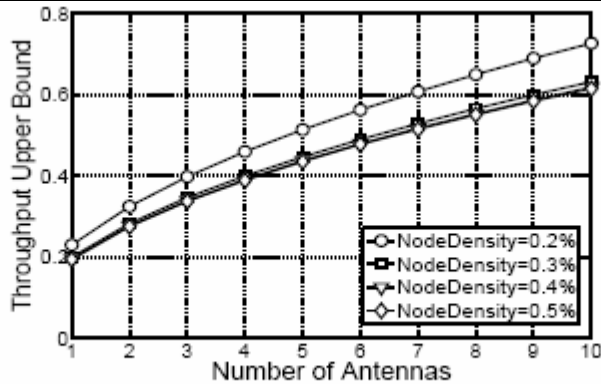
(f) Effect of hop length

- Transmission Range
  - Tradeoff of node degree and interference
- Node Density
  - Better with enough antennas, asymptotic
- Hop Length
  - Worse (increased contention/interference)

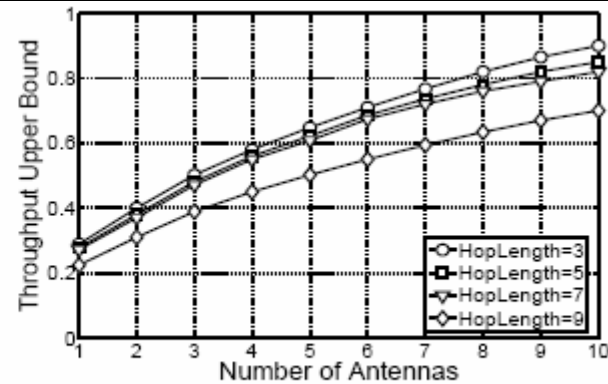
# Results: SMP



(a) Effect of transmission range



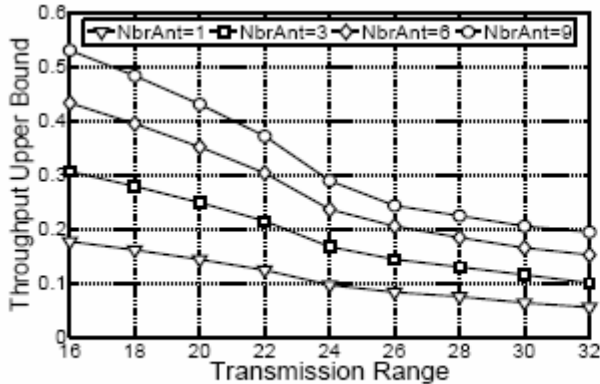
(b) Effect of node density



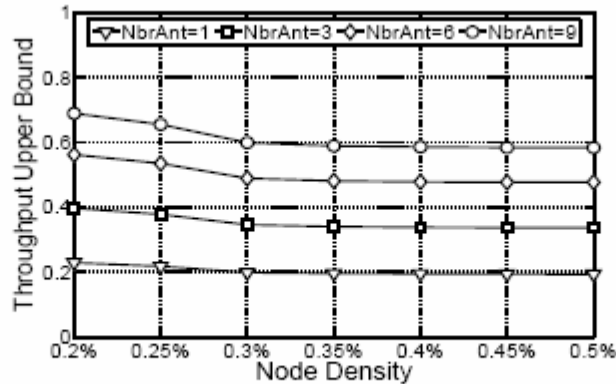
(c) Effect of hop length

- Linear increase
  - Every new antenna provides additional streams and thus additional throughput
- Any increase in interference has a negative impact

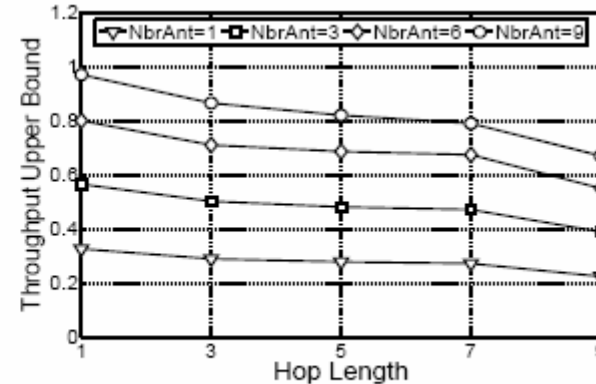
# Results: SMP



(d) Effect of transmission range



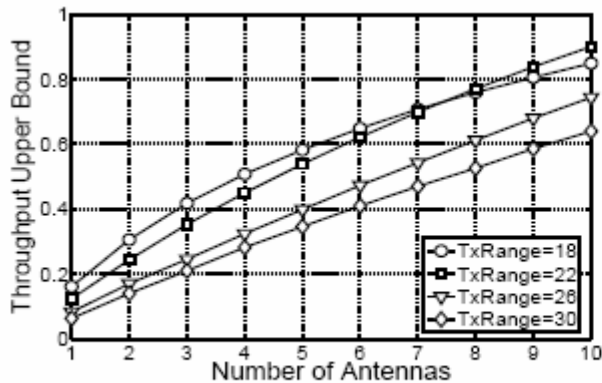
(e) Effect of node density



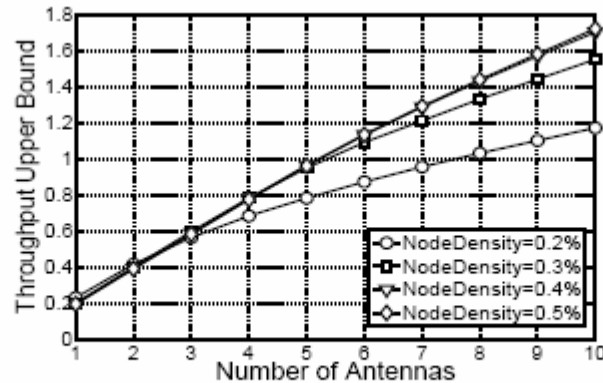
(f) Effect of hop length

- Transmission Range
  - Increasing interference hurts throughput
- Node Density
  - Not explained – slight interference issues?
- Hop Length
  - Worse (increased contention/interference)

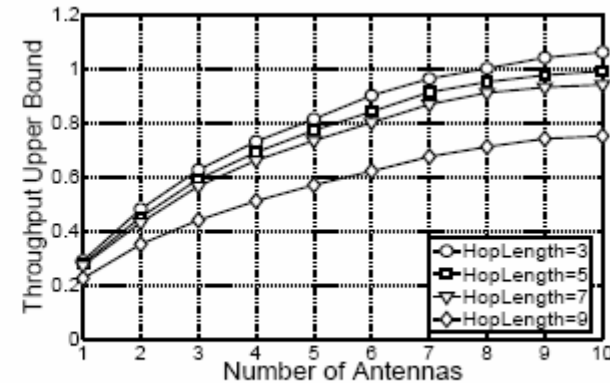
# Results: SRMP



(a) Effect of transmission range



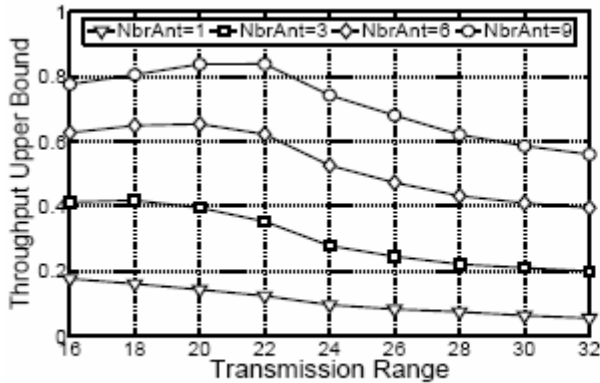
(b) Effect of node density



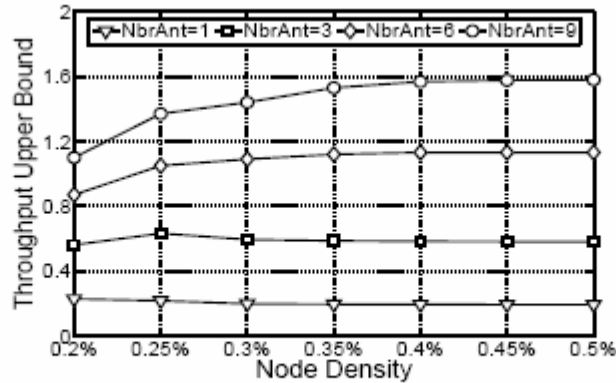
(c) Effect of hop length

- No asymptotic bound
  - SRMP resolves medium conflicts, but because extra degrees of freedom can be used for multiplexing, additional antennas continue to increase throughput

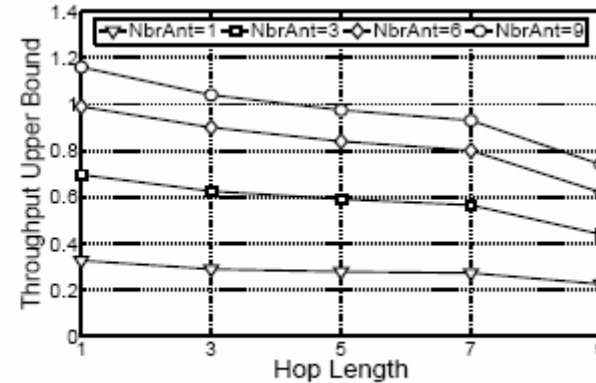
# Results: SRMP



(d) Effect of transmission range



(e) Effect of node density

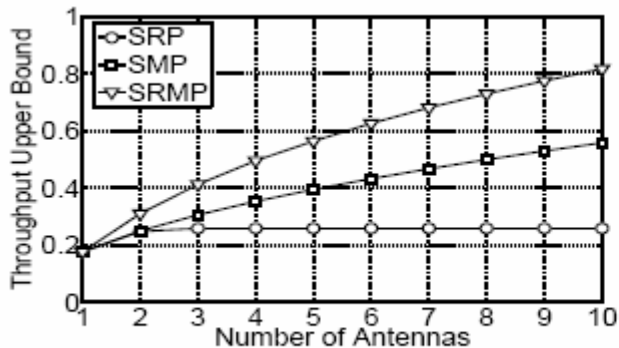


(f) Effect of hop length

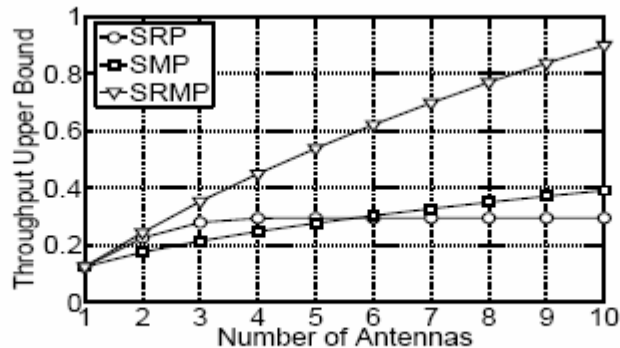
- Transmission Range
  - Unique maximums for each antenna setup
- Node Density
  - Increasing node degree increases throughput
- Hop Length
  - Worse (increased contention/interference)



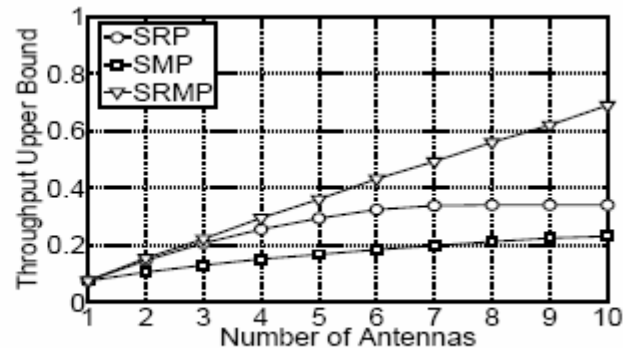
# Results: SRP v. SMP



(a) TxRange = 16



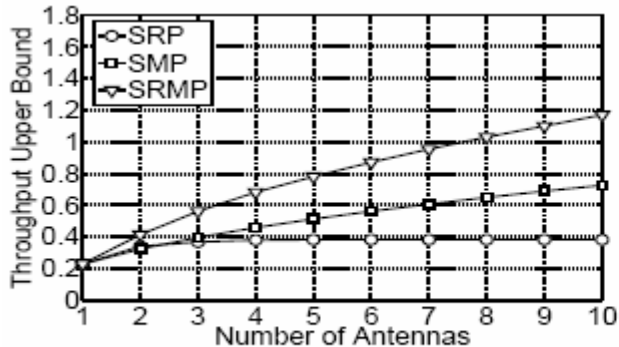
(b) TxRange = 22



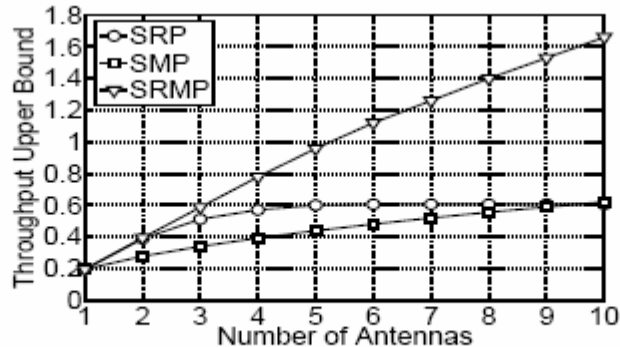
(c) TxRange = 28

- Low TxRange
  - SRP tops out early, SMP experiences little interference
- Mid TxRange
  - SRP increases faster, SMP better with more antennas
- High TxRange
  - Too much interference for SMP to perform well

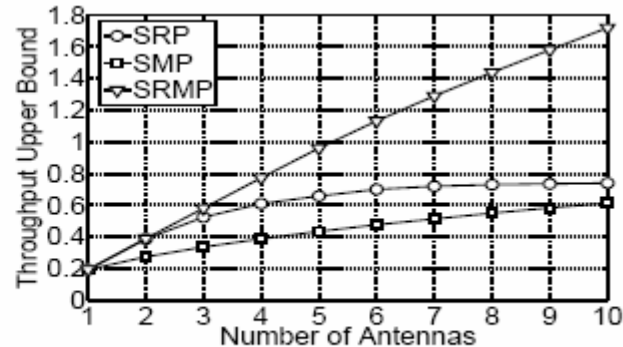
# Results: SRP v. SMP



(a) NodeDensity = 0.20%

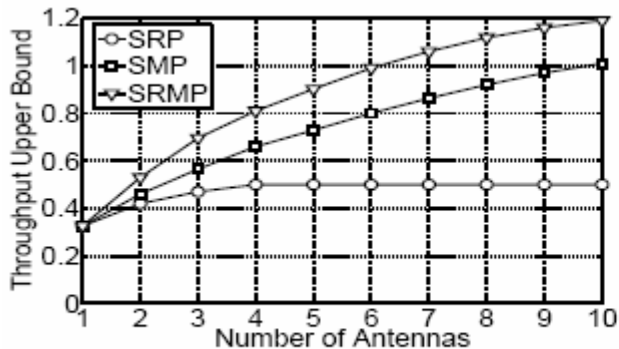


(b) NodeDensity = 0.35%

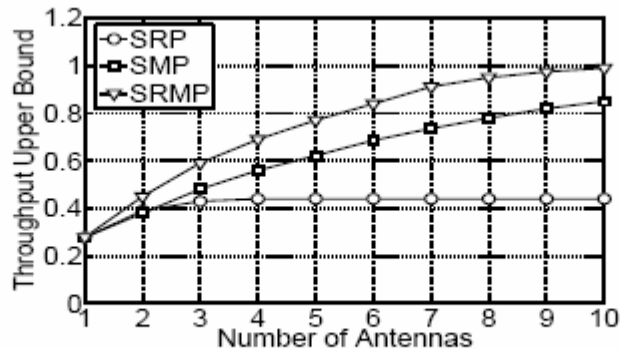


(c) NodeDensity = 0.50%

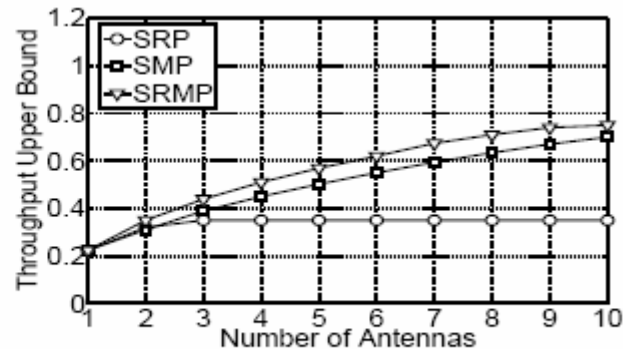
- Same effect as transmission range



(a) HopLength = 1



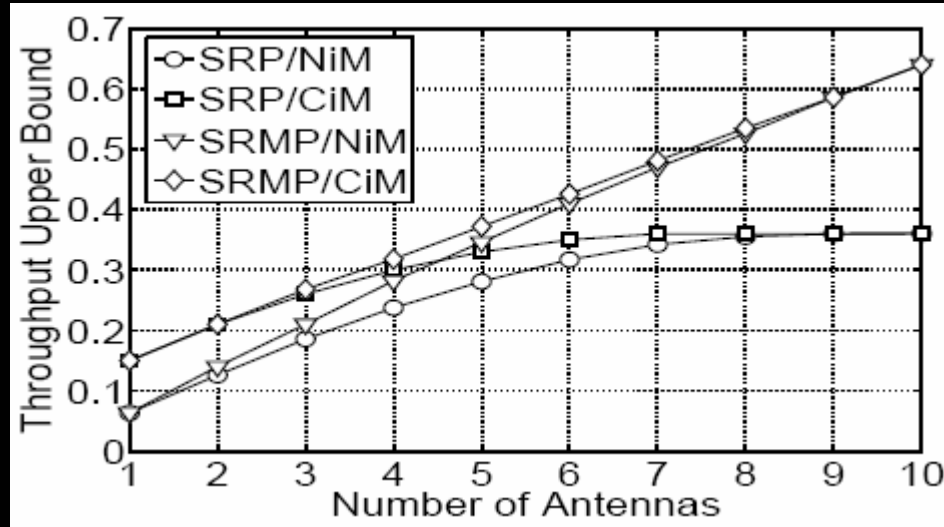
(b) HopLength = 5



(c) HopLength = 9

- No change in trend with more hops

# Results: NiM v. CiM



- CiM always outperforms
  - Additional degrees of freedom available for more reuse or multiplexing

# Conclusions

- LP problem of optimal throughput over a MIMO network solved under various configurations
- MIMO protocols and interference models can be used by network designers

# Conclusions

- **A lot** of future research to be done
- Many actual implementation decisions and problems are left to future work or not even mentioned
  - Discovery of  $u$ ,  $v$ ,  $H$
  - Heterogeneous networks
  - Cooperation mechanism for CiM